

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MARSH, MALCOLM F.	2. Court or Organization U.S. DISTRICT COURT, OREGON	3. Date of Report 04/14/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE, SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 1000 S. W. THIRD AVENUE SUITE 1507 PORTLAND, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Grantor/Trustee of Intervivos Trust	Pioneer Trust Bank (See Line 41)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

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2.

3.

4.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. SMITH BARNEY FINANCIAL MANAGEMENT ACCOUNT # [REDACTED] Munit Bond (h)									
2.									
3. Port Portland Int'l Airport, Due 7/1/2026	A	Interest	K	T					
4. Oregon St. Facs Auth Rev Rfdg, Due 3/15/2030	C	Interest	L	T					
5. Portland Ore. urban Renewal & B/E, due 6/15/2031	B	Interest	K	T					
6. Salem Ore Hosp Fac., Ser. A, Due 8/15/2036	B	Interest	L	T					
7. Medford Ore. Hosp Facs. AGM B/E, due 8/15/2035	B	Interest	K	T					
8. Pioneer Mlti Ast Ult Shl Inc. Y	G	Interest	O	T	Buy	05/09/16	N		
9. Pioneer Mlti Ast Ult Shl Inc. Y	G	Interest			Sold (part)	09/20/16	N	A	
10. Putnam Short Duration Income Y	G	Interest	O	T	Buy	05/09/16	N		
11. Putnam Short Duration Income Y	G	Interest			Sold (part)	09/26/16	N	A	
12. Deutsche Glb Real Est. Secs. A	F	Interest	L	T	Buy	06/14/16	L		
13.									
14. Clearbridge Energy MLP FD Inc	B	Interest	K	T					
15. Clearbridge Energy MLP OPP FD	B	Interest	K	T					
16. iShares Select Dividend ETF	B	Interest	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	P3 = \$250,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. Powershares QQQ TR	A	Interest	K	T					
18. Western Asset Oregon Muni I	E	Interest	K	T	Buy	11/08/16	K		
19. Wisdomtree US Divid Grow FD	A	Interest	L	T					
20. Energy Sel Sect Spdr FC (XLE)	B	Interest			Sold	08/30/16	J	A	
21. Goldman Sachs Multi Mgr Alt I	B	Interest			Sold	06/14/16	L	A	
22.									
23.									
24.									
25. BARNEY-Indiv Account # (h) Corp Bonds (h)									
26. Clearbridge Energy MLP RD Inc	C	Interest	L	T					
27.									
28. Global Superdividend US ETF	C	Interest	K	T					
29. Wisdomtree US Divid Grow FD	A	Interest	K	T					
30.									
31.									
32.									
33. BARNEY-Indiv Account # (h) Other Mutual Funds (h)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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34. Blackrock Strat Inc Opport	A	Interest	K	T					
35. Goldman Sachs Multi Mgr Alt 1	A	Interest	K	T					
36.									
37.									
38. Neuberger Berman LG SH Inst	A	Interest	L	T					
39.									
40.									
41. Pioneer Trust Bank (See P. 1, Positions) (h)	A	Interest	K	T					
42. Umpqua Bank	A	Interest	K	T					
43.									
44. U.S. Bank Checking Acct.	C	Interest			Closed	8/17/16	J		
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1)Line 20 of the report dated 04/20/2015 regarding Global X SuperDividend ETF sold/part was disclosed. It has come to my attention that all shares had been sold as of 11/14/2014.

2)Line 29 of the report dated 04/20/2015 regarding Powershares S&P Low Vola was a duplicate entry of Line 18 on the same report. There should have only been one line of Powershares S & P Low Vola, which has all been sold as of 2/18/2015

3)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ MALCOLM F. MARSH

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544