

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>ROSS, ALLYNE R.                                                                                                                      | <b>2. Court or Organization</b><br><br>U.S.D.C., EDNY                                                                                                                                                                                                                                    | <b>3. Date of Report</b><br><br>04/06/2016                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U.S. DISTRICT JUDGE                                                           | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2015<br>to<br>12/31/2015 |
| <b>7. Chambers or Office Address</b><br><br>U.S. DISTRICT COURT, EDNY<br>225 CADMAN PLAZA EAST<br>ROOM 915 SOUTH<br>BROOKLYN, NY 11201                                                                    |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_
5. \_\_\_\_\_

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

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Name of Person Reporting

ROSS, ALLYNE R.

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1.

2.

3.

4.

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 1/1-12/31

Trustee of WisdomTree Trust

2.

3.

4.

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |
| 2.     |             |       |
| 3.     |             |       |
| 4.     |             |       |
| 5.     |             |       |

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |
| 2.       |             |            |
| 3.       |             |            |
| 4.       |             |            |
| 5.       |             |            |

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Name of Person Reporting

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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Trust #1                                                                                                                 |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 2. -Life Insurance Issued by Northwestern Mutual                                                                            | B                                       | Interest                                      | L                                               | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. Morgan Stanley Managed IRA #1                                                                                            |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 4. -Cisco Systems                                                                                                           | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. -Transamerica Short-Term Bond Fund                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/16/15                | K                               |                                |                                                                   |
| 6. -General Electric                                                                                                        | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. -American Express                                                                                                        | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. -Citigroup                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. -Intel                                                                                                                   | A                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. -Viacom                                                                                                                 |                                         | None                                          |                                                 |                                           | Sold                                            | 02/23/15                | J                               | C                              |                                                                   |
| 11. -Powershares ETF Final PGFD Portfolio                                                                                   | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. -Enterprise Prods Partners                                                                                              | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. -Facebook                                                                                                               |                                         | None                                          |                                                 |                                           | Sold                                            | 09/28/15                | K                               | D                              |                                                                   |
| 14. -BlackRock Global Energy & Resources Trust                                                                              |                                         | None                                          | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. -BlackRock Health Sciences Trust                                                                                        | B                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. -Clearbridge Energy MLP                                                                                                 | B                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. -Fiduciary/Claymore MLP                                                                                                 | C                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 08/12/15                | J                               |                                |                                                                   |

|                       |                                                                                |                                                      |                                                          |                                                               |                        |
|-----------------------|--------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|------------------------|
| 1. Income Gain Codes: | A ~\$1,000 or less<br>(See Columns B1 and D4)                                  | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000    | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000            | E ~\$15,001 - \$50,000 |
| 2. Value Codes        | F ~\$50,001 - \$100,000<br>J ~\$15,000 or less<br>(See Columns C1 and D3)      | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000    |                        |
| 3. Value Method Codes | N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000<br>(See Column C2) | Q = Appraisal<br>U = Book Value                      | R = Cost (Real Estate Only)<br>V = Other                 | P4 ~More than \$50,000,000<br>S = Assessment<br>W = Estimated | T = Cash Market        |

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Name of Person Reporting

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Date of Report

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                         |   |          |   |   |                |          |   |   |  |
|-----------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 18. -Tekla Healthcare                   |   | None     |   |   |                |          |   |   |  |
| 19. -Dow Chemical                       | A | Dividend | K | T | Sold<br>(part) | 12/10/15 | J | C |  |
| 20. -Conoco Phillips                    | A | Dividend | J | T | Buy            | 07/01/15 | K |   |  |
| 21. -Cohen & Steers Infrastructure Fund | B | Dividend | K | T |                |          |   |   |  |
| 22. -Kayne Anderson Energy Dev. Co.     | B | Dividend | K | T |                |          |   |   |  |
| 23. -Kayne Anderson MLP Inv. Co.        |   | None     | K | T | Buy            | 12/29/15 |   |   |  |
| 24. -Bank of America                    | A | Dividend | K | T |                |          |   |   |  |
| 25. -Bank of America preferred          | B | Dividend | K | T | Buy            | 03/11/15 | K |   |  |
| 26. -Bank of NY Mellon                  |   |          |   |   | Sold           | 05/14/15 | K | B |  |
| 27. -Bristol Myers Squibb               | A | Dividend |   |   | Sold           | 07/27/15 | K |   |  |
| 28. -Colgate Palmolive                  | A | Dividend | K | T |                |          |   |   |  |
| 29. -CVS                                | A | Dividend |   |   | Sold           | 06/08/15 | K | D |  |
| 30. -HCP                                | A | Dividend |   |   | Sold           | 06/08/15 | K |   |  |
| 31. -Hospitality PPTYS                  | A | Dividend |   |   | Sold           | 06/05/15 | K | B |  |
| 32. -Kinder Morgan                      | B | Dividend |   |   | Sold           | 12/03/15 | K |   |  |
| 33. -Procter & Gamble                   |   | None     |   |   | Sold           | 03/11/15 | K | B |  |
| 34. -Softbank                           | A | Dividend | K | T | Buy<br>(add'l) | 01/23/15 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                  |   |          |   |   |          |          |   |   |  |
|----------------------------------|---|----------|---|---|----------|----------|---|---|--|
| 35. -Stratasys                   |   | None     |   |   | Sold     | 03/31/15 | J |   |  |
| 36. -Deutsche Bank               |   | None     |   |   | Redeemed | 02/20/15 | J |   |  |
| 37. -Medical Properties Tr.      | A | Dividend |   |   | Sold     | 08/12/15 | J | C |  |
| 38. -Pepsico                     | A | Dividend | K | T |          |          |   |   |  |
| 39. -Pfizer Inc.                 | A | Dividend | K | T |          |          |   |   |  |
| 40. -Walgreen Boots Alliance     |   |          |   |   | Sold     | 03/06/15 | K | D |  |
| 41. -Williams Partners           | A | Dividend |   |   | Sold     | 05/13/15 | K | A |  |
| 42. -NY CMNTY CAPITAL Trust      | B | Dividend | K | T |          |          |   |   |  |
| 43. -SPDR S&P Biotech            |   | None     | J | T | Buy      | 03/27/15 | J |   |  |
| 44. -Glaxo Smithkline            | A | Dividend |   |   | Buy      | 06/16/15 | K |   |  |
| 45. -Glaxo Smithkline            |   |          |   |   | Sold     | 12/11/15 | K |   |  |
| 46. -Apple                       | A | Dividend | K | T |          |          |   |   |  |
| 47. -Ares Commerical Real Estate | A | Dividend | K | T | Buy      | 07/17/15 | K |   |  |
| 48. -Blackstone Group            | B | Dividend | K | T | Buy      | 10/07/15 | K |   |  |
| 49. -Costoco                     | A | Dividend | K | T | Buy      | 10/14/15 | K |   |  |
| 50. -Eli Lilly                   | A | Dividend | K | T | Buy      | 10/12/15 | K |   |  |
| 51. -Ford Motor                  | A | Dividend | K | T | Buy      | 03/20/15 | K |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 52. -IBM                                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/03/15                | K                               |                                |                                                                   |
| 53. -KKR & Co.                                                                                                              | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 04/14/15                | K                               |                                |                                                                   |
| 54. -Medtronic                                                                                                              | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 09/08/14                | K                               |                                | See Part VIII                                                     |
| 55. -Ship Finance Intl                                                                                                      | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 11/30/15                | K                               |                                |                                                                   |
| 56. -Starwood Property Trust                                                                                                | B                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 07/06/15                | K                               |                                |                                                                   |
| 57. -Walmart Stores                                                                                                         |                                         | None                                          | K                                               | T                                         | Buy                                             | 12/29/15                | K                               |                                |                                                                   |
| 58. -Barclays Bank                                                                                                          | B                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/16/15                | K                               |                                |                                                                   |
| 59. -HSBC Holdings                                                                                                          | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 03/18/15                | K                               |                                |                                                                   |
| 60. -Keycorp                                                                                                                |                                         | None                                          | K                                               | T                                         | Buy                                             | 03/03/15                | K                               |                                |                                                                   |
| 61. -Keycorp                                                                                                                |                                         |                                               |                                                 |                                           | Buy                                             | 03/04/15                | K                               |                                |                                                                   |
| 62. -Eaton Vance Tax Mgd                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 10/09/15                | K                               |                                |                                                                   |
| 63. -ishares Nasdaq Biotech ETF                                                                                             |                                         | None                                          | J                                               | T                                         | Buy                                             | 03/11/15                | J                               |                                |                                                                   |
| 64. -ishares Nasdaq Biotech ETF                                                                                             |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               | A                              |                                                                   |
| 65. Morgan Stanley Managed IRA #2                                                                                           |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 66. -Dominion Resources                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 67. -Johnson & Johnson                                                                                                      | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 05/14/15                | J                               | C                              |                                                                   |
| 68. -Citibank Account                                                                                                       |                                         | None                                          |                                                 |                                           | Closed                                          | 01/02/15                |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 69. -Morgan Stanley Bank Account                                                                                            | A                                       | Interest                                      | J                                               | T                                         | Open                                            | 01/02/15                |                                 |                                |                                                                   |
| 70. -Keycorp Preferred                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 71. -Morgan Stanley Capital Tr.                                                                                             | A                                       | Interest                                      |                                                 |                                           | Redeemed                                        | 05/12/15                | J                               | B                              |                                                                   |
| 72. -Annaly Capital Mgt.                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 05/14/15                | J                               |                                |                                                                   |
| 73. -Kayne Anderson Energy                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 74. -Cohen & Steers Infrastructure Fund                                                                                     | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 75. -Power Shares                                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 76. -Bristol Myers Squibb                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 77. -Caterpillar                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 78. -Spyglass Resources                                                                                                     |                                         | None                                          |                                                 |                                           | Sold                                            | 05/14/15                | J                               |                                |                                                                   |
| 79. -Freeport McMoran Copper & Gold                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 80. -Merck                                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 81. -Clorox                                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 82. -Colgate Palmolive                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 83. -Teva Pharmaceuticals                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 84. -General Electric                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 05/14/15                | J                               |                                |                                                                   |
| 85. -Kinder Morgan                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 05/14/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                   |   |          |    |   |                 |          |   |   |  |
|---------------------------------------------------|---|----------|----|---|-----------------|----------|---|---|--|
| 86. -Tekla Healthcare                             |   | None     | J  | T | Buy             | 05/14/15 | J |   |  |
| 87. IRA #3 (Fidelity)                             | E | Dividend | P1 | U |                 |          |   |   |  |
| 88. -Spartan Small Cap Index Fund                 | D | Dividend | O  | U |                 |          |   |   |  |
| 89. -Spartan Extended Market Index Fund           | D | Dividend | O  | U |                 |          |   |   |  |
| 90. IRA #4 (Fidelity)                             |   |          |    |   |                 |          |   |   |  |
| 91. -WisdomTree International Basic Materials ETF |   | None     | K  | T | Buy             | 08/24/15 | L |   |  |
| 92. Citibank Accounts                             | A | Interest | M  | T |                 |          |   |   |  |
| 93. S&P Variable Participation Note               |   | None     |    |   | Redeemed        | 03/09/15 | N | G |  |
| 94. Vanguard Ltd-Term Tax-Exempt Fund             | D | Dividend | O  | U | Redeemed (part) | 04/13/15 | L |   |  |
| 95. Vanguard Ltd-Term Tax-Exempt Fund             |   |          |    |   | Redeemed (part) | 06/11/15 | M |   |  |
| 96. Vanguard Ltd-Term Tax- Exempt Fund            |   |          |    |   | Buy (add'l)     | 08/06/15 | L |   |  |
| 97. Vanguard Ltd-Term Tax-Exempt Fund             |   |          |    |   | Redeemed (part) | 08/24/15 | K |   |  |
| 98. Vanguard Ltd-Term Tax-Exempt Fund             |   |          |    |   | Redeemed (part) | 08/26/15 | K |   |  |
| 99. Vanguard Ltd-Term Tax-Exempt Fund             |   |          |    |   | Buy (add'l)     | 12/23/15 | N |   |  |
| 100. Vanguard Emerging Markets Index Fund         | D | Dividend | N  | U | Redeemed (part) | 01/08/15 | L |   |  |
| 101. Vanguard Mid-Cap Index Fund                  | E | Dividend | P1 | U |                 |          |   |   |  |
| 102. Vanguard High-Yield Tax-Exempt Fund          | D | Dividend | N  | U | Redeemed (part) | 12/16/15 | L | A |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 103. Vanguard European Stock Index Fund                                                                                     | D                                       | Dividend                                      | N                                               | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 104. Vanguard Global Real Estate Fund                                                                                       | B                                       | Dividend                                      | L                                               | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 105. Wisdom Tree U.S. Small Cap. Div. Growth<br>ETF                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 07/14/15                | L                               |                                |                                                                   |
| 106. Wisdom Tree Global ex-US Quality<br>Dividend                                                                           | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 07/14/15                | L                               |                                |                                                                   |
| 107. Target                                                                                                                 |                                         | None                                          |                                                 |                                           | Sold                                            | 01/12/15                | K                               | D                              |                                                                   |
| 108. General American Investors                                                                                             | D                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 109. Dodge & Cox Global Stock Fund                                                                                          | D                                       | Dividend                                      | M                                               | U                                         |                                                 |                         |                                 |                                |                                                                   |
| 110. Dodge & Cox Stock Fund                                                                                                 |                                         |                                               |                                                 |                                           | Buy                                             | 01/09/15                | L                               |                                |                                                                   |
| 111. Dodge & Cox Stock Fund                                                                                                 |                                         |                                               |                                                 |                                           | Buy                                             | 01/16/15                | M                               |                                |                                                                   |
| 112. Dodge & Cox Stock Fund                                                                                                 | B                                       | Dividend                                      |                                                 |                                           | Redeemed                                        | 12/16/15                | L                               |                                |                                                                   |
| 113. WFG-WPX Partners LLC                                                                                                   | F                                       | Distribution                                  | N                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 114. WisdomTree Commodity Country ETF                                                                                       | C                                       | Dividend                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 115. Wisdom Tree Managed Futures ETF                                                                                        |                                         | None                                          | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 116. Telecom Italia                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/23/15                | J                               |                                |                                                                   |
| 117. Türkiye Guarantee Bank                                                                                                 |                                         | None                                          |                                                 |                                           | Sold<br>(part)                                  | 01/07/15                | J                               | A                              |                                                                   |
| 118. Türkiye Guarantee Bank                                                                                                 |                                         |                                               |                                                 |                                           | Sold                                            | 02/10/15                | J                               |                                |                                                                   |
| 119. Tyco Intl                                                                                                              |                                         | None                                          | J                                               | T                                         | Sold<br>(part)                                  | 12/09/16                | J                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                        |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|----------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                  | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$50,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                        | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 120. Tyco Intl                                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/29/15                | J                               |                                |                                                                   |
| 121. Union Pacific                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/14/15                | J                               | A                              |                                                                   |
| 122. Union Pacific                                                                                                          |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/12/15                | J                               |                                |                                                                   |
| 123. Cerner                                                                                                                 |                                         | None                                          | K                                               | T                                         | Sold<br>(part)                                  | 11/05/15                | J                               | A                              |                                                                   |
| 124. American Tower Corp.REIT                                                                                               | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 02/17/15                | J                               |                                |                                                                   |
| 125. American Tower Corp. REIT                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/07/15                | J                               | A                              |                                                                   |
| 126. American Tower Corp. REIT                                                                                              |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/25/15                | J                               |                                |                                                                   |
| 127. American Tower Corp. REIT                                                                                              |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/28/15                | J                               |                                |                                                                   |
| 128. American Tower Corp. REIT                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/05/15                | J                               | B                              |                                                                   |
| 129. Facebook                                                                                                               |                                         | None                                          | K                                               | T                                         | Buy<br>(add'l)                                  | 01/20/15                | J                               |                                |                                                                   |
| 130. Facebook                                                                                                               |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/02/15                | J                               |                                |                                                                   |
| 131. Facebook                                                                                                               |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/13/15                | J                               |                                |                                                                   |
| 132. Facebook                                                                                                               |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/24/15                | J                               |                                |                                                                   |
| 133. Facebook                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/08/15                | J                               | A                              |                                                                   |
| 134. Facebook                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/28/15                | J                               | A                              |                                                                   |
| 135. Industrial & Commercial Bank China                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 11/10/15                | J                               |                                |                                                                   |
| 136. Industrial & Commercial Bank China                                                                                     |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/11/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 137. ARM Holdings                                                                                                           | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 02/26/15                | J                               | A                              |                                                                   |
| 138. ARM Holdings                                                                                                           |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/08/15                | J                               |                                |                                                                   |
| 139. ARM Holdings                                                                                                           |                                         |                                               |                                                 |                                           | Sold                                            | 12/28/15                | K                               |                                |                                                                   |
| 140. Intesa San Paolo                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 02/13/15                | J                               |                                |                                                                   |
| 141. Intesa San Paola                                                                                                       |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/10/15                | J                               |                                |                                                                   |
| 142. Intesa San Paolo                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/11/15                |                                 |                                |                                                                   |
| 143. Astrazeneca                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 04/28/15                | J                               |                                |                                                                   |
| 144. Astrazeneca                                                                                                            |                                         |                                               |                                                 |                                           | Sold                                            | 05/15/15                | J                               | A                              |                                                                   |
| 145. Astrazeneca                                                                                                            |                                         |                                               |                                                 |                                           | Buy                                             | 08/19/15                | J                               |                                |                                                                   |
| 146. Astrazenca                                                                                                             |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/22/15                | J                               |                                |                                                                   |
| 147. Astrazenca                                                                                                             |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/09/15                | J                               |                                |                                                                   |
| 148. Astrazenca                                                                                                             |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/23/15                | J                               | A                              |                                                                   |
| 149. Astrazenca                                                                                                             |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/10/15                | J                               |                                |                                                                   |
| 150. Astrazenca                                                                                                             |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/11/15                | J                               |                                |                                                                   |
| 151. Astrazeneca                                                                                                            |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/28/15                | K                               |                                |                                                                   |
| 152. AthenaHealth                                                                                                           |                                         | None                                          | K                                               | T                                         | Sold<br>(part)                                  | 10/08/15                | J                               |                                |                                                                   |
| 153. Biomarin Pharmaceutical                                                                                                |                                         | None                                          | J                                               | T                                         | Sold<br>(part)                                  | 01/26/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 154. Biomarin Pharmaceutical                                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/13/15                | J                               | B                              |                                                                   |
| 155. Biomarin Pharmaceutical                                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/15                | J                               | B                              |                                                                   |
| 156. Biomarin Pharmaceutical                                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/18/15                | J                               | A                              |                                                                   |
| 157. Biomarin Pharmaceutical                                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/22/15                | J                               | A                              |                                                                   |
| 158. Biomarin Pharmaceutical                                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/08/15                | J                               | B                              |                                                                   |
| 159. Alexion Pharmaceuticals                                                                                                |                                         | None                                          | K                                               | T                                         | Buy<br>(add'l)                                  | 02/24/15                | J                               |                                |                                                                   |
| 160. Alexion Pharmaceuticals                                                                                                |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/01/15                | J                               |                                |                                                                   |
| 161. Alexion Pharmaceuticals                                                                                                |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/21/15                | J                               |                                |                                                                   |
| 162. Alexion Pharmaceuticals                                                                                                |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/28/15                | J                               |                                |                                                                   |
| 163. Alexion Pharmaceuticals                                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/08/15                | J                               |                                |                                                                   |
| 164. Alexion Pharmaceuticals                                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/09/15                | J                               |                                |                                                                   |
| 165. Alexion Pharmaceuticals                                                                                                |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/10/15                | J                               |                                |                                                                   |
| 166. Celgene Corp.                                                                                                          |                                         | None                                          | K                                               | T                                         |                                                 |                         | J                               |                                |                                                                   |
| 167. E ON                                                                                                                   |                                         | None                                          |                                                 |                                           | Sold                                            | 02/10/15                | J                               |                                |                                                                   |
| 168. Marathon Oil                                                                                                           | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/09/15                | J                               |                                |                                                                   |
| 169. Marathon Petroleum                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 05/29/15                | J                               |                                |                                                                   |
| 170. Marathon Petroleum                                                                                                     |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 171. Marathon Petroleum                                                                                                     |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/02/15                | J                               | B                              |                                                                   |
| 172. Lockheed Martin                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/31/15                | J                               |                                |                                                                   |
| 173. Lockheed Martin                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/05/15                | J                               |                                |                                                                   |
| 174. Lockheed Martin                                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 175. Lockheed Martin                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/15/15                | J                               | A                              |                                                                   |
| 176. Lockheed Martin                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/17/15                | J                               | A                              |                                                                   |
| 177. Kroger                                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 05/27/15                | J                               |                                |                                                                   |
| 178. Kroger                                                                                                                 |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 179. Morgan Stanley                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 180. Morgan Stanley                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/09/15                | J                               |                                |                                                                   |
| 181. Morgan Stanley                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/29/15                | J                               |                                |                                                                   |
| 182. Raytheon                                                                                                               | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 08/07/15                | J                               | B                              |                                                                   |
| 183. Raytheon                                                                                                               |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 184. Raytheon                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/29/15                | J                               | B                              |                                                                   |
| 185. Raytheon                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/17/15                | J                               | B                              |                                                                   |
| 186. Publicis Groupe                                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 03/26/15                | J                               |                                |                                                                   |
| 187. Publicis Groupe                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/01/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 188. Publicis Groupe                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/03/15                | J                               |                                |                                                                   |
| 189. Allergan                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 03/17/15                | K                               | D                              |                                                                   |
| 190. Allergan                                                                                                               |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/19/15                | J                               |                                |                                                                   |
| 191. Allergan                                                                                                               |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/28/15                | J                               |                                |                                                                   |
| 192. Allergan                                                                                                               |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/21/15                | J                               |                                |                                                                   |
| 193. Citigroup                                                                                                              | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 04/01/15                | J                               |                                |                                                                   |
| 194. Citigroup                                                                                                              |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 195. Citigroup                                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/09/15                | J                               |                                |                                                                   |
| 196. Infosys                                                                                                                | A                                       | None                                          |                                                 |                                           | Sold                                            | 05/29/15                | J                               | A                              |                                                                   |
| 197. Norsk Hydro                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 11/10/15                | J                               |                                |                                                                   |
| 198. Norsk Hydro                                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/11/15                | J                               |                                |                                                                   |
| 199. Ryanair                                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 200. Wolseley                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 09/29/15                | J                               |                                |                                                                   |
| 201. Wolseley                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/10/15                | J                               |                                |                                                                   |
| 202. Wolseley                                                                                                               |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/11/15                | J                               |                                |                                                                   |
| 203. Wolseley                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/31/15                | J                               |                                |                                                                   |
| 204. Zurich Insurance                                                                                                       |                                         | None                                          |                                                 |                                           | Sold<br>(part)                                  | 01/15/15                | J                               | A                              |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 205. Zurich Insurance                                                                                                       |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/13/15                | J                               | A                              |                                                                   |
| 206. Zurich Insurance                                                                                                       |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/19/15                | J                               | A                              |                                                                   |
| 207. Zurich Insurance                                                                                                       |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/23/15                | J                               | A                              |                                                                   |
| 208. Zurich Insurance                                                                                                       |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/27/15                | J                               | A                              |                                                                   |
| 209. Zurich Insurance                                                                                                       |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/28/15                | J                               |                                |                                                                   |
| 210. Zurich Insurance                                                                                                       |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/05/15                | J                               |                                |                                                                   |
| 211. Zurich Insurance                                                                                                       |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/13/15                | J                               |                                |                                                                   |
| 212. Zurich Insurance                                                                                                       |                                         |                                               |                                                 |                                           | Sold                                            | 08/20/15                | J                               |                                |                                                                   |
| 213. Dow Chemical                                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 10/29/15                | J                               |                                |                                                                   |
| 214. Duke Energy                                                                                                            |                                         | None                                          |                                                 |                                           | Sold                                            | 01/14/15                | J                               | B                              |                                                                   |
| 215. Costco Wholesale                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 10/08/15                | J                               | A                              |                                                                   |
| 216. CME Group                                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         | J                               |                                |                                                                   |
| 217. Chipotle                                                                                                               |                                         | None                                          | J                                               | T                                         | Buy<br>(add'l)                                  | 04/01/15                | J                               |                                |                                                                   |
| 218. Chipotle                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/15/15                | J                               | B                              |                                                                   |
| 219. Chipotle                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/21/15                | J                               | A                              |                                                                   |
| 220. Chipotle                                                                                                               |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/28/15                | J                               |                                |                                                                   |
| 221. Chipotle                                                                                                               |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/10/15                | J                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                 | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                       | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 222. American International Group                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 02/17/15                | J                               |                                |                                                                   |
| 223. American International Group                                                                                           |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/02/15                | J                               |                                |                                                                   |
| 224. American International Group                                                                                           |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/15/15                | J                               |                                |                                                                   |
| 225. American International Group                                                                                           |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 226. Anthem Inc.                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/14/15                | J                               |                                |                                                                   |
| 227. Anthem Inc.                                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 228. Anheuser Busch                                                                                                         |                                         | None                                          | J                                               | T                                         | Buy                                             | 11/08/15                | J                               |                                |                                                                   |
| 229. Banco Bilbao Vizcayo Argentaria                                                                                        | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/06/15                | J                               |                                |                                                                   |
| 230. Banco Bilbao Vizcayo Argentaria                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/05/15                | J                               |                                |                                                                   |
| 231. Banco Bilbao Vizcayo Argentaria                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/27/15                | J                               |                                |                                                                   |
| 232. Banco Bilbao Vizcayo Argentaria                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 05/05/15                | J                               | A                              |                                                                   |
| 233. BAE Systems                                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/14/15                | J                               |                                |                                                                   |
| 234. BAE Systems                                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/21/15                |                                 |                                |                                                                   |
| 235. BAE Systems                                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/25/15                | J                               |                                |                                                                   |
| 236. BAE Systems                                                                                                            |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/18/15                | J                               |                                |                                                                   |
| 237. BAE Systems                                                                                                            |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/30/15                | J                               |                                |                                                                   |
| 238. BAE Systems                                                                                                            |                                         |                                               |                                                 |                                           | Sold                                            | 08/04/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                          |   |          |   |   |                |          |   |   |  |
|--------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 239. BMW                 |   | None     | J | T | Buy            | 11/30/15 | J |   |  |
| 240. BMW                 |   |          |   |   | Buy<br>(add'l) | 12/10/15 | J |   |  |
| 241. International Paper | A | Dividend |   |   | Buy<br>(add'l) | 10/12/15 | J |   |  |
| 242. International Paper |   |          |   |   | Sold<br>(part) | 12/09/15 | J |   |  |
| 243. International Paper |   |          |   |   | Sold           | 12/29/15 | J |   |  |
| 244. KDDI Corp           |   | None     |   |   | Sold<br>(part) | 02/05/15 | J | A |  |
| 245. KDDI Corp           |   |          |   |   | Sold           | 02/12/15 | J | A |  |
| 246. Mead Johnson        | A | Dividend | J | T | Buy<br>(add'l) | 07/05/15 | J |   |  |
| 247. Mead Johnson        |   |          |   |   | Buy<br>(add'l) | 07/23/15 | J |   |  |
| 248. Mead Johnson        |   |          |   |   | Sold<br>(part) | 10/08/15 | J |   |  |
| 249. Mead Johnson        |   |          |   |   | Sold<br>(part) | 10/28/15 | J |   |  |
| 250. Mead Johnson        |   |          |   |   | Sold<br>(part) | 10/29/15 | J | A |  |
| 251. Mead Johnson        |   |          |   |   | Sold<br>(part) | 11/09/15 |   |   |  |
| 252. Mead Johnson        |   |          |   |   | Buy<br>(add'l) | 12/10/15 | J | C |  |
| 253. Equinix Inc.        | B | Dividend | K | T | Sold<br>(part) | 11/05/15 | J | A |  |
| 254. Fastenal            | A | Dividend |   |   | Sold<br>(part) | 02/11/15 | J |   |  |
| 255. Fastenal            |   |          |   |   | Sold           | 02/12/15 | J |   |  |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes   | P3 = \$25,000,001 - \$50,000,000 | R = Cost (Real Estate Only) | P4 = More than \$50,000,000    | S = Assessment                  | T = Cash Market         |
| (See Column C2)         | Q = Appraisal                    | V = Other                   | W = Estimated                  |                                 |                         |
|                         | U = Book Value                   |                             |                                |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                           |   |          |   |   |                |          |   |   |  |
|---------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 256. MetLife              | A | Dividend | J | T | Sold<br>(part) | 02/17/15 | J | A |  |
| 257. MetLife              |   |          |   |   | Buy<br>(add'l) | 10/12/15 | J |   |  |
| 258. MetLife              |   |          |   |   | Sold<br>(part) | 12/09/15 | J |   |  |
| 259. Occidental Petroleum | A | Dividend | J | T | Buy<br>(add'l) | 01/26/15 | J |   |  |
| 260. Occidental Petroleum |   |          |   |   | Buy<br>(add'l) | 10/12/15 | J |   |  |
| 261. Occidental Petroleum |   |          |   |   | Buy<br>(add'l) | 12/02/15 | J |   |  |
| 262. Occidental Petroleum |   |          |   |   | Sold<br>(part) | 12/29/15 | K |   |  |
| 263. Starbucks            | A | Dividend | K | T | Buy<br>(add'l) | 01/20/15 | J |   |  |
| 264. Starbucks            |   |          |   |   | Sold<br>(part) | 06/18/15 | J | C |  |
| 265. Starbucks            |   |          |   |   | Sold<br>(part) | 07/23/15 | J | A |  |
| 266. Starbucks            |   |          |   |   | Sold<br>(part) | 10/08/15 | J | C |  |
| 267. Starbucks            |   |          |   |   | Sold<br>(part) | 10/29/15 | J | C |  |
| 268. Motorola Solutions   | A | Dividend | J | T |                |          |   |   |  |
| 269. Intel                | A | Dividend | K | T | Buy<br>(add'l) | 07/20/15 | J |   |  |
| 270. Intel                |   |          |   |   | Buy<br>(add'l) | 10/12/15 | J |   |  |
| 271. Microsoft            | A | Dividend | K | T | Buy<br>(add'l) | 02/17/15 | J |   |  |
| 272. Microsoft            |   |          |   |   | Sold<br>(part) | 05/01/15 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                        |   |          |   |   |                |          |   |   |  |
|------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 273. Microsolf         |   |          |   |   | Buy<br>(add'l) | 10/12/15 | J |   |  |
| 274. ServiceNow        |   | None     | K | T | Sold<br>(part) | 10/08/15 | J | A |  |
| 275. ServiceNow        |   |          |   |   | Sold<br>(part) | 11/30/15 | J | A |  |
| 276. European Aeronaut |   | None     | J | T | Buy<br>(add'l) | 01/23/15 | J |   |  |
| 277. European Aeronaut |   |          |   |   | Sold<br>(part) | 06/18/15 | J | A |  |
| 278. European Aeronaut |   |          |   |   | Buy<br>(add'l) | 12/01/15 | J |   |  |
| 279. European Aeronaut |   |          |   |   | Sold<br>(part) | 12/31/15 | J |   |  |
| 280. Procter & Gamble  | A | Dividend | J | T | Buy<br>(add'l) | 10/12/15 | J |   |  |
| 281. Proctor & Gamble  |   |          |   |   | Sold<br>(part) | 12/09/15 | J |   |  |
| 282. Hitachi           | A | Dividend |   |   | Sold<br>(part) | 02/12/15 | J | A |  |
| 283. Hitachi           |   |          |   |   | Sold<br>(part) | 03/11/15 | J | A |  |
| 284. Hitachi           |   |          |   |   | Sold<br>(part) | 05/22/15 | J | A |  |
| 285. Hitachi           |   |          |   |   | Sold           | 09/11/15 | J |   |  |
| 286. Novartis          | A | Dividend | J | T | Buy<br>(add'l) | 02/09/15 | J |   |  |
| 287. Norvartis         |   |          |   |   | Buy<br>(add'l) | 03/25/15 | J |   |  |
| 288. Norvartis         |   |          |   |   | Buy<br>(add'l) | 04/21/15 | J |   |  |
| 289. Norvartis         |   |          |   |   | Buy<br>(add'l) | 04/28/15 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 290. Norvartis                                                                                                              |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 05/15/15                | J                               |                                |                                                                   |
| 291. Norvartis                                                                                                              |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/08/15                | J                               |                                |                                                                   |
| 292. Norvartis                                                                                                              |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/25/15                | J                               |                                |                                                                   |
| 293. Novartis                                                                                                               |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/22/15                | J                               |                                |                                                                   |
| 294. Norvartis                                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/08/15                | J                               |                                |                                                                   |
| 295. Norvartis                                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/13/15                | J                               |                                |                                                                   |
| 296. Norvartis                                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/10/15                | J                               |                                |                                                                   |
| 297. Norvartis                                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/10/15                | J                               |                                |                                                                   |
| 298. Oceaneering Intl.                                                                                                      |                                         | None                                          |                                                 |                                           | Buy                                             | 01/20/15                | J                               |                                |                                                                   |
| 299. Oceaneering Intl.                                                                                                      |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/13/15                | J                               |                                |                                                                   |
| 300. Oceaneering Intl.                                                                                                      |                                         |                                               |                                                 |                                           | Sold                                            | 02/17/15                | J                               |                                |                                                                   |
| 301. Priceline                                                                                                              |                                         | None                                          | K                                               | T                                         | Buy<br>(add'l)                                  | 01/20/15                | J                               |                                |                                                                   |
| 302. Priceline                                                                                                              |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/23/15                | J                               |                                |                                                                   |
| 303. Priceline                                                                                                              |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/02/15                | J                               |                                |                                                                   |
| 304. Priceline                                                                                                              |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/25/15                | J                               |                                |                                                                   |
| 305. Priceline                                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/05/15                | J                               | A                              |                                                                   |
| 306. Priceline                                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/06/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 307. Priceline                                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/08/15                | J                               | B                              |                                                                   |
| 308. Priceline                                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/06/15                | J                               | B                              |                                                                   |
| 309. Publicis Groupe                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/23/15                | J                               |                                |                                                                   |
| 310. Publicis Groupe                                                                                                        |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/26/15                | J                               |                                |                                                                   |
| 311. Publicis Groupe                                                                                                        |                                         |                                               |                                                 |                                           | Sold                                            | 09/03/15                | J                               |                                |                                                                   |
| 312. Sony Corp.                                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/23/15                | J                               |                                |                                                                   |
| 313. Sony Corp.                                                                                                             |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/21/15                | J                               | A                              |                                                                   |
| 314. Sony Corp.                                                                                                             |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/15/15                | J                               |                                |                                                                   |
| 315. Shire PLC                                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 04/28/15                | J                               |                                |                                                                   |
| 316. Shire PLC                                                                                                              |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/24/15                | J                               |                                |                                                                   |
| 317. Shire PLC                                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/10/15                | J                               |                                |                                                                   |
| 318. Shire PLC                                                                                                              |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/10/15                | J                               |                                |                                                                   |
| 319. Schwab Charles                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 10/08/15                | J                               | A                              |                                                                   |
| 320. Seven & I Holdings                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 06/01/15                | J                               | A                              |                                                                   |
| 321. Splunk Inc.                                                                                                            |                                         | None                                          | K                                               | T                                         | Buy<br>(add'l)                                  | 01/20/15                | J                               |                                |                                                                   |
| 322. Splunk Inc.                                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/28/15                | J                               |                                |                                                                   |
| 323. Splunk Inc.                                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/28/15                | J                               |                                |                                                                   |

|                                                  |                                                                                    |                                                      |                                                                                       |                                                            |                        |
|--------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------------------------|---------------------------------------------------------------------------------------|------------------------------------------------------------|------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A ~\$1,000 or less<br>F ~\$50,001 - \$100,000                                      | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000                                 | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J ~\$15,000 or less<br>N ~\$250,001 - \$500,000<br>P3 ~\$25,000,001 - \$50,000,000 | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000<br>P4 ~More than \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 |                        |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                    | R = Cost (Real Estate Only)<br>V = Other             | S = Assessment<br>W = Estimated                                                       | T = Cash Market                                            |                        |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 324. Splunk Inc.                                                                                                            |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/08/15                | J                               | A                              |                                                                   |
| 325. Splunk Inc.                                                                                                            |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/09/15                | J                               |                                |                                                                   |
| 326. Splunk Inc.                                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/10/15                | J                               |                                |                                                                   |
| 327. Splunk Inc.                                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/21/15                | J                               |                                |                                                                   |
| 328. SunTrust Banks                                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 329. Tiffany                                                                                                                | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 10/08/15                | J                               | A                              |                                                                   |
| 330. Tiffany                                                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/09/15                | J                               |                                |                                                                   |
| 331. Tiffany                                                                                                                |                                         |                                               |                                                 |                                           | Sold                                            | 12/10/15                | J                               |                                |                                                                   |
| 332. Chevron                                                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/15/15                | J                               | A                              |                                                                   |
| 333. Chevron                                                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/05/15                | J                               | A                              |                                                                   |
| 334. Chevron                                                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/24/15                | J                               |                                |                                                                   |
| 335. Chevron                                                                                                                |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/17/15                | J                               |                                |                                                                   |
| 336. Chevron                                                                                                                |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/23/15                | J                               |                                |                                                                   |
| 337. Chevron                                                                                                                |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 338. Chevron                                                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/09/15                | J                               |                                |                                                                   |
| 339. Home Depot                                                                                                             | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 02/17/15                | J                               | A                              |                                                                   |
| 340. Home Depot                                                                                                             |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/17/15                | J                               |                                |                                                                   |

|                                                  |                                                                                                                                          |                                                                                                                |                                                                                                                                                      |                                                                                                                      |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | Q = Appraisal<br>U = Book Value                                                                                                          | R = Cost (Real Estate Only)<br>V = Other                                                                       | S = Assessment<br>W = Estimated                                                                                                                      | T = Cash Market                                                                                                      |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                              |   |          |   |   |                |          |   |   |               |
|------------------------------|---|----------|---|---|----------------|----------|---|---|---------------|
| 341. Home Depot              |   |          |   |   | Buy<br>(add'l) | 09/23/15 | J |   |               |
| 342. J.P. Morgan Chase       | A | Dividend | K | T | Buy<br>(add'l) | 05/27/15 | J |   |               |
| 343. J.P. Morgan Chase       |   |          |   |   | Buy<br>(add'l) | 10/12/15 | J |   |               |
| 344. Johnson Controls        | A | Dividend |   |   | Sold<br>(part) | 02/05/15 | J | A |               |
| 345. Johnson Controls        |   |          |   |   | Sold           | 02/06/15 | J | A |               |
| 346. Google/Alphabet         |   | None     | K | T | Buy<br>(add'l) | 01/20/15 | K |   | See Part VIII |
| 347. Comcast                 |   |          | J | T | Sold<br>(part) | 05/29/15 | J | C |               |
| 348. Comcast                 |   |          |   |   | Sold<br>(part) | 06/15/15 | J | B |               |
| 349. Comcast                 |   |          |   |   | Buy<br>(add'l) | 10/12/15 | J |   |               |
| 350. ENI SPA                 |   |          |   |   |                |          |   |   | See Part VIII |
| 351. Goldman Sachs           | A | Dividend | J | T | Buy<br>(add'l) | 04/01/15 | J |   |               |
| 352. Goldman Sachs           |   |          |   |   | Buy<br>(add'l) | 10/12/15 | J |   |               |
| 353. Goldman Sachs           |   |          |   |   | Sold<br>(part) | 12/09/15 | J |   |               |
| 354. Societe Generale France | A | Dividend | J | T | Buy            | 02/26/15 | J |   |               |
| 355. Societe Generale France |   |          |   |   | Buy<br>(add'l) | 10/23/15 | J |   |               |
| 356. Toyota                  | A | Dividend | K | T | Buy<br>(add'l) | 01/20/15 | J |   |               |
| 357. Toyota                  |   |          |   |   | Sold<br>(part) | 03/06/15 | J | A |               |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 358. Toyota                                                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/08/15                | J                               | A                              |                                                                   |
| 359. Toyota                                                                                                                 |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/24/15                | J                               |                                |                                                                   |
| 360. Toyota                                                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/04/15                | J                               | A                              |                                                                   |
| 361. United Parcel Service                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 362. United Parcel Service                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/29/15                | J                               |                                |                                                                   |
| 363. Schlumberger                                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/26/15                | J                               |                                |                                                                   |
| 364. Schlumberger                                                                                                           |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/06/15                | J                               |                                |                                                                   |
| 365. Schlumberger                                                                                                           |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 366. Schlumberger                                                                                                           |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/09/15                | J                               |                                |                                                                   |
| 367. US Bancorp                                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 368. Visa Inc.                                                                                                              | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 11/05/15                | J                               | C                              |                                                                   |
| 369. Access Midstream Partners LP                                                                                           |                                         | None                                          |                                                 |                                           | Merged<br>(with line 401)                       | 02/02/15                | J                               |                                |                                                                   |
| 370. Amerigas Partners                                                                                                      | A                                       | Distribution                                  | J                                               | T                                         | Buy<br>(add'l)                                  | 03/24/15                | J                               |                                |                                                                   |
| 371. Enbridge Energy Partners                                                                                               | B                                       | Distribution                                  | J                                               | T                                         | Buy<br>(add'l)                                  | 03/27/15                | J                               |                                |                                                                   |
| 372. Energy Transfer Partners                                                                                               | C                                       | Distribution                                  | K                                               | T                                         | Buy<br>(add'l)                                  | 03/24/15                | J                               |                                |                                                                   |
| 373. Energy Transfer Equity                                                                                                 | C                                       | Distribution                                  | K                                               | T                                         | Buy<br>(add'l)                                  | 03/24/15                | J                               |                                |                                                                   |
| 374. Energy Transfer Equity                                                                                                 | C                                       |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/26/15                | J                               | B                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 375. Energy Transfer Equity                                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/09/15                | J                               | A                              |                                                                   |
| 376. Enlink Midstream Ream Partners                                                                                         | B                                       | Distribution                                  | J                                               | T                                         | Buy<br>(add'l)                                  | 03/24/15                | J                               |                                |                                                                   |
| 377. Enlink Midstream Ream Partners                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/26/15                | J                               |                                |                                                                   |
| 378. Enterprise Products Partners                                                                                           | C                                       | Distribution                                  | L                                               | T                                         | Sold<br>(part)                                  | 02/26/15                | J                               | A                              |                                                                   |
| 379. Enterprise Products Partners                                                                                           |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/24/15                | J                               |                                |                                                                   |
| 380. EQT Midstream Partners                                                                                                 | A                                       | Distribution                                  | K                                               | T                                         | Buy<br>(add'l)                                  | 03/24/15                | J                               |                                |                                                                   |
| 381. EQT Midstream Partners                                                                                                 |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/10/15                | J                               |                                |                                                                   |
| 382. EV Energy Partners                                                                                                     |                                         | None                                          |                                                 |                                           | Sold                                            | 02/11/15                | J                               |                                |                                                                   |
| 383. Genesis Energy LP                                                                                                      | B                                       | Distribution                                  | K                                               | T                                         | Buy<br>(add'l)                                  | 03/24/15                | J                               |                                |                                                                   |
| 384. Genesis Energy LP                                                                                                      |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/20/15                | J                               |                                |                                                                   |
| 385. Plains All American Pipeline LP                                                                                        | B                                       | Distribution                                  | K                                               | T                                         | Buy<br>(add'l)                                  | 02/26/15                | J                               |                                |                                                                   |
| 386. Plains All American Pipeline LP                                                                                        |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/24/15                | J                               |                                |                                                                   |
| 387. Shell Midstream Partners LP                                                                                            |                                         | None                                          | J                                               | T                                         | Buy                                             | 12/18/15                |                                 |                                |                                                                   |
| 388. General Electric                                                                                                       | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 01/26/15                | J                               |                                |                                                                   |
| 389. General Electric                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/27/15                | J                               |                                |                                                                   |
| 390. General Electric                                                                                                       |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                |                                 |                                |                                                                   |
| 391. Travelers Cos.                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 09/23/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

# FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 392. Travelers Cos.                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 393. Travelers Cos.                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/29/15                | J                               | B                              |                                                                   |
| 394. Kinder Morgan Energy LP                                                                                                |                                         |                                               |                                                 |                                           | Sold                                            | 11/18/14                | J                               | A                              | See Part VIII                                                     |
| 395. Magellan Mainstream Partners LP                                                                                        | B                                       | Distribution                                  | K                                               | T                                         | Buy<br>(add'l)                                  | 03/24/15                | K                               |                                |                                                                   |
| 396. Markwest Energy Partners LP                                                                                            | B                                       | Distribution                                  |                                                 |                                           | Sold                                            | 12/04/15                | J                               |                                |                                                                   |
| 397. Oneok Partners LP                                                                                                      | B                                       | Distribution                                  | J                                               | T                                         | Buy<br>(add'l)                                  | 03/24/15                | J                               |                                |                                                                   |
| 398. Regency Energy Partners LP                                                                                             |                                         | None                                          |                                                 |                                           | Merged<br>(with line 372)                       | 05/01/15                | J                               |                                |                                                                   |
| 399. Targa Res Partners LP                                                                                                  | A                                       | Distribution                                  |                                                 |                                           | Sold<br>(part)                                  | 12/16/15                | J                               |                                |                                                                   |
| 400. Targa Res Partners LP                                                                                                  |                                         |                                               |                                                 |                                           | Sold                                            | 12/22/15                | J                               |                                |                                                                   |
| 401. Williams Partners LP                                                                                                   | B                                       | Distribution                                  | J                                               | T                                         | Buy<br>(add'l)                                  | 03/24/15                | J                               |                                |                                                                   |
| 402. Williams Partners LP                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/16/15                | J                               |                                |                                                                   |
| 403. Williams Partners LP                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/22/15                | J                               |                                |                                                                   |
| 404. Amazon.com                                                                                                             |                                         | None                                          |                                                 |                                           | Buy                                             | 01/20/15                | J                               |                                |                                                                   |
| 405. Amazon.com                                                                                                             |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/08/15                | J                               | B                              |                                                                   |
| 406. Northrup Grumman                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 407. Northrup Grumman                                                                                                       |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/15/15                | J                               | B                              |                                                                   |
| 408. Pfizer                                                                                                                 | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 09/10/15                | J                               |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

# FINANCIAL DISCLOSURE REPORT

Page 28 of 52

Name of Person Reporting

ROSS, ALLYNE R.

Date of Report

04/06/2016

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 409. Pfizer                                                                                                                 |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 410. Pfizer                                                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/19/15                | J                               |                                |                                                                   |
| 411. Vodafone                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 02/25/15                | J                               | A                              |                                                                   |
| 412. Vodafone                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/10/15                | J                               |                                |                                                                   |
| 413. Vodafone                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/10/15                | J                               |                                |                                                                   |
| 414. Wells Fargo                                                                                                            | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 05/27/15                | J                               |                                |                                                                   |
| 415. Wells Fargo                                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 416. Honeywell International                                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 05/22/15                | J                               |                                |                                                                   |
| 417. Honeywell International                                                                                                |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 418. Mitsubishi UFJ Financial                                                                                               | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 01/23/15                | J                               |                                |                                                                   |
| 419. Mitsubishi UFJ Financial                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/06/15                | J                               | A                              |                                                                   |
| 420. Mitsubishi UFJ Financial                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/05/15                | J                               | A                              |                                                                   |
| 421. Mondelez Intl                                                                                                          | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 09/02/15                | J                               | A                              |                                                                   |
| 422. General Mills                                                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/14/15                | J                               | B                              |                                                                   |
| 423. Fifth Third Bancorp                                                                                                    | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 06/11/15                | J                               | B                              |                                                                   |
| 424. Johnson & Johnson                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 425. Trishield Special Situations Fund                                                                                      |                                         | None                                          | P1                                              | U                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 426. Abbott Labs                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 427. Abbvie                                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 428. Ace Ltd.                                                                                                               |                                         | None                                          | K                                               | T                                         | Sold<br>(part)                                  | 10/08/15                | J                               | B                              |                                                                   |
| 429. Ace Ltd.                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/29/15                | J                               | A                              |                                                                   |
| 430. Ace Ltd.                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/02/15                | J                               | A                              |                                                                   |
| 431. Chubb Corp.                                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 02/17/15                | J                               | A                              |                                                                   |
| 432. Chubb Corp.                                                                                                            |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/16/15                | J                               | C                              |                                                                   |
| 433. Chubb Corp.                                                                                                            |                                         |                                               |                                                 |                                           | Sold                                            | 06/17/15                | J                               | A                              |                                                                   |
| 434. Diageo PLC                                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 03/10/15                | J                               |                                |                                                                   |
| 435. Diageo PLC                                                                                                             |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/27/15                | J                               | A                              |                                                                   |
| 436. Dollar General                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 04/29/15                | J                               |                                |                                                                   |
| 437. Dollar General                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 05/27/15                | J                               |                                |                                                                   |
| 438. Dollar General                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 439. Dollar General                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/29/15                | J                               |                                |                                                                   |
| 440. Dollar General                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/09/15                | J                               |                                |                                                                   |
| 441. DBS Group                                                                                                              | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/09/15                | J                               |                                |                                                                   |
| 442. DBS Group                                                                                                              |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/13/15                | J                               |                                |                                                                   |

|                         |                                  |                             |                                |                                 |                         |
|-------------------------|----------------------------------|-----------------------------|--------------------------------|---------------------------------|-------------------------|
| 1. Income Gain Codes:   | A = \$1,000 or less              | B = \$1,001 - \$2,500       | C = \$2,501 - \$5,000          | D = \$5,001 - \$15,000          | E = \$15,001 - \$50,000 |
| (See Columns B1 and D4) | F = \$50,001 - \$100,000         | G = \$100,001 - \$1,000,000 | H1 = \$1,000,001 - \$5,000,000 | H2 = More than \$5,000,000      |                         |
| 2. Value Codes          | J = \$15,000 or less             | K = \$15,001 - \$50,000     | L = \$50,001 - \$100,000       | M = \$100,001 - \$250,000       |                         |
| (See Columns C1 and D3) | N = \$250,001 - \$500,000        | O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000 | P2 = \$5,000,001 - \$25,000,000 |                         |
|                         | P3 = \$25,000,001 - \$50,000,000 |                             | P4 = More than \$50,000,000    |                                 |                         |
| 3. Value Method Codes   | Q = Appraisal                    | R = Cost (Real Estate Only) | S = Assessment                 | T = Cash Market                 |                         |
| (See Column C2)         | U = Book Value                   | V = Other                   | W = Estimated                  |                                 |                         |

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                    |   |          |   |   |                |          |   |   |  |
|--------------------|---|----------|---|---|----------------|----------|---|---|--|
| 443. DBS Group     |   |          |   |   | Buy<br>(add'l) | 06/05/15 | J |   |  |
| 444. DBS Group     |   |          |   |   | Sold<br>(part) | 09/29/15 | J |   |  |
| 445. DBS Group     |   |          |   |   | Sold           | 10/30/15 | J |   |  |
| 446. Arcelormittal |   | None     | J | T | Buy            | 12/11/15 | J |   |  |
| 447. Deutsche Bank |   | None     |   |   | Buy            | 06/08/15 | J |   |  |
| 448. Deutsche Bank |   |          |   |   | Buy<br>(add'l) | 06/25/15 | J |   |  |
| 449. Deutsche Bank |   |          |   |   | Sold           | 11/10/15 | J |   |  |
| 450. Credit Suisse | A | Dividend |   |   | Buy            | 3/10/15  | J |   |  |
| 451. Credit Suisse |   |          |   |   | Buy<br>(add'l) | 05/21/15 | J |   |  |
| 452. Credit Suisse |   |          |   |   | Buy<br>(add'l) | 05/28/15 |   |   |  |
| 453. Credit Suisse |   |          |   |   | Sold<br>(part) | 10/23/15 | J |   |  |
| 454. Credit Suisse |   |          |   |   | Sold<br>(part) | 11/10/15 | J |   |  |
| 455. Credit Suisse |   |          |   |   | Sold           | 12/10/15 | J |   |  |
| 456. Exelon Corp.  | A | Dividend |   |   | Buy            | 10/14/15 | J |   |  |
| 457. Exelon Corp.  |   |          |   |   | Sold           | 12/09/15 |   |   |  |
| 458. AXA           | A | Dividend | J | T | Buy<br>(add'l) | 03/16/15 | J |   |  |
| 459. AXA           |   |          |   |   | Sold<br>(part) | 06/15/15 | J | A |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                  |   |          |   |   |                |          |   |   |  |
|----------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 460. AXA                         |   |          |   |   | Sold<br>(part) | 10/13/15 | J | A |  |
| 461. AXA                         |   |          |   |   | Buy<br>(add'l) | 11/30/15 | J |   |  |
| 462. Engie                       |   | None     |   |   | Buy            | 01/23/15 | J |   |  |
| 463. Engie                       |   |          |   |   | Sold           | 10/06/15 | J |   |  |
| 464. Gap                         | A | Dividend | J | T | Buy            | 08/13/15 | J |   |  |
| 465. Gap                         |   |          |   |   | Buy<br>(add'l) | 08/14/15 | J |   |  |
| 466. Gap                         |   |          |   |   | Sold<br>(part) | 12/09/15 | J |   |  |
| 467. Glaxo SmithKline            |   | None     | J | T | Buy            | 10/13/15 | J |   |  |
| 468. Glaxo SmithKline            |   |          |   |   | Buy<br>(add'l) | 10/23/15 | J |   |  |
| 469. Glaxo SmithKline            |   |          |   |   | Buy<br>(add'l) | 12/09/15 | J |   |  |
| 470. ishares Russell 1000 Growth | A | Dividend | K | T | Buy            | 11/09/15 | M |   |  |
| 471. ishares Russell 1000 Growth |   |          |   |   | Sold<br>(part) | 12/10/15 | M |   |  |
| 472. ishares Russell 1000 Growth |   |          |   |   | Buy<br>(add'l) | 12/28/15 | K |   |  |
| 473. ishares MSCI EAFE           | B | Dividend | L | T | Buy            | 11/11/15 | M |   |  |
| 474. ishares MSCI EAFE           |   |          |   |   | Sold<br>(part) | 12/11/15 | K |   |  |
| 475. Itau Unibanco               | A | Dividend | J | T | Buy            | 04/24/15 | J |   |  |
| 476. Itau Unibanco               |   |          |   |   | Buy<br>(add'l) | 05/01/15 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes:<br>(See Columns C1 and D3)       | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes:<br>(See Column C2)        | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                            |   |          |   |   |                |          |   |   |               |
|--------------------------------------------|---|----------|---|---|----------------|----------|---|---|---------------|
| 477. Itau Unibanco                         |   |          |   |   | Buy<br>(add'l) | 08/27/15 | J |   |               |
| 478. Itau Unibanco                         |   |          |   |   | Buy<br>(add'l) | 12/11/15 | J |   |               |
| 479. Itau Unibanco                         |   |          |   |   | Sold<br>(part) | 11/10/15 | J |   |               |
| 480. Itau Unibanco                         |   |          |   |   | Sold<br>(part) | 12/31/15 | J |   |               |
| 481. American Express                      | A | Dividend | J | T | Sold<br>(part) | 06/15/15 | J | A |               |
| 482. American Express                      |   |          |   |   | Sold<br>(part) | 10/29/15 | J | A |               |
| 483. ASML Holding                          |   | None     |   |   | Sold           | 01/07/14 | J | A | See Part VIII |
| 484. Australia & New Zealand Banking Group | A | Dividend | J | T | Sold<br>(part) | 03/06/15 | J | A |               |
| 485. Australia & New Zealand Banking Group |   |          |   |   | Sold<br>(part) | 11/10/15 | J |   |               |
| 486. Australia & New Zealand Banking Group |   |          |   |   | Buy<br>(add'l) | 12/11/15 | J |   |               |
| 487. National Grid PLC                     | A | Dividend | J | T | Buy            | 03/18/15 | J |   |               |
| 488. National Grid PLC                     |   |          |   |   | Buy<br>(add'l) | 03/24/15 | J |   |               |
| 489. National Grid PLC                     |   |          |   |   | Buy<br>(add'l) | 06/25/15 | J |   |               |
| 490. National Grid PLC                     |   |          |   |   | Buy<br>(add'l) | 09/27/15 | J |   |               |
| 491. Nissan Motor                          | A | Dividend | J | T | Buy            | 06/22/15 | J |   |               |
| 492. Nissan Motor                          |   |          |   |   | Buy<br>(add'l) | 06/24/15 | J |   |               |
| 493. Nissan Motor                          |   |          |   |   | Buy<br>(add'l) | 07/13/15 | J |   |               |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                          |   |          |   |   |                |          |   |   |  |
|--------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 494. Oracle Corp.        | A | Dividend | J | T | Buy            | 07/16/15 | J |   |  |
| 495. Oracle Corp.        |   |          |   |   | Buy<br>(add'l) | 08/28/15 | J |   |  |
| 496. Oracle Corp.        |   |          |   |   | Buy<br>(add'l) | 10/12/15 | J |   |  |
| 497. Quest Diagnostics   | A | Dividend | J | T | Buy            | 03/17/15 | J |   |  |
| 498. Quest Diagnostics   |   |          |   |   | Buy<br>(add'l) | 03/18/15 | J |   |  |
| 499. Quest Diagnostics   |   |          |   |   | Buy<br>(add'l) | 03/19/15 | J |   |  |
| 500. Quest Diagnostics   |   |          |   |   | Buy<br>(add'l) | 10/29/15 | J |   |  |
| 501. Quest Diagnostics   |   |          |   |   | Sold<br>(part) | 12/09/15 | J |   |  |
| 502. Barclays PLC        | A | Dividend |   |   | Sold<br>(part) | 11/10/15 | J |   |  |
| 503. Barclays PLC        |   |          |   |   | Sold           | 12/10/15 | J |   |  |
| 504. Reckitt BeneKiser   | A | Dividend |   |   | Buy            | 01/07/15 | J |   |  |
| 505. Reckitt BeneKiser   |   |          |   |   | Sold<br>(part) | 04/22/15 | J | A |  |
| 506. Reckitt BeneKiser   |   |          |   |   | Sold           | 05/05/15 | J | A |  |
| 507. Reynolds American   | A | Dividend | J | T | Buy            | 09/03/15 | J |   |  |
| 508. United Health Group | A | Dividend | K | T | Buy            | 01/14/15 | J |   |  |
| 509. United Health Group |   |          |   |   | Buy<br>(add'l) | 01/15/15 | J |   |  |
| 510. United Health Group |   |          |   |   | Buy<br>(add'l) | 06/15/15 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                            |   |          |   |   |                |          |   |   |  |
|----------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 511. United Health Group   |   |          |   |   | Sold<br>(part) | 12/09/15 | J |   |  |
| 512. United Health Group   |   |          |   |   | Sold<br>(part) | 12/30/15 | J | A |  |
| 513. Walmart Stores        | A | Dividend |   |   | Buy            | 03/10/15 |   |   |  |
| 514. Walmart Stores        |   |          |   |   | Sold           | 04/22/15 | J | A |  |
| 515. Vanguard Value ETF    | B | Dividend | M | T | Buy            | 12/10/15 | M |   |  |
| 516. Infineon Technologies |   | None     | J | T | Buy            | 03/12/15 | J |   |  |
| 517. Infineon Technologies |   |          |   |   | Buy<br>(add'l) | 04/21/15 | J |   |  |
| 518. Koninkluke Philips    |   | None     | J | T | Buy            | 10/19/15 | J |   |  |
| 519. Koninkluke Philips    |   |          |   |   | Buy<br>(add'l) | 10/23/15 | J |   |  |
| 520. Lloyds Banking Group  | A | Dividend | J | T | Buy            | 05/05/15 | J |   |  |
| 521. Lloyds Banking Group  |   |          |   |   | Buy<br>(add'l) | 05/12/15 | J |   |  |
| 522. Lloyds Banking Group  |   |          |   |   | Buy<br>(add'l) | 09/29/15 | J |   |  |
| 523. Lloyds Banking Group  |   |          |   |   | Buy<br>(add'l) | 08/14/15 | J |   |  |
| 524. Lloyds Banking Group  |   |          |   |   | Sold<br>(part) | 11/18/15 | J |   |  |
| 525. Lloyds Banking Group  |   |          |   |   | Buy<br>(add'l) | 12/11/15 | J |   |  |
| 526. Mobileye              |   | None     | K | T | Buy            | 02/02/15 | J |   |  |
| 527. Mobileye              |   |          |   |   | Buy<br>(add'l) | 02/03/15 | J |   |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                       |  |      |   |   |                |          |   |   |  |
|-----------------------|--|------|---|---|----------------|----------|---|---|--|
| 528. Mobileye         |  |      |   |   | Buy<br>(add'l) | 02/06/15 | J |   |  |
| 529. Mobileye         |  |      |   |   | Buy<br>(add'l) | 02/13/15 | J |   |  |
| 530. Mobileye         |  |      |   |   | Buy<br>(add'l) | 02/23/15 | J |   |  |
| 531. Mobileye         |  |      |   |   | Buy<br>(add'l) | 02/24/15 | J |   |  |
| 532. Mobileye         |  |      |   |   | Sold<br>(part) | 07/14/15 | J | B |  |
| 533. Mobileye         |  |      |   |   | Sold<br>(part) | 07/22/15 | J | A |  |
| 534. Mobileye         |  |      |   |   | Buy<br>(add'l) | 09/10/15 | J |   |  |
| 535. Mobileye         |  |      |   |   | Sold<br>(part) | 10/08/15 | J | A |  |
| 536. Mobileye         |  |      |   |   | Buy<br>(add'l) | 11/12/15 | J |   |  |
| 537. Monster Beverage |  | None | K | T | Buy            | 02/27/15 | J |   |  |
| 538. Monster Beverage |  |      |   |   | Buy<br>(add'l) | 03/11/15 | J |   |  |
| 539. Monster Beverage |  |      |   |   | Buy<br>(add'l) | 03/25/15 | J |   |  |
| 540. Monster Beverage |  |      |   |   | Buy<br>(add'l) | 05/08/15 | J |   |  |
| 541. Monster Beverage |  |      |   |   | Buy<br>(add'l) | 05/27/15 | J |   |  |
| 542. Monster Beverage |  |      |   |   | Buy<br>(add'l) | 06/03/15 | J |   |  |
| 543. Monster Beverage |  |      |   |   | Buy<br>(add'l) | 08/12/15 | J |   |  |
| 544. Monster Beverage |  |      |   |   | Sold<br>(part) | 10/08/15 | J | A |  |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                           |   |          |   |   |                |          |   |   |  |
|---------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 545. Nokia                |   | None     | J | T | Buy            | 09/01/15 | J |   |  |
| 546. Nokia                |   |          |   |   | Buy<br>(add'l) | 09/09/15 | J |   |  |
| 547. Nokia                |   |          |   |   | Buy<br>(add'l) | 09/23/15 | J |   |  |
| 548. Nokia                |   |          |   |   | Buy<br>(add'l) | 10/14/15 | J |   |  |
| 549. Nordea Bank          |   | None     | J | T | Buy            | 11/20/15 | J |   |  |
| 550. Nordea Bank          |   |          |   |   | Buy<br>(add'l) | 11/24/15 | J |   |  |
| 551. Teva Pharmaceuticals |   | None     | J | T | Buy            | 12/16/15 | J |   |  |
| 552. Unibail-Rodamco      | A | Dividend |   |   | Buy            | 01/20/15 | J |   |  |
| 553. Unibail-Rodamco      |   |          |   |   | Buy<br>(add'l) | 01/23/15 | J |   |  |
| 554. Unibail-Rodamco      |   |          |   |   | Sold           | 04/16/15 | J |   |  |
| 555. Bayer                | A | Dividend | J | T | Sold<br>(part) | 02/09/15 | J | B |  |
| 556. Bayer                |   |          |   |   | Sold<br>(part) | 03/06/15 | J | A |  |
| 557. Bayer                |   |          |   |   | Sold<br>(part) | 03/19/15 | J | A |  |
| 558. Bayer                |   |          |   |   | Sold<br>(part) | 03/25/15 | J | A |  |
| 559. Bayer                |   |          |   |   | Sold<br>(part) | 03/26/15 | J | A |  |
| 560. Bayer                |   |          |   |   | Sold<br>(part) | 10/08/15 | J | A |  |
| 561. Bayer                |   |          |   |   | Buy<br>(add'l) | 12/11/15 | J |   |  |

|                                                  |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        |                                                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                                                                          |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 562. BG Group                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/23/15                | J                               |                                |                                                                   |
| 563. BG Group                                                                                                               |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/05/15                | J                               |                                |                                                                   |
| 564. BG Group                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/10/15                | J                               |                                |                                                                   |
| 565. Under Armour                                                                                                           |                                         | None                                          | J                                               | T                                         | Buy                                             | 01/21/15                | J                               |                                |                                                                   |
| 566. Under Armour                                                                                                           |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/26/15                | J                               |                                |                                                                   |
| 567. Under Armour                                                                                                           |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/13/15                | J                               |                                |                                                                   |
| 568. Under Armour                                                                                                           |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/08/15                | J                               | A                              |                                                                   |
| 569. BHP Billiton                                                                                                           | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 08/19/15                | J                               |                                |                                                                   |
| 570. BHP Billiton                                                                                                           |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/24/15                | J                               |                                |                                                                   |
| 571. BNP Paribas                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/23/15                | J                               |                                |                                                                   |
| 572. BNP Paribas                                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/06/16                | J                               |                                |                                                                   |
| 573. Bristol Myers Squibb                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 06/15/15                | J                               | A                              |                                                                   |
| 574. Bristol Myers Squibb                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/16/15                | J                               | A                              |                                                                   |
| 575. Bristol Myers Squibb                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/20/15                | J                               | B                              |                                                                   |
| 576. Bristol Myers Squibb                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/07/15                | J                               | B                              |                                                                   |
| 577. Bristol Myers Squibb                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/16/15                | J                               | B                              |                                                                   |
| 578. Bristol Myers Squibb                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/10/15                | J                               | A                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes:<br>(See Columns C1 and D3)       | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes:<br>(See Column C2)        | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 579. Bristol Myers Squibb                                                                                                   |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 580. British American Tobacco                                                                                               | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/23/15                | J                               |                                |                                                                   |
| 581. British American Tobacco                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/10/15                | J                               |                                |                                                                   |
| 582. Centrica                                                                                                               |                                         | None                                          | J                                               | T                                         | Buy                                             | 12/11/15                | J                               |                                |                                                                   |
| 583. Coca Cola                                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 05/27/15                | J                               |                                |                                                                   |
| 584. Coca Cola                                                                                                              |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 585. Conoco Phillips                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 586. Phillips 66                                                                                                            | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 05/29/15                | J                               | B                              |                                                                   |
| 587. Deutsche Telekom                                                                                                       | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/09/15                | J                               |                                |                                                                   |
| 588. Deutsche Telekom                                                                                                       |                                         |                                               |                                                 |                                           | Sold                                            | 08/14/15                | J                               | A                              |                                                                   |
| 589. Diageo PLC                                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 05/27/15                | J                               | A                              |                                                                   |
| 590. Dominion Resources                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 591. Dominion Resources                                                                                                     |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/09/15                | J                               |                                |                                                                   |
| 592. Dupont                                                                                                                 | A                                       | Dividend                                      | K                                               | T                                         | Sold<br>(part)                                  | 05/22/15                | J                               | A                              |                                                                   |
| 593. Dupont                                                                                                                 |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 594. Exxon Mobil                                                                                                            | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 03/05/15                | J                               | A                              |                                                                   |
| 595. Exxon Mobil                                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/24/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 596. Exxon Mobil                                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/27/15                | J                               | A                              |                                                                   |
| 597. Exxon Mobil                                                                                                            |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 598. Exxon Mobil                                                                                                            |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/09/15                | K                               |                                |                                                                   |
| 599. GDF Suez                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/23/15                | J                               |                                |                                                                   |
| 600. GDF Suez                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 02/27/15                | J                               |                                |                                                                   |
| 601. GDF Suez                                                                                                               |                                         |                                               |                                                 |                                           | Sold                                            | 05/18/15                | J                               |                                |                                                                   |
| 602. HSBC Holdings                                                                                                          |                                         | None                                          |                                                 |                                           | Sold<br>(part)                                  | 01/13/15                | J                               | A                              |                                                                   |
| 603. HSBC Holdings                                                                                                          |                                         |                                               |                                                 |                                           | Sold                                            | 01/20/15                | J                               | A                              |                                                                   |
| 604. CK Hutchison (formerly Hutchison Whampoa)                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 07/06/15                | J                               |                                |                                                                   |
| 605. Hutchison Whampoa                                                                                                      |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/10/15                | J                               |                                |                                                                   |
| 606. Hutchison Whampoa                                                                                                      |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/11/15                | J                               |                                |                                                                   |
| 607. CMS Energy                                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 03/17/15                | J                               |                                |                                                                   |
| 608. CNOOC Ltd.                                                                                                             | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 08/28/15                | J                               |                                |                                                                   |
| 609. CNOOC Ltd.                                                                                                             |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/29/15                | J                               |                                |                                                                   |
| 610. CNOOC Ltd.                                                                                                             |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/03/15                | J                               |                                |                                                                   |
| 611. CNOOC Ltd.                                                                                                             |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/10/15                | J                               |                                |                                                                   |
| 612. CNOOC Ltd.                                                                                                             |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/10/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 613. CVS                                                                                                                    | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 09/01/15                | J                               |                                |                                                                   |
| 614. CVS                                                                                                                    |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/03/15                | J                               |                                |                                                                   |
| 615. CVS                                                                                                                    |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/18/15                | J                               |                                |                                                                   |
| 616. CVS                                                                                                                    |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/08/15                | J                               | A                              |                                                                   |
| 617. CVS                                                                                                                    |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/30/15                | J                               |                                |                                                                   |
| 618. CVS                                                                                                                    |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/18/15                | J                               |                                |                                                                   |
| 619. Illumina Inc.                                                                                                          |                                         | None                                          | K                                               | T                                         | Sold<br>(part)                                  | 07/15/15                | J                               | A                              |                                                                   |
| 620. Illumina Inc.                                                                                                          |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/21/15                | J                               | A                              |                                                                   |
| 621. Illumina Inc.                                                                                                          |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/08/15                | J                               |                                |                                                                   |
| 622. Illumina Inc.                                                                                                          |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/03/15                | J                               | A                              |                                                                   |
| 623. ING                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/23/15                | J                               |                                |                                                                   |
| 624. ING                                                                                                                    |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/13/15                | J                               |                                |                                                                   |
| 625. ING                                                                                                                    |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/30/15                | J                               | A                              |                                                                   |
| 626. ING                                                                                                                    |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/15/15                | J                               |                                |                                                                   |
| 627. Intercontinental Hotels                                                                                                | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 11/26/15                | J                               | A                              |                                                                   |
| 628. IBM                                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/26/15                | J                               |                                |                                                                   |
| 629. IBM                                                                                                                    |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/15/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 630. IBM                                                                                                                    |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 08/07/15                | J                               |                                |                                                                   |
| 631. IBM                                                                                                                    |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/09/15                | J                               |                                |                                                                   |
| 632. McDonalds                                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 01/14/15                | J                               | A                              |                                                                   |
| 633. McDonalds                                                                                                              |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 634. Merck                                                                                                                  | A                                       | Dividend                                      | K                                               | T                                         | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 635. Nextera Energy                                                                                                         | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 636. Nippon Tel&Tel                                                                                                         | A                                       | Dividend                                      | K                                               | T                                         | Buy                                             | 01/23/15                | J                               |                                |                                                                   |
| 637. Nippon Tel&Tel                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/10/15                | J                               |                                |                                                                   |
| 638. Nippon Tel&Tel                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/06/15                | J                               |                                |                                                                   |
| 639. Nippon Tel&Tel                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/25/15                | J                               |                                |                                                                   |
| 640. Nestle                                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/23/15                | J                               |                                |                                                                   |
| 641. Nestle                                                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/25/15                | J                               |                                |                                                                   |
| 642. Nestle                                                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/23/15                | J                               |                                |                                                                   |
| 643. Nestle                                                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/10/15                | J                               |                                |                                                                   |
| 644. Nestle                                                                                                                 |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/11/15                |                                 |                                |                                                                   |
| 645. Aviva                                                                                                                  | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/14/15                | J                               |                                |                                                                   |
| 646. Aviva                                                                                                                  |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/23/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                          |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 647. Aviva                                                                                                                  |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/19/15                | J                               |                                |                                                                   |
| 648. Aviva                                                                                                                  |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/23/15                | J                               |                                |                                                                   |
| 649. Aviva                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/22/15                | J                               |                                |                                                                   |
| 650. Aviva                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/29/15                | J                               |                                |                                                                   |
| 651. Aviva                                                                                                                  |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/10/15                | J                               |                                |                                                                   |
| 652. Aviva                                                                                                                  |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/11/15                | J                               |                                |                                                                   |
| 653. Enel                                                                                                                   | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/23/15                | J                               |                                |                                                                   |
| 654. Enel                                                                                                                   |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/27/15                | J                               |                                |                                                                   |
| 655. Enel                                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/10/15                | J                               |                                |                                                                   |
| 656. Enel                                                                                                                   |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/31/15                | J                               |                                |                                                                   |
| 657. Porsche                                                                                                                | A                                       | Dividend                                      |                                                 |                                           | Buy                                             | 01/23/15                | J                               |                                |                                                                   |
| 658. Porsche                                                                                                                |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 02/06/15                | J                               |                                |                                                                   |
| 659. Porsche                                                                                                                |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 03/26/15                | J                               |                                |                                                                   |
| 660. Porsche                                                                                                                |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 07/30/15                | J                               |                                |                                                                   |
| 661. Porsche                                                                                                                |                                         |                                               |                                                 |                                           | Sold                                            | 08/27/15                | J                               |                                |                                                                   |
| 662. Eversource Energy (formerly named<br>Northeast Utilities)                                                              | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 09/02/15                | J                               | A                              |                                                                   |
| 663. Orix                                                                                                                   | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 07/31/15                | J                               | B                              |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                      |   |          |   |   |                |          |   |   |  |
|--------------------------------------|---|----------|---|---|----------------|----------|---|---|--|
| 664. Philip Morris                   | A | Dividend | J | T | Buy<br>(add'l) | 01/26/15 | J |   |  |
| 665. Praxair                         | A | Dividend | J | T | Buy<br>(add'l) | 10/12/15 | J |   |  |
| 666. Praxair                         |   |          |   |   | Sold<br>(part) | 10/14/15 | J |   |  |
| 667. Praxair                         |   |          |   |   | Sold<br>(part) | 10/29/15 | J |   |  |
| 668. Praxair                         |   |          |   |   | Sold<br>(part) | 12/09/15 | J |   |  |
| 669. Precision Castparts             |   | None     |   |   | Sold<br>(part) | 01/10/15 | J |   |  |
| 670. Precision Castparts             |   |          |   |   | Sold           | 01/26/15 | J |   |  |
| 671. Prudential Financial            | A | Dividend | J | T | Sold<br>(part) | 02/17/15 | J | A |  |
| 672. Prudential Financial            |   |          |   |   | Buy<br>(add'l) | 09/23/15 | J |   |  |
| 673. Prudential Financial            |   |          |   |   | Buy<br>(add'l) | 10/27/15 | J |   |  |
| 674. Prudential PLC                  | A | Dividend | J | T |                |          |   |   |  |
| 675. Public Service Enterprise Group | A | Dividend | J | T | Buy            | 09/21/15 | J |   |  |
| 676. Public Service Enterprise Group |   |          |   |   | Sold<br>(part) | 12/09/15 | J |   |  |
| 677. Roche Holding                   | A | Dividend | J | T | Buy<br>(add'l) | 01/23/15 | J |   |  |
| 678. Roche Holding                   |   |          |   |   | Sold<br>(part) | 11/10/15 | J |   |  |
| 679. Qualcomm                        | A | Dividend | J | T | Sold<br>(part) | 01/29/15 | J |   |  |
| 680. Qualcomm                        |   |          |   |   | Sold<br>(part) | 02/02/15 | J | A |  |

|                                                  |                                                                                       |                                                        |                                                              |                                                                                          |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------|
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | L = \$50,001 - \$100,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                              | T = Cash Market                                                                          |                         |

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                |   |          |   |   |                |          |   |   |  |
|----------------|---|----------|---|---|----------------|----------|---|---|--|
| 681. Qualcomm  |   |          |   |   | Sold<br>(part) | 02/06/15 | J |   |  |
| 682. Qualcomm  |   |          |   |   | Sold<br>(part) | 05/14/15 | J | A |  |
| 683. Qualcomm  |   |          |   |   | Sold<br>(part) | 07/24/15 | J |   |  |
| 684. Qualcomm  |   |          |   |   | Sold<br>(part) | 08/07/15 | J |   |  |
| 685. Qualcomm  |   |          |   |   | Sold<br>(part) | 12/09/15 | J |   |  |
| 686. Repsol    | B | Dividend |   |   | Buy<br>(add'l) | 01/23/15 | J |   |  |
| 687. Repsol    |   |          |   |   | Sold<br>(part) | 08/31/15 | J |   |  |
| 688. Repsol    |   |          |   |   | Sold<br>(part) | 09/14/15 | J |   |  |
| 689. Rio Tinto | A | Dividend | J | T | Buy<br>(add'l) | 01/23/15 | J |   |  |
| 690. Rio Tinto |   |          |   |   | Sold<br>(part) | 04/22/15 | J |   |  |
| 691. Rio Tinto |   |          |   |   | Sold<br>(part) | 04/30/15 | J |   |  |
| 692. Rio Tinto |   |          |   |   | Buy<br>(add'l) | 09/11/15 | J |   |  |
| 693. Rio Tinto |   |          |   |   | Buy<br>(add'l) | 10/07/15 | J |   |  |
| 694. Rio Tinto |   |          |   |   | Buy<br>(add'l) | 10/08/15 | J |   |  |
| 695. Rio Tinto |   |          |   |   | Buy<br>(add'l) | 10/14/15 | J |   |  |
| 696. Rio Tinto |   |          |   |   | Sold<br>(part) | 11/10/15 | J |   |  |
| 697. Rio Tinto |   |          |   |   | Buy<br>(add'l) | 12/11/15 |   |   |  |

|                                                  |                                                                                  |                                                                                  |                                                                                    |                                                                                       |                                                                                                    |
|--------------------------------------------------|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------|------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                  | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000                             | C = \$2,501 - \$5,000<br>H = \$1,000,001 - \$5,000,000                             | D = \$5,001 - \$15,000<br>I = \$15,001 - \$50,000                                     | E = \$15,001 - \$50,000<br>J = \$50,001 - \$100,000                                                |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P = \$500,001 - \$1,000,000 | K = \$15,001 - \$50,000<br>O = \$50,001 - \$100,000<br>Q = \$100,001 - \$250,000 | L = \$50,001 - \$100,000<br>P = \$100,001 - \$250,000<br>R = \$250,001 - \$500,000 | M = \$100,001 - \$250,000<br>S = \$250,001 - \$500,000<br>T = \$500,001 - \$1,000,000 | N = \$1,000,001 - \$5,000,000<br>U = \$5,000,001 - \$25,000,000<br>V = \$25,000,001 - \$50,000,000 |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                  | R = Cost (Real Estate Only)<br>V = Other                                         | S = Assessment<br>W = Estimated                                                    | T = Cash Market                                                                       |                                                                                                    |

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 698. Royal Dutch Shell                                                                                                      | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/23/15                | J                               |                                |                                                                   |
| 699. Royal Dutch Shell                                                                                                      |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/24/15                | J                               |                                |                                                                   |
| 700. Royal Dutch Shell                                                                                                      |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/04/15                | J                               |                                |                                                                   |
| 701. Royal Dutch Shell                                                                                                      |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 11/10/15                | J                               |                                |                                                                   |
| 702. Royal Dutch Shell                                                                                                      |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 12/11/15                | J                               |                                |                                                                   |
| 703. Royal KPN                                                                                                              | A                                       | Dividend                                      | J                                               | T                                         | Buy                                             | 01/23/15                | J                               |                                |                                                                   |
| 704. Salesforce.com                                                                                                         |                                         | None                                          | K                                               | T                                         | Sold<br>(part)                                  | 05/07/15                | J                               | A                              |                                                                   |
| 705. Salesforce.com                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/08/15                | J                               | B                              |                                                                   |
| 706. Salesforce.com                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/29/15                | J                               | A                              |                                                                   |
| 707. Sanofi                                                                                                                 |                                         | None                                          |                                                 |                                           | Buy                                             | 01/23/15                | J                               |                                |                                                                   |
| 708. Sanofi                                                                                                                 |                                         |                                               |                                                 |                                           | Sold                                            | 03/25/15                | J                               | A                              |                                                                   |
| 709. Schneider Electric                                                                                                     | A                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 01/23/15                | J                               |                                |                                                                   |
| 710. Schneider Electric                                                                                                     |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/27/15                | J                               | A                              |                                                                   |
| 711. Schneider Electric                                                                                                     |                                         |                                               |                                                 |                                           | Sold                                            | 05/22/15                | J                               | A                              |                                                                   |
| 712. Sempra Energy                                                                                                          | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 10/14/15                | J                               | B                              |                                                                   |
| 713. Sempra Energy                                                                                                          |                                         |                                               |                                                 |                                           | Sold                                            | 10/15/15                | J                               | B                              |                                                                   |
| 714. Sumitomo Mitsui Financial                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
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| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 715. Toronto Dominion Bank                                                                                                  | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 01/14/15                | J                               | A                              |                                                                   |
| 716. Total S.A. France                                                                                                      | B                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/23/15                | J                               |                                |                                                                   |
| 717. Total S.A. France                                                                                                      |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 01/26/15                | J                               |                                |                                                                   |
| 718. Total S.A. France                                                                                                      |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/30/15                | J                               |                                |                                                                   |
| 719. Total S.A. France                                                                                                      |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 06/24/15                | J                               |                                |                                                                   |
| 720. Total S.A. France                                                                                                      |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/27/15                | J                               |                                |                                                                   |
| 721. Total S.A. France                                                                                                      |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/09/15                | J                               |                                |                                                                   |
| 722. Total S.A. France                                                                                                      |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 723. Total S.A. France                                                                                                      |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/10/15                | J                               |                                |                                                                   |
| 724. Total S.A. France                                                                                                      |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/19/15                | J                               |                                |                                                                   |
| 725. Unilever                                                                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 726. United technologies                                                                                                    | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 08/13/15                | J                               | A                              |                                                                   |
| 727. United Technologies                                                                                                    |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/29/15                | J                               | A                              |                                                                   |
| 728. Verizon Communciations                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Buy<br>(add'l)                                  | 01/26/15                | J                               |                                |                                                                   |
| 729. Verizon Communciations                                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 03/17/15                | J                               | A                              |                                                                   |
| 730. Verizon Communciations                                                                                                 |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/12/15                | J                               |                                |                                                                   |
| 731. Verizon Communciations                                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/09/15                | J                               |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 732. VF Corp.                                                                                                               | A                                       | Dividend                                      |                                                 |                                           | Sold<br>(part)                                  | 01/14/15                | J                               | B                              |                                                                   |
| 733. VF Corp.                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 05/28/15                | J                               | A                              |                                                                   |
| 734. VF Corp.                                                                                                               |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 06/15/15                | J                               | B                              |                                                                   |
| 735. VF Corp.                                                                                                               |                                         |                                               |                                                 |                                           | Sold                                            | 08/20/15                | J                               |                                |                                                                   |
| 736. Walmart                                                                                                                | A                                       | Dividend                                      |                                                 |                                           | Sold                                            | 04/22/15                | J                               | A                              |                                                                   |
| 737. California Resources Corp.                                                                                             |                                         | None                                          |                                                 |                                           | Sold                                            | 01/07/15                | J                               |                                |                                                                   |
| 738. LinkedIn Corp.                                                                                                         |                                         | None                                          | K                                               | T                                         | Buy<br>(add'l)                                  | 05/05/15                | J                               |                                |                                                                   |
| 739. LinkedIn Corp.                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/04/15                | J                               |                                |                                                                   |
| 740. LinkedIn Corp.                                                                                                         |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 08/21/15                | J                               |                                |                                                                   |
| 741. LinkedIn Corp.                                                                                                         |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 10/08/15                | J                               |                                |                                                                   |
| 742. Weyerhaeuser Co.                                                                                                       | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 743. 3M Co.                                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Sold<br>(part)                                  | 03/27/15                | J                               | B                              |                                                                   |
| 744. ishares S & P Mid Cap 400 Index Fund                                                                                   | C                                       | Dividend                                      |                                                 |                                           | Sold                                            | 12/21/15                | N                               |                                |                                                                   |
| 745. ishares S & P Small Cap 600 Index Fund                                                                                 | D                                       | Dividend                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 746. JP Morgan International Value SMA Fund                                                                                 | C                                       | Dividend                                      | M                                               | U                                         | Sold<br>(part)                                  | 03/09/15                | J                               | A                              |                                                                   |
| 747. JP Morgan International Value SMA Fund                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 04/01/15                | J                               | A                              |                                                                   |
| 748. JP Morgan International Value SMA Fund                                                                                 |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 07/13/15                | J                               |                                |                                                                   |

|                                                  |                                                                                        |                                                        |                                                                                          |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                        | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$250,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                        | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                          | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 749. JP Morgan International Value SMA Fund                                                                                 |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 09/14/15                | J                               |                                |                                                                   |
| 750. JP Morgan International Value SMA Fund                                                                                 |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 10/09/15                | J                               |                                |                                                                   |
| 751. JP Morgan International Value SMA Fund                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 11/23/15                | J                               |                                |                                                                   |
| 752. JP Morgan International Value SMA Fund                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/28/15                | J                               | A                              |                                                                   |
| 753. JP Morgan International Value SMA Fund                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/10/15                | J                               |                                |                                                                   |
| 754. JP Morgan International Value SMA Fund                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/14/15                | J                               |                                |                                                                   |
| 755. JP Morgan International Value SMA Fund                                                                                 |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 12/16/15                | J                               |                                |                                                                   |
| 756. Japan Tobacco                                                                                                          | A                                       | Dividend                                      |                                                 |                                           | Buy<br>(add'l)                                  | 04/22/15                | J                               | A                              |                                                                   |
| 757. Japan Tobacco                                                                                                          |                                         |                                               |                                                 |                                           | Buy<br>(add'l)                                  | 04/23/15                | J                               | A                              |                                                                   |
| 758. Japan Tobacco                                                                                                          |                                         |                                               |                                                 |                                           | Sold<br>(part)                                  | 09/29/15                | J                               |                                |                                                                   |
| 759. Japan Tobacco                                                                                                          |                                         |                                               |                                                 |                                           | Sold                                            | 11/10/15                |                                 |                                |                                                                   |
| 760. Oppenheimer Developing Markets Fund                                                                                    | B                                       | Dividend                                      | L                                               | U                                         | Sold<br>(part)                                  | 07/14/15                | M                               |                                |                                                                   |
| 761. New York Thruway Bond mat 4/16                                                                                         | B                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 762. New York Thruway Bond mat. 1/22                                                                                        | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 763. Hempstead NY Bond                                                                                                      | B                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 764. NY State Dormitory Authority Bond mat.<br>7/19                                                                         | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 765. NY State Dormitory Bond mat. 2/20                                                                                      | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 766. Nassau County Bond mat. 11/16                                                                                          | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 767. NY State Environmental Bond                                                                                            | B                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 768. Bay Shore NY Union Free School District                                                                                | B                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 769. Erie County NY Bond                                                                                                    | B                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 770. Arlington NY Cent School Bond matures 5/15/15                                                                          | B                                       | Interest                                      |                                                 |                                           | Redeemed                                        | 05/15/15                | L                               |                                |                                                                   |
| 771. Buffalo NY Bond matures 9/16                                                                                           | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 772. NYC Muni WTR in Authority Bond (No.1)                                                                                  | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 773. Massachusetts Bond                                                                                                     | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 774. Metropolitan Transit Authority Bond                                                                                    | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 775. NY Municipal Water Authority Bond (No. 2)                                                                              | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 776. Dormitory Auth of NY Bond mat. 10/21                                                                                   | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 777. Wallkill NY Gent Sch matures 6/15/15                                                                                   | B                                       | Interest                                      |                                                 |                                           | Redeemed                                        | 06/15/15                |                                 |                                |                                                                   |
| 778. Triborough Bridge & Tunnel Authority Bonds (2)                                                                         | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 779. 34th Street Partnership Bond                                                                                           | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 780. Tampa Florida Bond                                                                                                     | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 781. Millbrook Golf & Tennis                                                                                                | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 782. NYC Transit Finance Authority Future                                                                                   | C                                       | Interest                                      | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                  |   |          |   |   |     |          |   |  |  |
|----------------------------------|---|----------|---|---|-----|----------|---|--|--|
| 783. Indiana Bond                | C | Interest | L | T |     |          |   |  |  |
| 784. Shenenuochowa NY Bond       | B | Interest | K | T | Buy | 09/02/15 | K |  |  |
| 785. NYC Transit Bond mat. 7/28  | C | Interest | L | T | Buy | 01/15/15 | L |  |  |
| 786. Binghamton NY Board         | C | Interest | L | T | Buy | 06/17/15 | L |  |  |
| 787. Chicago O'Hare Airport Bond |   | None     | K | T | Buy | 10/08/15 | K |  |  |
| 788.                             |   |          |   |   |     |          |   |  |  |
| 789.                             |   |          |   |   |     |          |   |  |  |
| 790.                             |   |          |   |   |     |          |   |  |  |
| 791.                             |   |          |   |   |     |          |   |  |  |
| 792.                             |   |          |   |   |     |          |   |  |  |
| 793.                             |   |          |   |   |     |          |   |  |  |
| 794.                             |   |          |   |   |     |          |   |  |  |
| 795.                             |   |          |   |   |     |          |   |  |  |
| 796.                             |   |          |   |   |     |          |   |  |  |
| 797.                             |   |          |   |   |     |          |   |  |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
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| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part VII, p.7, l. 54 (Medtronic) -- purchase omitted from year's report due to inadvertence.

Part VII, p.18, l. 252 Equinox -- misspelled on last year's report as Equinox.

Part VII, p.24, l. 343 Google's name was changed to Alphabet on 10/20/15.

Part VII, p.24, l. 350 ENI SPA was mistakenly reported as part sold on 12/2/14. In fact it was sold on that date.

Part VII, p.26, l. 390 (Kinder Morgan) --This asset was mistakenly reported last year as part sold 11/18/15. In fact all of it was sold on that date, at the value code and gain code indicated.

Part VII, p.32, l. 479 (ASML) -- Mistakenly reported as part sold on last year's report. In fact was sold on the date, value and gain code indicated on that report.

Part VII, p. 8, l. 81 (Teva) -- Inadvertently omitted from last year's report.

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ ALLYNE R. ROSS**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

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