

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stoll, Kara F.	2. Court or Organization United States Court of Appeals for the Federal Circuit	3. Date of Report 5/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address Chambers of the Honorable Kara Fernandez Stoll United States Court of Appeals for the Federal Circuit 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Self-employed attorney
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Colorado Bar Association Continuing Legal Education in Colorado, Inc.	January 6-9	Snowmass Village, Colorado	National CLE Conference	Transportation, Lodging, Food
2. Federal Circuit Bar Association	February 16-20	Denver, Colorado	Bench and Bar Meeting	Transportation, Lodging, Food
3. New York Intellectual Property Law Association	March 31-April 1	New York, New York	Annual Judges' Dinner	Transportation, Lodging, Food
4. Austin Intellectual Property Law Association	April 9-13	Austin, Texas	Judges' Appreciation Dinner	Transportation, Lodging, Food
5. Federal Circuit Bar Association	June 21-24	Coeur d'Alene, Idaho	Bench & Bar Conference	Transportation, Lodging, Food

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6.	San Francisco Intellectual Property Law Association	September 21-24	Sonoma, California	Seminar	Transportation, Lodging, Food
7.	The Eastern District of Texas Bar Association	October 5-6	Plano, Texas	Bench & Bar Conference	Transportation, Lodging, Food
8.	New York University	November 30-December 1	New York, New York	IP Institute	Transportation, Lodging, Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo	Mortgage of Rental Property #1 (Part VII, Line 32)	O
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. PNC Bank - Accounts	A	Interest	M	T					
2. Wells Fargo - Accounts	A	Interest	J	T					
3. American Funds College 2027 Fund - 529	A	Int./Div.	M	T					
4. American Funds College 2024 Fund - 529	A	Int./Div.	M	T					
5. American Funds College 2021 Fund - 529	A	Int./Div.	M	T					
6. College Counts Age-Based Moderate 9-12 Years C	A	Int./Div.	J	T					
7. IRA #1	B	Dividend	K	T					
8. - Vanguard 500 Index Fund Admiral Shares									
9. 401(k) Account #1	A	Dividend	P1	T					
10. -Vanguard Target Retirement 2035									
11. iShares Russell 2000 Growth ETF	A	Dividend	J	T					
12. PowerShares FTSE RAFI US 1000 Portfolio Fund	A	Dividend	K	T					
13. SPDR DJ Wilshire REIT ETF	A	Dividend	J	T					
14. Vanguard Mid-Cap Value Index Fund	A	Dividend	J	T					
15. Vanguard Growth Index Fund	A	Dividend	K	T					
16. American Century Small Cap Value Inv	A	Dividend	J	T					
17. Mainstay Epoch Global Equity Yield Fund	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Oppenheimer Developing Markets Fund	A	Int./Div.	J	T					
19. Oppenheimer International Growth Fund	A	Dividend	J	T					
20. T Rowe Price Mid-Cap Growth Fund	A	Dividend	J	T					
21. Fidelity Advisor Floating Rate High Income Fund	A	Dividend	J	T					
22. Western Asset Total Return Unconstrained A	A	Dividend	J	T					
23. T Rowe Price VA - Tax Free Bond	A	Dividend	K	T					
24. Templeton Global Bond Fund	A	Dividend	J	T					
25. 401(k) Account #2									
26. - Boeing Lifecycle 2030 Fund	A	Dividend	J	T					
27. - SSgA S&P 500 Index Fund	A	Dividend	J	T					
28. - PIMCO Global Bond Fund	A	Dividend	J	T					
29. - Boeing VIP Stable Value Fund	A	Dividend	J	T					
30. - Boeing International Companies Fund	A	Dividend	J	T					
31. - Boeing US Small/Mid Companies Fund	A	Dividend	J	T					
32. Rental Property #1 (Sussex County, DE)	E	Rent	P1	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kara F. Stoll**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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