

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SIRAGUSA, CHARLES J.	2. Court or Organization U.S. DISTRICT COURT, WDNY	3. Date of Report 10/29/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (SENIOR)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 1360 UNITED STATES COURTHOUSE 100 STATE STREET ROCHESTER, NEW YORK 14614		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. ADVISORY BOARD MEMBER	COBBLESTONE ARTS CENTER
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. PENSION	NEW YORK STATE RETIREMENT SYSTEM (STARTED TO RECIEIVE BENEFITS AS OF NOVEMBER 1, 2005)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2013

ATTORNEY (PRIVATE PRACTICE)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. M & T BANK	A	Interest	L	T					
2. ACCOUNT # 1:									
3. COVIDIEN PLC (X)	A	Dividend	J	T					
4. ASML HLDG NV NEW YORK REG	A	Dividend	J	T	Sold (part)	03/25/13	J	A	
5. AMC NETWORKS INC (Y)									
6. AKAMAI TECHNOLOGIES		None	J	T	Buy (add'l)	02/12/13	J		
7. AKAMAI TECHNOLOGIES		None			Sold (part)	09/19/13	J	A	
8. AMAZON COM INC		None	J	T	Sold (part)	7/17/13	J	A	
9. AMGEN INC.	A	Dividend	J	T					
10. ANADARKO PETROLEUM CORP.	A	Dividend	J	T					
11. ANHEUSER-BUSCH INBEV SPONS	A	Dividend	J	T					
12. APACHE CORP	A	Dividend			Sold	05/23/13	J	A	
13. APPLE INC	A	Dividend	J	T	Buy (add'l)	07/08/13	J		
14. ARYZTA AG ZUERICH		None	J	T	Buy	11/13/13	J		
15. ATLAS COPCO AB SPONS ADR NEW RPSTG CL B - USD (Y)									
16. AUTODESK		None	J	T					
17. AXA UAP SPONS ADR	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BANCO BILBAO VIZ ARG SA ADS		None	J	T	Buy	12/12/13	J		
19. BASF AG SPONS ADR		None	J	T	Buy (add'l)	11/19/13	J		
20. BARCLAYS SE (X)	A	Dividend	J	T	Buy (add'l)	03/08/13	J		
21. BARCLAYS SE (X)					Sold (part)	09/25/13	J	A	
22. BED BATH & BEYOND		None	J	T	Sold (part)	9/23/13	J	A	
23. BHP BILLITON LTD SPONS ADR (X)	A	Dividend			Buy (add'l)	5/29/13	J		
24. BHP BILLITON LTD SPONS ADR (X)					Sold	12/23/13	J	A	
25. BLACKROCK INC.	A	Dividend	J	T					
26. BIOGEN IDEC INC.		None	J	T	Sold (part)	05/13/13	J	C	
27. BROADCOMM CORP		None	J	T	Buy (add'l)	08/15/13	J		
28. CABLEVISION SYSTEMS CORP.CL A	A	Dividend	J	T	Buy (add'l)	05/29/13	J		
29. CAMERON INTERNATIONAL CORP		None	J	T	Buy (add'l)	08/05/13	J		
30. CANON INC. ADR	A	Dividend	J	T	Buy (add'l)	05/29/13	J		
31. CANON INC. ADR					Sold (part)	11/06/13	J	A	
32. CATERPILLAR INC	A	Dividend	J	T	Buy	05/22/13	J		
33. CELGENE CORP		None	J	T	Sold (part)	09/18/13	J	A	
34. CHARLES SCHWAB CORP (X)	A	Dividend	J	T	Sold (part)	05/31/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. CISCO SYSTEMS INC	A	Dividend			Sold	04/22/13	J	A	
36. CITRIX SYSTEMS INC		None	J	T	Buy (add'l)	05/22/13	J		
37. CME GROUP INC. CLASS A	A	Dividend	J	T					
38. COCA COLA CO.	A	Dividend	J	T					
39. COMCAST CORP CL A-SPL	A	Dividend	J	T	Sold (part)	02/07/13	J	A	
40. CREE INC.		None	J	T					
41. CRH PLC AD-USD K	A	Dividend	J	T	Buy (add'l)	05/29/13	J		
42. CRH PLC AD-USD K					Sold (part)	09/11/13	J	A	
43. CVS CAREMARK CORP	A	Dividend	J	T					
44. DANONE SPONS (X)	A	Dividend			Sold	12/23/13	J	A	
45. DEERE & CO (X)	A	Dividend			Sold	07/30/13	J	A	
46. DIAGEO PLC -SPONSORED ADR NEW	A	Dividend	J	T					
47. DIRECTV CL A (X)		None	J	T					
48. DOLBY LABORATORIES INC CLASS A		None	J	T					
49. EMC CORP-MASS (X)	A	Dividend	J	T	Buy (add'l)	05/03/13	J		
50. ENSCO PLC CLASS A	A	Dividend	J	T	Buy	05/29/13	J		
51. ENSCO PLC CLASS					Sold (part)	10/02/13	J	A	

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52. EOG RESOURCES INC (X)	A	Dividend			Sold	04/18/13	J	A	
53. EATON CORP	A	Dividend	J	T	Sold (part)	05/31/13	J	A	
54. EBAY INC.		None	J	T	Sold (part)	08/13/13	J	A	
55. ELSTER GROUP SE ADR		None							SEE PART VIII #3.
56. FACEBOOK INC CL-A		None	J	T	Buy	06/11/13	J		
57. FLUOR CORP NEW	A	Dividend	J	T					
58. FOREST LABORATORIES		None	J	T	Buy (add'l)	02/21/13	J		
59. FREEPORT MCMORAN COPPER & GOLD CL B (Y)									
60. GENERAL ELECTRIC (X)	A	Dividend	J	T					
61. GOOGLE INC CL A		None	J	T					
62. GRUPO TELEvisa SA DE CV GLOBAL	A	Dividend	J	T	Sold (part)	12/09/13	J	A	
63. HSBC HOLDINGS PLC SPONS ADR NEW COMMON STOCK (Y)									
64. HITACHI 10 COM NEW ADR		None	J	T	Buy	12/24/13	J		
65. HOME DEPOT	A	Dividend	J	T	Sold (part)	06/21/13	J	A	
66. HONDA MOTOR CO.	A	Dividend	J	T					
67. HONG KONG & CHINA GAS LTD. SPONSORED ADR	A	Dividend	J	T	Sold (part)	07/01/13	J	A	
68. HOYA CORP SPONS ADR		None	J	T	Buy	11/13/13	J		

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69. HUTCHINSON WHAMPOA LTD-ADR K (X)	A	Dividend	J	T					
70. ICON PLC SP ADR (X)		None	J	T	Buy (add'l)	11/19/13	J		
71. IMMUNOGEN INC (Y)									
72. ING GROEP NV SPONS ADRK (X)		None	J	T	Buy (add'l)	05/29/13	J		
73. INTUIT INC (X)	A	Dividend			Sold	11/22/13	J	A	
74. ISIS PHARMACEUTICALS (X)		None	J	T	Sold (part)	12/18/13	J	A	
75. JOHNSON & JOHNSON	A	Dividend	J	T					
76. JUNIPER NETWORKS INC.		None	J	T	Buy (add'l)	05/29/13	J		
77. KOMATSU LTD SPON ADR	A	Dividend	J	T	Sold (part)	11/06/13	J	A	
78. L 3 COMMUNICATIONS HLDGS INC.	A	Dividend	J	T					
79. -LIBERTY MEDIA CORP LIBERTY CAP CLASS A (X)		None	J	T					
80. LIBERTY MEDIA HOLDG CORP INTERACTIVE SER A (X)		None	J	T					
81. METTLER TOLEDO INTERNATIONAL INC. COMMON STOCK		None	J	T					
82. MICROSOFT CORP	A	Dividend	J	T	Sold (part)	10/10/13	J	A	
83. MITSUBISHI TOKYO FINANCIAL GROUP SPONS ADR	A	Dividend	J	T					
84. MONSANTO CO	A	Dividend	J	T					
85. NASDAQ OMX GROUP INC. (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. NATIONAL OILWELL VARCO (X)	A	Dividend	J	T					
87. NESTLE SA SPONSORED ADR REPSTG REG SH	A	Dividend	J	T					
88. NETAPP INC (X)	A	Dividend	J	T	Buy (add'l)	05/31/13	J		
89. NEWS CORP CLASS B	A	Dividend			Sold	07/02/13	J	A	
90. NIKE INC CLASS B	A	Dividend	J	T	Sold (part)	09/23/13	J	A	
91. NOVARTIS AG ADR K (X)	A	Dividend	J	T					
92. NOVO NORDISK A S ADR	A	Dividend	J	T	Buy (add'l)	10/29/13	J		
93. NUCOR CORP	A	Dividend	J	T	Buy (add'l)	05/29/13	J		
94. ONO PHARMACEUTICAL CO LTD ADR		None	J	T	Buy	11/13/13	J		
95. ORIX CORP SPONS ADR	A	Dividend	J	T					
96. PALL CORP.	A	Dividend	J	T					
97. PEPSICO	A	Dividend	J	T					
98. PROCTOR & GAMBLE CO (X)	A	Dividend	J	T	Sold (part)	12/12/13	J	A	
99. QUALCOMM INC (X)	A	Dividend	J	T					
100. RIO TINTO PLC-GBP K	A	Dividend	J	T	Buy (add'l)	05/29/13	J		
101. RIVERBED TECH INC		None	J	T	Buy	09/11/13	J		
102. ROYAL DUTCH SHELL PLC CL B	A	Dividend	J	T	Buy	02/01/13	J		

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103. ROYAL DUTCH SHELL PLC CL B					Sold (part)	11/06/13	J	A	
104. SAFRAN SA		None	J	T	Buy	12/12/13	J		
105. SANDISK CORP	A	Dividend	J	T	Buy (add'l)	08/02/13	J		
106. SAP SPONS ADR-USD	A	Dividend	J	T					
107. SCHLUMBERGER LTD	A	Dividend	J	T	Buy (add'l)	10/07/13	J		
108. SEAGATE TECHNOLOGY	A	Dividend	J	T	Sold (part)	12/18/13	J	B	
109. SHIRE PLC (X)	A	Dividend	J	T	Buy (add'l)	11/19/13	J		
110. SIGNET JEWELERS LIMITED (X)	A	Dividend	J	T					
111. SUMITOMO MITSUI FINL GROUP INC	A	Dividend	J	T	Buy	09/16/13	J		
112. SUNCOR ENERGY INC NEW (X)	A	Dividend	J	T	Buy (add'l)	05/29/13	J		
113. TE CONNECTIVITY LTD-CHF	A	Dividend	J	T					
114. TELEFONICA S.A. SPONS ADR (X)	A	Dividend	J	T	Buy (add'l)	05/29/13	J		
115. TEXAS INSTRUMENTS (X)	A	Dividend	J	T					
116. THERMO FISHER	A	Dividend	J	T	Sold (part)	07/17/13	J	A	
117. TOTAL S.A.SPONS. ADR (X)	A	Dividend			Sold	01/29/13	J	A	
118. TREND MICRO INC SPON AD (X)	A	Dividend	J	T	Buy (add'l)	05/29/13	J		
119. TWENTY-FIRST CENTURY FOX CL B (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. TYCO INTERNATIONAL LTD. NEW	A	Dividend	J	T					
121. UBS AG-CHF	A	Dividend	J	T					
122. UNITED OVERSEAS BANK LTD SPONS ADR	A	Dividend	J	T					
123. UNITED PARCEL SERVICE CL B	A	Dividend	J	T					
124. UNITEDHEALTH GROUP INC.	A	Dividend	J	T	Buy (add'l)	10/24/13	J		
125. VERTEX (X)		None	J	T					
126. VISA INC	A	Dividend	J	T	Sold (part)	07/17/13	J	A	
127. VODAFONE GROUP PLC SP ADR (X)	A	Dividend	J	T	Buy (add'l)	05/29/13	J		
128. WALT DISNEY CO	A	Dividend	J	T	Sold (part)	07/17/13	J	A	
129. WEATHERFORD INTL LTD		None	J	T	Buy (add'l)	05/08/13	J		
130. WOLSELEY PLC JERSEY SPNSRD ADR	A	Dividend	J	T	Buy	03/08/13	J		
131. WOLSELEY PLC JERSEY SPNSRD ADR					Sold (part)	12/03/13	J	A	
132. YAHOO! JAPAN CP UNSPON ADR		None	J	T	Buy	12/24/13	J		
133. YUM BRANDS INC	A	Dividend	J	T	Buy	10/15/13	J		
134. ACCOUNT # 2:									
135. -BLACKROCK GLOBAL SCIENCE & TECH OPPORT PORTFOLIO CL INSTL		None	J	T					
136. -BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. ACCOUNT # 3:									
138. -SMITH BARNEY BANK DEPOSIT PROGRAM	A	Interest	J	T					
139. IRA #1:									
140. -TACTICAL DIVERSIFIED FUTURES		None	K	T					
141. IRA #2:									
142. -GOLDMAN SACHS SMALL VALUE FUND CLASS B	A	Dividend	J	T					
143. IRA #3:									
144. -BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL		None	J	T					
145. IRA #4:									
146. -BLACKROCK VALUE OPPORTUNITIES FUND INC CL INSTL		None	J	T					
147. COLLEGE SAVINGS PROGRAM #1:									
148. -DEVELOPED MARKETS INDEX PORTFOLIO		None	L	T	Buy	12/18/13	J		
149. COLLEGE SAVINGS PROGRAM #2									
150. -DEVELOPED MARKETS INDEX PORTFOLIO		None	L	T	Buy	12/18/13	J		
151. DEFERRED COMPENSATION		None	K	T					
152. -OPPENHEIMER MAIN ST. OPP. A									
153. -VT FIDELITY CONTRAFUND									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -DODGE AND COX INTL STOCK									
155. IRA #5									
156. BANK DEPOSIT PROGRAM CITIBANK, N.A.	A	Interest	J	T					
157. GOLDMAN SACHS SMALL VALUE FUND CLASS B COMMON STOCK	A	Dividend	J	T					
158. PIMCO REAL RETURN COMMODITY STRATEGY FUND CL C	A	Dividend	J	T					
159. COEUR D ALENE MINE CORP									See Part VIII # 1
160. GAMCO GLOBAL GOLD NATURAL RESO	A	Dividend	J	T					1
161. ISHARES SILVER TRUST EQUITY		None	J	T					
162. BLACKROCK GLOBAL ALLOCATION	A	Dividend	K	T					sEE pART viii # 2
163. -COHEN & STEERS INTL REALTY FD	A	Dividend	J	T					
164. MARKET VECTORS GOLD MINERS	A	Dividend	J	T	Buy	04/29/13	J		
165. SPDR GOLD TR GOLD		None	J	T	Buy	04/29/13	J		
166. -ABINGDON FUTURES FUND LP CLASS A		None	K	T					
167. -TACTICAL DIFERSIFIED FUTURES FUND LP		None	K	T					
168. ACCOUNT # 4									
169. CITIBANK N.A.	A	Interest	K	T					
170. AB ELECTROLUX SPON ADR		None	J	T	Buy	7/18/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. ACCENTURE PLC (Y)									
172. ADECCO SA UNSPON ADR (X)	A	Dividend	J	T					
173. ALLIED WORLD ASSURANCE CO HLDGS	A	Dividend	J	T	Sold (part)	12/09/13	J	A	
174. AMC NETWORKS INC (X)		None	J	T	Buy (add'l)	09/24/13	J		
175. AKAMAI TECHNOLOGIES	A	Dividend	J	T					
176. AKZO NOBEL	A	Dividend	J	T	Sold (part)	05/15/13	J	A	
177. AMADEUS IT HLDG SA UNSPON ADR	A	Dividend	J	T	Buy	02/14/13	J		
178. AMAZON		None	J	T	Sold (part)	07/22/13	J	A	
179. AMC NETWORKS INC CL A (X)		None	J	T					
180. AMGEN INC (X)	A	Dividend	J	T					
181. ANADARKO PETROLEUM CORP	A	Dividend	J	T					
182. ANHEUSER-BUSCH	A	Dividend	J	T					
183. APACHE CORP	A	Dividend			Buy (add'l)	05/01/13	J		
184. APACHE CORP					Sold	08/22/13	J	A	
185. APPLE INC	A	Dividend	J	T	Buy (add'l)	07/08/13	J		
186. APPLIED MATERIALS INC DELWARE	A	Dividend	J	T					
187. ARYZTA AG ADR	A	Dividend	J	T	Sold (part)	10/25/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. AT&T INC.	A	Dividend	J	T					
189. AUTODESK INC.		None	J	T					
190. AVIVA PLC ADR	A	Dividend	J	T	Buy (add'l)	07/02/13	J		
191. AXA S.A. SPONS ADR	A	Dividend	J	T	Buy (add'l)	07/23/13	J		
192. AXIS CAPITAL HOLDINGS LTD	A	Dividend	J	T					
193. BAKER HUGHES INC. (Y)									
194. BARCLAYS PLC	A	Dividend	J	T	Sold (part)	09/30/13	J	A	
195. BAYER AG SPONSORED ADR	A	Dividend	J	T	Sold (part)	05/15/13	J	A	
196. BED BATH & BEYOND (Y)									
197. BHP BILLITON LTD SPONS	A	Dividend	J	T					
198. BIOGEN IDEC INC		None	J	T	Sold (part)	05/08/13	J	C	
199. BLACKROCK INC.	A	Dividend	J	T					
200. BNP PARIBAS SP ADR REPSTG		None	J	T	Buy	07/31/13	J		
201. BOEING CO.	A	Dividend	J	T					
202. BRIDGESTONE CORP (X)	A	Dividend			Sold	07/09/13	J	A	
203. BROADCOM CORP CL A	A	Dividend	J	T	Buy (add'l)	08/15/13	J		
204. C&C GRP PLC SPONS ADR	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. CME GROUP INC CLASS A	A	Dividend	J	T					
206. CNOOC LTD SPONS ADR (Y)									
207. CVS CAREMARK CORP	A	Dividend	J	T					
208. CABLEVISION SYSTEMS CORP	A	Dividend	J	T					
209. CANADIAN NATURAL RES	A	Dividend			Sold	07/22/13	J	A	
210. CAMERON INTERNATIONAL CORP		None	J	T					
211. CARNIVAL PLC ADR (X)	A	Dividend	J	T					
212. CARNIVAL CORP	A	Dividend	J	T	Buy (add'l)	09/27/13	J		
213. CARNIVAL CORP					Sold (part)	12/13/13	J	A	
214. CARNIVAL CP NEW PAIRED COM	A	Dividend	J	T	Buy	09/26/13	J		
215. CARNIVAL CP NEW PAIRED COM					Sold (part)	12/18/13	J	A	
216. CATERPILLAR INC	A	Dividend	J	T	Buy	05/22/13	J		
217. CELESIO AG UNSPONS ADR	A	Dividend			Sold	08/09/13	J	A	
218. CELGENE CORP		None	J	T	Sold (part)	07/29/13	J	B	
219. CHEVRON CORP	A	Dividend	J	T					
220. CHARLES SCHWAB CORP	A	Dividend	J	T	Sold (part)	06/05/13	J	A	
221. CHUBB CORP.	A	Dividend	J	T	Sold (part)	07/26/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. CISCO SYS INC	A	Dividend	J	T	Sold (part)	04/24/13	J	A	
223. CITIGROUP INC NEW	A	Dividend	J	T	Buy	12/26/13	J		
224. CITRIX SYSTEMS INC		None	J	T	Buy (add'l)	07/01/13	J		
225. COACH INC	A	Dividend	J	T	Buy	05/01/13	J		
226. COCA-COLA CO.	A	Dividend	J	T					
227. COMCAST CORP CL A STOCK	A	Dividend	J	T	Sold (part)	01/31/13	J	B	
228. COVIDIEN LTD	A	Dividend	J	T					
229. CREDIT SUISSE GROUP (X)	A	Dividend	J	T	Sold (part)	05/20/13	J	A	
230. CREE INC.		None	J	T	Sold (part)	05/16/13	J	A	
231. DBS GROUP HLDG LTD (Y)									SEE PART VIII #4.
232. DAIMLER AG	A	Dividend	J	T	Sold (part)	07/31/13	J	A	
233. DEERE & CO.	A	Dividend			Sold	07/30/13	J	A	
234. DEVON ENERGY CORP NEW	A	Dividend	J	T					
235. WALT DISNEY CO.	A	Dividend	J	T	Sold (part)	05/16/13	J	B	
236. DIRECTV CL A		None	J	T					
237. DOLBY LABORATORIES INC CLASS A		None	J	T	Buy (add'l)	02/28/13	J		
238. DON QUIJOTE CO LTD UNSP ADR (X)	A	Dividend			Sold	11/21/13	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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SIRAGUSA, CHARLES J.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. DOVER CORP.	A	Dividend			Sold	09/27/13	J	B	
240. DU PONT EL DE NEMOURS & CO	A	Dividend	J	T	Sold (part)	07/25/13	J	A	
241. EMC CORP-MASS	A	Dividend	J	T	Buy (add'l)	05/03/13	J		
242. EOG RESOURCES INC	A	Dividend			Sold	04/18/13	J	A	
243. EATON CORP	A	Dividend	J	T	Sold (part)	06/05/13	J	A	
244. EBAY INC.		None	J	T	Sold (part)	08/16/13	J	A	
245. EMCOR GROUP INC (Y)									
246. EMERSON ELECTRIC CO	A	Dividend			Sold	07/24/13	J	B	
247. ENSCO PLC CLASS A	A	Dividend			Sold	04/16/13	J	A	
248. ERICSSON LM TEL CO CL B ADR NEW	A	Dividend	J	T	Sold (part)	04/29/13	J	A	
249. EXPRESS SCRIPTS HLDG CO COM		None	J	T	Sold (part)	08/01/13	J	A	
250. EXXON MOBIL CORP	A	Dividend	J	T					
251. FACEBOOK INC		None	J	T	Buy	06/11/13	J		
252. FIRST REPUBLIC BANK (X)	A	Dividend	J	T					
253. FLETCHER BLGD LTD	A	Dividend	J	T					
254. FLUOR CORP NEW	A	Dividend	J	T	Sold (part)	10/02/13	J	A	
255. FOREST LABORATORIES INC.		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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SIRAGUSA, CHARLES J.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. FRANKLIN RESOURCES	A	Dividend	J	T					
257. FREEPORT & MCMORAN COPPER & GOLD CL B	A	Dividend	J	T					
258. GAP INC. DELAWARE	A	Dividend			Sold	01/16/13	J	A	
259. GENERAL ELECTRIC CO	A	Dividend	J	T	Buy (add'l)	11/18/13	J		
260. GLAXOSMITHKLINE PLC SP ADR	A	Dividend	J	T					
261. GOLDMAN SACHS GRP INC	A	Dividend	J	T	Buy (add'l)	03/12/13	J		
262. GOOGLE INC CLASS A		None	J	T					
263. GREENHILL & CO INC	A	Dividend	J	T	Sold (part)	04/15/13	J	A	
264. GTECH S P A SPONSORED ADR		None	J	T	Buy	07/19/13	J		
265. H LUNDBECK A/S-ADR (Y)									
266. HSBC HOLDINGPLC SP ADR NEW	A	Dividend	J	T	Sold (part)	08/13/13	J	A	
267. HALLIBURTON CO HOLDINGS CO	A	Dividend	J	T	Sold (part)	04/09/13	J	A	
268. HEIDELBERG CEMENT ADR	A	Dividend	J	T					
269. HESS CORP	A	Dividend	J	T	Sold (part)	04/25/13	J	A	
270. HITACHI 10 COM NEW ADR	A	Dividend	J	T	Buy	07/15/13	J		
271. HOME DEPOT INC.	A	Dividend	J	T	Sold (part)	06/26/13	J	A	
272. HONEYWELL INTL INC	A	Dividend	J	T	Sold (part)	11/18/13	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. HOYA CORP	A	Dividend	J	T	Buy (add'l)	07/08/13	J		
274. IMMUNOGEN INC (Y)									
275. IMPERIAL TOBACCO GROUP	A	Dividend			Sold	07/19/13	J	A	
276. INCITEC HOLDINGS PLC SPON ADR		None	J	T	Buy	11/18/13	J		
277. INDRA SISTEMAS	A	Dividend	J	T	Buy (add'l)	12/26/13	J		
278. INTUIT INC	A	Dividend			Sold	11/27/13	J	A	
279. ISIS PHARMACEUTICALS (X)		None	J	T	Sold (part)	09/26/13	J	A	
280. ITAU UNIBANCO BANCO HLGD (X)	A	Dividend	J	T	Buy (add'l)	02/28/13	J		
281. ITAU UNIBANCO BANCO HLGD (X)					Sold (part)	05/24/13	J	A	
282. JP MORGAN CHASE & CO	A	Dividend	J	T					
283. JTEKT CORP UNSPONSORED ADR	A	Dividend	J	T	Buy	07/08/13	J		
284. JACOBS ENGINEERING GROUP (Y)									
285. JOHNSON & JOHNSON	A	Dividend	J	T	Sold (part)	12/09/13	J	A	
286. JONES LANG LASALLE INC.	A	Dividend	J	T	Sold (part)	03/08/13	J	A	
287. JOY GLOBAL INC	A	Dividend	J	T	Buy	09/06/13	J		
288. JUNIPER NETWORKS		None	J	T					
289. KLA-TENCOR CORP	A	Dividend	J	T	Sold (part)	04/11/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. KEYCORP - NEW (X)	A	Dividend	J	T					
291. L 3 COMMUNICATIONS HLDGS INC.	A	Dividend	J	T					
292. LIBERTY INTERACTIVE CP INTER A		None	J	T					
293. LIBERTY MEDIA HLDG CORP CAP SER A		None	J	T					
294. LIXIL GROUP CORP ADR	A	Dividend	J	T	Buy	11/21/13	J		
295. LLOYDS BANKING GROUP PLC (X)		None	J	T	Sold (part)	11/21/13	J	A	
296. MARINE HARVEST ASA ADR	A	Dividend	J	T					
297. MARKS & SPENCER GROUP PLC SPONSORED ADR									SEE PART VIII #5.
298. MATTEL INC	A	Dividend	J	T	Sold (part)	01/18/13	J	A	
299. MERCK & CO INC.	A	Dividend	J	T					
300. MICROSOFT	A	Dividend	J	T	Sold (part)	10/16/13	J	A	
301. MONSANTO CO	A	Dividend	J	T					
302. MUENCHENER RUECK-UNSPONS ADR (Y)									
303. MURATA MFG	A	Dividend			Sold	05/23/13	J	A	
304. NASDAQ OMX GROUP INC. (X)	A	Dividend	J	T					
305. NATIONAL OILWELL VARCO INC	A	Dividend	J	T					
306. NETAPP INC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. NIKE INC CL B	A	Dividend	J	T	Sold (part)	09/26/13	J	A	
308. NINTENDO CO LTD (Y)									
309. NOVARTIS AG ADER	A	Dividend	J	T					
310. NSK LTD (X)	A	Dividend	J	T					
311. NUCOR CORP	A	Dividend	J	T	Buy (add'l)	09/26/13	J		
312. OCCIDENTAL PETROLEUM CORP DE	A	Dividend	J	T					
313. OSHKOSH TRUCK CORP (X)		None			Sold	08/21/13	J	A	
314. OTSUKA HOLDINGS CO LTD UNS ADR	A	Dividend	J	T	Buy	07/16/13	J		
315. PALL CORP COMMON STOCK	A	Dividend	J	T	Sold (part)	12/09/13	J	A	
316. PEABODY ENERGY CORP (Y)									
317. PEBBLEBROOK HOTEL TRUST	A	Dividend	J	T	Sold (part)	01/25/13	J	A	
318. PENTAIR LTD (X)	A	Dividend	J	T					
319. PEPSICO INC	A	Dividend	J	T					
320. PLANTRONICS INC (Y)									
321. PROCTER & GAMBLE CO (X)	A	Dividend			Sold	12/17/13	J	A	
322. PUBLICIS GROUP	A	Dividend	J	T					
323. QUALCOMM INC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. RAYTHEON CO	A	Dividend	J	T	Buy	04/01/13	J		
325. RED HAT INC		None	J	T	Buy	12/11/13	J		
326. REGIONS FINANCIAL CORP	A	Dividend	J	T					
327. REXAM PLC	A	Dividend	J	T	Sold (part)	07/19/13	J	A	
328. SAFEWAY INC NEW	A	Dividend	J	T	Sold (part)	9/20/13	J	A	
329. SAFRAN SA	A	Dividend	J	T	Sold (part)	10/22/13	J	A	
330. SANDISK CORP		None	J	T					
331. SANOFI SPONS ADR	A	Dividend	J	T	Sold (part)	08/28/13	J	A	
332. SCHLUMBERGER LTD	A	Dividend	J	T	Sold (part)	04/01/13	J	A	
333. SCHNEIDER ELECT SA	A	Dividend	J	T	Sold (part)	05/22/13	J	A	
334. SEAGATE TECHNOLOGY	A	Dividend	J	T	Sold (part)	12/09/13	J	B	
335. SHIN ETSU CHEM CO (X)	A	Dividend			Buy (add'l)	7/8/13	J		
336. SHIN ETSU CHEM CO (X)					Sold	11/22/13	J	A	
337. SOTHEBYS DELAWARE (Y)									
338. STATE STREET CORP	A	Dividend	J	T	Buy	03/27/13	J		
339. SUMITOMO MITSUI FINL GROUP INC	A	Dividend	J	T	Buy	11/21/13	J		
340. SYMANTEC CORP	A	Dividend	J	T	Sold (part)	03/12/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. TECHTRONIC IND LTD SPONS ADR (X)	A	Dividend	J	T	Sold (part)	07/16/13	J	A	
342. TE CONNECTIVITY LTD-CHF	A	Dividend	J	T	Sold (part)	09/26/13	J	A	
343. TELEPERFORMANCE SA UNSP ADR		None	J	T	Buy	07/23/13	J		
344. TERADYNE INC		None	J	T	Buy	07/31/13	J		
345. TEVA PHARMACEUTICAL INDS LTD	A	Dividend	J	T	Buy	03/11/13	J		
346. TEXAS INSTRUMENTS INC.	A	Dividend	J	T	Sold (part)	03/20/13	J	A	
347. THE ADT CORPORATION COM	A	Dividend	J	T					
348. THERMO FISHER SCIENTIFIC	A	Dividend	J	T	Sold (part)	07/22/13	J	A	
349. TOTAL S A SPON ADR	A	Dividend	J	T	Buy	08/28/13	J		
350. TRAVELSKY TECH LTD SPONS ADR		None	J	T	Buy	02/28/13	J		
351. TYCO INTL LTD	A	Dividend	J	T					
352. UBS AG	A	Dividend	J	T					
353. US BANCORP	A	Dividend	J	T					
354. UNILEVER PLOC SPONS ADR NEW	A	Dividend	J	T	Sold (part)	07/25/13	J	A	
355. UNILEVER NV NY SHS-NEW (X)	A	Dividend	J	T					
356. UNITED PARCEL SERVICE	A	Dividend	J	T					
357. UNITEDHEALTH GROUP INC.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. VEECO INSTRUMENTS INC DEL		None	J	T	Sold (part)	04/24/13	J	A	
359. VERTEX PHARMACEUTICALS (X)		None	J	T					
360. VISA INC COM	A	Dividend	J	T	Sold (part)	07/22/13	J	A	
361. VODAFONE COMMON STOCK	A	Dividend	J	T	Sold (part)	02/08/13	J	A	
362. W W GRAINGER INC		None	J	T	Buy	12/23/13	J		
363. WPP PLC ADR	A	Dividend	J	T					
364. WEATHERFORD INTL LTD NEW		None	J	T	Buy (add'l)	02/28/13	J		
365. WESTFIELD GROUP ADR	A	Dividend			Sold	07/30/13	J	A	
366. WEYERHAEUSER CO. COMMON STOCK	A	Dividend	J	T	Buy (add'l)	09/03/13	J		
367. WEYERHAEUSER CO. COMMON STOCK					Sold (part)	04/01/13	J	A	
368. WHELOCK & CO	A	Dividend			Sold	07/16/13	J	A	
369. YUM BRANDS INC	A	Dividend	J	T	Buy	10/15/13	J		
370. NEW YORK CITY TRANSITIONAL [REDACTED]	A	Interest			Sold	11/01/13	J	A	
371. DORMITORY AUTHORITY OF THE STATE OF NEW YORK [REDACTED]	A	Interest	K	T					
372. NEW YORK ST ENVIRONMENTAL FACS CORP [REDACTED]	A	Interest			Sold	01/31/13	K	A	
373. TRIBOROUGH BRDG [REDACTED]	A	Interest	K	T					
374. ERIE CNTY N Y WTR AUTH [REDACTED]	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SIRAGUSA, CHARLES J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. NEW YORK ST URBAN DEV CORP [REDACTED]	A	Interest	K	T					
376. ONONDAGA CNTY N Y TR CULTURAL RES REV [REDACTED]	A	Interest	K	T					
377. NEW YORK NY CITY GENL OBLIG [REDACTED]	A	Interest			Sold	10/28/13	K	A	
378. METROPOLITAN TRANSPORTATION AUTH [REDACTED]	A	Interest	K	T					
379. NEW YORK ST DORM AUTH 3RD GENL [REDACTED]	A	Interest	K	T					
380. EMPIRE ST NEW YORK DEV CORP [REDACTED]	A	Interest	J	T					
381. NEW YORK NY CITY TRANSITIONAL FIN AUTH REV-B [REDACTED]	A	Interest			Sold	11/01/13	K	A	SEE PART VIII #6
382. NEW YORK ST DORM AUTH REVS ST SUPPORTED DEBT REV [REDACTED]	A	Interest	K	T					SEE PART VIII #7
383. NEW YORK & NEW JERSEY PORT AUTH SER-179 [REDACTED]		None	K	T	Buy	11/27/13	K		
384. NEW YORK CITY MUN WTR AU WTR & SWR [REDACTED]	A	Interest	K	T	Buy	02/11/13	K		
385. ERIE CNTY NY FISCAL STABILITY AUTH [REDACTED]		None	K	T	Buy	08/27/13	K		
386. NEW YORK N Y CITY TRANSITIONAL FIN AUTH REV-E [REDACTED]	A	Interest	K	T	Buy	02/01/13	K		
387. METROPOLITAN TRANSPORTATION AUTHORITY REV-E [REDACTED]		None	K	T	Buy	11/15/13	K		
388. ACCOUNT # 5									
389. -SMITH BARNEY MONEY MARKET	A	Dividend	K	T					
390. -MIAMI-DADE CNTY FLA SCH DIST RFDG FSA		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

SIRAGUSA, CHARLES J.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
391. -ALLEGHENY CNTY PA HOSP DEV AUTH REV UNIV PITTSBURGH MED		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- 1) I MISTAKENLY INCLUDED THIS HOLDING ON MY 2012 FINANCIAL DISCLOSURE. IT WAS IN FACT SOLD ON DECEMBER 20, 2011 FOR NO GAIN
- 2) I INADVERTENTLY FAILED TO INCLUDE THIS HOLDING ON MY 2012 FINANCIAL DISCLOSURE. IT WAS PURCHASED ON MAY 25, 2012.
- 3) THIS HOLDING WAS MISTAKENLY INCLUDED ON MY 2012 FINANCIAL DISCLOSURE AS A PARTIAL SALE, IT WAS ENTIRELY SOLD IN 2012.
- 4) THIS HOLDING WAS MISTAKENLY INCLUDED ON MY 2012 FINANCIAL DISCLOSURE. IT WAS IN FACT SOLD ON FEBRUARY 16, 2012 FOR A \$28 GAIN.
- 5) THIS HOLDING WAS MISTAKENLY INCLUDED ON MY 2012 FINANCIAL DISCLOSURE. IT WAS IN FACT SOLD IN 2012 FOR A TOTAL GAIN OF \$153.
- 6) THIS HOLDING WAS INAVERTENTLY OMITTED FROM MY 2012 FINANCIAL DISCLOSURE. IT WAS PURCHASED ON JANUARY 15, 2010.
- 7) THIS HOLDING WAS INAVERTENTLY OMITTED FROM MY 2012 FINANCIAL DISCLOSURE. IT WAS PURCHASED ON FEBRUARY 2, 2012.

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Name of Person Reporting

SIRAGUSA, CHARLES J.

Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ CHARLES J. SIRAGUSA**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544