

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, Tony M.	2. Court or Organization U.S. Bankruptcy Court, W.D. TX, Austin Division	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse Homer Thornberry Federal Judicial Building 903 San Jacinto, Ste. 322 Austin, TX 78701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2013	Agreement with former law firm, Baker Botts LLP
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

Davis, Tony M.

Date of Report

05/15/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Baker Botts LLP - Partnership Income	\$450,700.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Wages from Williams-Sonoma
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Turnaround Management Association	4-11-13	San Antonio, TX	Educational Seminar	Hotel, transportation
2. Bankruptcy Bench Bar Conference	June 5-7, 2013	San Antonio, TX	Banch/Bar Conference and Seminar	Hotel, transportation
3. UT Consumer Bankruptcy Conference	August 8-9, 2013	Galveston, TX	Educational Seminar	Hotel, transportation
4. National Conference of Bankruptcy Judges	October 30- November 2, 2013	Atlanta, GA	Conference and Seminars	Hotel
5. OK Bar Association Annual Meeting	November 14, 2013	Oklahoma City, OK	Educational Seminar	Transportation

FINANCIAL DISCLOSURE REPORT

Page 3 of 12

Name of Person Reporting

Davis, Tony M.

Date of Report

05/15/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

Name of Person Reporting

Davis, Tony M.

Date of Report

05/15/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property in Fairfax, VA	D	Rent			Sold	04/19/13	N	A	Arie Croitoru and Natalie
2. Baker Botts Draw Account	D	Interest			Distributed	03/29/13	P1	A	
3. Baker Botts Capital Account	D	Interest			Distributed	01/15/13	M	A	
4. 401K(Aim Liquid Asset Portfolio)	A	Int./Div.			Distributed	08/29/13	O	A	
5. 401K (Pioneer Fund Y Share)	E	Int./Div.			Distributed	08/29/13	M	A	
6. 401K (Vanguard Target 2015 Fund)	D	Int./Div.			Distributed	08/29/13	K	A	
7. 401K(Dreyfus Emer. Mrkts. I)	B	Int./Div.			Distributed	08/29/13	K	A	
8. 401K(Wells Fago Adv. Emer. Mkt I Fd.)	A	Int./Div.			Distributed	08/29/13	K	A	
9. JP Morgan Core Moderate Growth Funds	F	Int./Div.	O	T					
10. Invesco Diversified Dividend Fund CL R5	A	Dividend							
11.					Buy	05/07/13	J		
12.					Sold	08/23/13	J	A	
13. Aberdeen Emerging Mkts FD Instl SV CL	A	Dividend	J	T	Buy	05/06/13	J		
14.					Sold	06/07/13	J	A	
15.					Buy	07/09/13	J		
16. Artisan Small Cap Fund Investor CL		None	J	T	Buy	05/07/13	J		
17. Blackrock National Muni Fund CL A	A	Dividend	L	T	Buy	06/07/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold	07/09/13	K	B	
19.					Buy	08/23/13	L		
20.					Buy (add'l)	10/30/13	J		
21. William Blair Int'l Growth Class N	A	Dividend	J	T	Buy	06/07/13	J		
22. Columbia Contrarian Core Fund CL R5	A	Dividend	J	T	Buy	05/07/13	J	A	
23. Columbia Select Large Cap Growth R5		None	J	T	Buy	05/07/13	J	A	
24. DWS Managed Municipal Bond CL S	A	Dividend			Buy	05/07/13			
25.					Sold	06/07/13	L	B	
26.					Buy	07/09/13	L		
27.					Sold	08/23/13	L	B	
28. Dreyfus Opportunistic Midcap Value CL A		None	J	T	Buy	05/07/13	J	A	
29. American Europacific Growth Class F1	A	Dividend	J	T	Buy	05/07/13	J		
30.					Buy (add'l)	06/07/13	J		
31.					Sold	07/09/13	J	A	
32. Fimm MMKT Port INSt. CL	A	Int./Div.	K	T	Buy	05/06/13	K		
33.					Sold	08/22/13	J		
34.					Buy	10/29/13	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 12

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Davis, Tony M.

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	12/20/13	J		
36. Fidelity Advosor Int'l Disc. CL I					Buy	05/07/13	J		
37.					Sold	06/07/13	J	A	
38. Fidelity Emerging Markets					Buy	06/07/13	J		
39.					Sold	07/09/13	J	A	
40. Fidelity Advisor New Insights CL 1		None	J	T	Buy	05/07/13	J		
41.					Sold	08/23/13	J	A	
42. Fidelity Tax-Free Bond	A	Dividend			Buy	05/07/13	K		
43.					Sold	06/07/13	K	A	
44.					Buy	07/09/13	L		
45.					Sold	08/23/13	L	A	
46. Fidelity RE Investment	A	Dividend			Buy	06/07/13	J		
47.					Buy (add'l)	07/09/13	J		
48.					Sold	08/23/13	J	A	
49. Fidelity Growth Co.	A	Dividend	J	T	Buy	05/07/13	J	A	
50. Fidelity Low Priced Stock	A	Dividend	J	T	Buy	05/07/13	J	A	
51. Fidelity Dividend Growth	A	Dividend	J	T	Buy	05/07/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 12

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52.					Sold	10/30/13	J	A	
53. Fidelity Municipal Income	A	Dividend	J	T	Buy	08/23/13	J		
54.					Buy (add'l)	12/23/13	J	A	
55. Franklin Federal Tax Free Class A	A	Int./Div.			Buy	07/09/13	J		
56.					Sold	08/23/13	J	A	
57. Franklin Int'l SM Cap Growth Advisor	A	Dividend	J	T	Buy	05/07/13	J	A	
58. Goldman Sachs SM Cap Value Instl	A	Dividend	J	T	Buy	05/07/13	K	A	
59. Oakmark Fund I	A	Dividend	J	T	Buy	05/07/13	J	A	
60. Oakmark Int'l CL I	A	Dividend	J	T	Buy	05/07/13	J		
61.					Buy (add'l)	06/07/13	J	A	
62. ING Global Real Estate CL A	A	Dividend	J	T	Buy	05/07/13	J		
63.					Sold	07/09/13	J	A	
64.					Buy	08/23/13	J		
65. Ishares Russell Midcap Value ETF	A	Dividend	J	T	Buy	05/09/13	J		
66. Ishares Russell 1000 Value ETF	A	Dividend	K	T	Buy	05/09/13	K		
67.					Sold	08/27/13	J	A	
68. Ishares TR Russell 1000 Growth Index FD		None			Buy	05/09/13	K		

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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

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69.					Sold	06/11/13	K	A	
70. John Hancock Disciplined Value I2	A	Dividend	J	T	Buy	05/07/13	J	A	
71. Lazard Emerging Mkts Equity Open CL Shrs	A	Dividend	J	T	Buy	07/09/13	J	A	
72. MFS Int'l Value Fund CL A		None			Buy	05/07/13	J		
73.					Sold	06/07/13	J	A	
74. MFS Research Int'l Class A		None			Buy	05/07/13	J		
75.					Sold	06/07/13	J	A	
76. Manning & Napier World Oppt Ser CL A	A	Dividend	J	T	Buy	06/07/13	J		
77.					Buy (add'l)	06/07/13	J	A	
78. Morgan Stanley Mid Cap Growth Port CL P		None	J	T	Buy	05/07/13	J	A	
79. AEW Real Estate FD CL A		None			Buy	05/07/13	J		
80.					Sold	06/07/13	J	A	
81. Nuveen All American Muni Bond Class I	A	Dividend	K	T	Buy	06/07/13	K		
82.					Sold	07/09/13	L	A	
83.					Buy	08/23/13	K		
84. Oppenheimer Growth Class A	A	Dividend	J	T	Buy	05/07/13	J		
85.					Buy (add'l)	07/09/13	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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86. Oppenheimer Int'l Bond Fd CL Y	A	Dividend			Buy	06/07/13	J		
87.					Sold	07/09/13	J	A	
88. Oppenheimer Dev Markets FD Class Y					Buy	06/07/13	J		
89.					Sold	07/09/13	J	A	
90. Prudential Jennison Mid Cap Growth CL A		None	J	T	Buy	05/07/13	J	A	
91. SPDR S&P ETF Trust Unit Ser 1	A	Dividend	K	T	Buy	05/09/13	K		
92.					Sold	08/27/13	J	A	
93. T Rowe Price Int'l Stock					Buy	05/07/13	J		
94.					Sold	06/07/13	J	A	
95. T Rowe Price Emerging Markets Stock					Buy	05/07/13	J		
96.					Sold	06/07/13	J	A	
97. T Rowe Price Small Cap Value Advisor CL	A	Dividend	J	T	Buy	05/07/13	J		
98.					Sold	08/23/13	J	A	
99. T Rowe Price Mid Cap Value Adv Class	A	Dividend	J	T	Buy	05/07/13	J	A	
100. Sector SPDR TR SHS Ben Int Financial	A	Dividend	J	T	Buy	07/11/13	J		
101.					Buy (add'l)	08/27/13	J		
102. Templeton Frontier Mrkts FD Adv CL	A	Dividend	J	T	Buy	05/07/13	J		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

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103.					Buy (add'l)	07/09/13	J	A	
104. Templeton Global Bond Class A	A	Dividend	J	T	Buy	05/07/13	J		
105.					Sold	06/07/13	J	A	
106.					Buy	07/09/13	J		
107.					Buy (add'l)	12/23/13	J		
108. Vanguard Index FDS Vanguard Growth Vipers	A	Dividend	K	T	Buy	06/11/13	K		
109. Wells Fargo Short Term Muni Inc-Inst.	A	Dividend	J	T	Buy	05/07/13	J	A	
110.					Buy (add'l)	06/07/13	J		
111. Wells Fargo Muni Bond FD	A	Dividend	K	T	Buy	05/07/13	K		
112.					Buy (add'l)	06/07/13	J		
113.					Sold	07/09/13	K	A	
114.					Buy	08/23/13	K	A	
115. JP Morgan Savings Account	B	Interest	O	T	Open	09/01/13	O		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Davis, Tony M.

Date of Report

05/15/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

Davis, Tony M.

Date of Report

05/15/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Tony M. Davis**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544