

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCNAMEE, STEPHEN M.	2. Court or Organization US DISTRICT COURT OF ARIZONA	3. Date of Report 8/03/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE SENIOR STATUS - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address SANDRA DAY O'CONNOR US COURTS 401 WEST WASHINGTON STREET SPC 60 PHOENIX AZ 85003-2158		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. MEMBER	UNIVERSITY OF ARIZONA COLLEGE OF LAW BOARD OF VISITORS
2. MEMBER	UNIVERSITY OF ARIZONA LAW COLLEGE ASSOCIATION - BOARD OF DIRECTORS
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	STATE OF ARIZONA TAX REFUND	\$5,614.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	ST LUKES HEALTH INITIATIVE - SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	MICHAEL BIDWILL/AZ CARDINALS (NO LITIGATION)	2 BOX SEAT TICKETS TO CARDINALS FOOTBALL GAME	\$1,600.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. MERRILL LYNCH JOINT MASTER ACCOUNT	A	Int./Div.	J	T					
2. IBM COMMON STOCK (IBM)	A	Dividend	J	T					
3. INTERNATIONAL RECTIFIER COMMON STOCK		None	J	T					
4. FAIRFIELD ESTATES FLAGSTAFF AZ		None	J	W					
5. MARRIOTT CANYON VILLAS		None	J	W					
6. ST LUKES 403(B)7 (H)	C	Dividend							
7. -VANGUARD 500 INDEX FD					Sold	07/06/15	O	G	
8. WELLS FARGO CKG	A	Interest	J	T					
9. US BANK CKG #1	A	Interest	J	T					
10. US BANK SVGS	A	Interest	K	T					
11. IRA - US BANK PHOENIX AZ - CD	A	Interest	J	T					
12. IRA - US BANK PHOENIX AZ - CD	A	Interest	J	T					
13. MERRILL LYNCH - IRA #1 (H)	A	Int./Div.	K	T					
14. - BANK OF AMERICA, NA - CASH EQUIVALENT									
15. - AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 (AHIFX)									
16. - ISHARES AGENCY BOND FD (AGZ)									
17. - SPDR BARCLAYS 1-10 YEAR TIPS ETF (TIPX)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - ISHARES 1-3 YEAR CREDIT BOND ETF (CSJ)									
19. MERRILL LYNCH - IRA #2 (H)	C	Int./Div.	N	T					
20. - BANK OF AMERICA, NA - CASH EQUIVALENT									
21. - PWRSHARES ETF DYNAMIC LEISURE (PEJ)					Sold (part)	02/03/15	J	A	
22.					Sold (part)	03/20/15	J	A	
23.					Buy (add'l)	10/07/15	J		
24.					Sold (part)	10/16/15	J	A	
25.					Buy (add'l)	11/06/15	J		
26. - PWRSHARES DYNAMIC PHARM (PJP)					Sold (part)	02/03/15	J	A	
27.					Sold (part)	03/20/15	J	A	
28.					Buy (add'l)	10/07/15	J		
29.					Buy (add'l)	10/16/15	J		
30.					Buy (add'l)	11/06/15	J		
31. - POWERSHARES DWA HLTHCARE (PTH)					Sold (part)	02/03/15	J	A	
32.					Sold (part)	03/20/15	J	A	
33. - POWERSHARES DWA HLTHCARE (FORMERLY PWRSHARES ETF) (PTH)					Buy	10/07/15	J		
34.					Sold	10/16/15	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - PWRSHARES NASDAQ INT (PNQI)					Sold	02/03/15	K	D	
36. - SPDR INDEX SHS FDS (IPD)					Sold (part)	02/03/15	J	A	
37.					Sold (part)	03/20/15	J	A	
38.					Sold (part)	10/06/15	J	A	
39.					Buy (add'l)	10/07/15	J		
40.					Buy (add'l)	11/06/15	J		
41. - SPDR INDEX SHS FDS (IPN)					Buy (add'l)	02/03/15	J		
42.					Buy (add'l)	03/20/15	J		
43.					Buy (add'l)	10/07/15	J		
44.					Sold (part)	10/13/15	J	A	
45.					Buy (add'l)	11/06/15	J		
46. - VANGUARD MID-CAP VAL IDX (VOE)					Buy (add'l)	02/03/15	J		
47.					Buy (add'l)	03/20/15	J		
48.					Buy (add'l)	10/07/15	J		
49.					Sold (part)	10/16/15	J	A	
50.					Buy (add'l)	11/06/15	J		
51. - VANGUARD EXTD MKT EFT (VXF)					Buy (add'l)	02/03/15	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52.					Buy (add'l)	10/07/15	J		
53.					Sold (part)	10/16/15	J	A	
54.					Buy (add'l)	11/06/15	J		
55. - POWERSHARES ETF TR DYNAMIC MEDIA (PBS)					Buy (add'l)	02/03/15	J		
56.					Sold (part)	03/20/15	J	A	
57.					Sold (part)	10/16/15	J	A	
58.					Buy (add'l)	10/07/15	J		
59.					Buy (add'l)	11/06/15	J		
60. -VANGUARD SMALL CAP GROWTH (VBK)					Buy (add'l)	02/03/15	J		
61.					Buy (add'l)	10/07/15	J		
62.					Sold (part)	10/16/15	J	A	
63.					Buy (add'l)	11/06/15	J		
64. - VANGUARD SMALL CAP PER (VB)					Buy (add'l)	02/03/15	J		
65.					Buy (add'l)	10/07/15	J		
66.					Sold (part)	10/16/15	J	A	
67. - POWERSHARES ETF DYNAMIC BIOTECH (PBE)					Sold (part)	02/03/15	J	A	
68.					Sold (part)	03/20/15	J	A	

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69.					Buy (add'l)	10/07/15	J		
70.					Sold	10/16/15	J	C	
71. - POWERSHARES ETF TR AERO & DEF (PPA)					Buy (add'l)	02/03/15	J		
72.					Sold (part)	03/20/15	J	A	
73.					Buy (add'l)	10/15/15	J		
74.					Sold (part)	10/16/15	J	A	
75.					Buy (add'l)	11/06/15	J		
76. - SPDR INDEX SHS FDS (IRY)					Buy (add'l)	03/20/15	J		
77.					Buy (add'l)	10/16/15	J		
78.					Buy (add'l)	11/06/15	J		
79. - POWERSHARES GLOBAL GOLD (PSAU)					Buy	02/03/15	K		
80.					Sold	03/20/15	K	A	
81. - POWERSHARES ETF DYN SEMICONDUCTOR (PSI)					Buy	03/20/15	K		
82.					Buy (add'l)	10/07/15	J		
83.					Sold (part)	10/16/15	J	A	
84.					Buy (add'l)	11/06/15	J		
85. -POWERSHARES ETF DYN SOFTWARE PORT (PSJ)					Buy	10/16/15	K		

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86.					Buy (add'l)	11/06/15	J		
87. -POWERSHARES SWA CONSUMER CYCLICALS (PEZ)					Buy	10/16/15	K		
88.					Buy (add'l)	11/06/15	J		
89. MERRILL LYNCH - IRRA #1 (H)	D	Int./Div.	N	T					
90. -BANK OF AMERICA, NA - CASH EQUIVALENT									
91. - EATON VANCE FLOATING RATE FUND (EIBLX)									
92. - ISHARES BARCLAY AGENCY BOND FUND (AGZ)					Buy (add'l)	10/02/15	J		
93.					Buy (add'l)	11/05/15	J		
94. - PIMCO FOREIGN BOND FUND (PFBPX)									
95. - SPDR BARCLAYS 1-10 YEAR TIPS ETF (TIPX)									
96. - ALPS ALERIAN MLP ETF (AMLPE)					Buy (add'l)	11/05/15	K		
97. - ISHARES 1-3 YEAR CREDIT BOND ETF (CSJ)									
98. - FIDUCIARY/CLAY MLP OPP FD (FMO)					Sold	11/05/15	K	A	
99. - VANGUARD MSCI EUROPEAN (VGK)					Sold	08/28/15	K	A	
100. - SPDR BARCLAYS CONVERTIBLE (CWB)					Buy (add'l)	10/02/15	J		
101.					Buy (add'l)	11/05/15	J		
102. -VANGUARD TOTAL STK MKT (VTI)					Buy	10/02/15	K		

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103.					Buy (add'l)	11/05/15	K		
104. -VANGUARD REIT ETF (VNQ)					Buy	10/02/15	J		
105.					Buy (add'l)	11/05/15	J		
106. -VANGUARD FTSE ALL WORLD (VEU)					Buy	10/02/15	J		
107.					Buy (add'l)	11/05/15	J		
108. -VANGUARD TOTAL BOND MKT (BND)					Buy	10/02/15	J		
109.					Buy (add'l)	11/05/15	J		
110. -POWERSHARES PREFERRED (PGX)					Buy	10/02/15	J		
111.					Buy (add'l)	11/05/15	J		
112. -VANGUARD TOTAL INTERNATL (BNDX)					Buy	10/02/15	J		
113.					Buy (add'l)	11/05/15	J		
114. -ISHARES SHORT MATURITY (NEAR)					Buy	10/02/15	K		
115.					Buy (add'l)	11/05/15	K		
116. -SECTOR SPDR UTILITIES (XLU)					Buy	10/02/15	J		
117.					Buy (add'l)	11/05/15	J		
118. MERRILL LYNCH - IRR #2 (H)	A	Int./Div.	N	T					
119. -BA CA N.A. - CASH EQUIVALENT									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - BANK OF AMERICA, NA - CASH EQUIVALENT									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII INVESTMENTS AND TRUSTS

ST LUKES 403(B)7(H) ASSETS WERE SOLD AND ROLLED OVER TO MERRILL LYNCH IRRA ACCOUNTS IN AUGUST 2015.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ STEPHEN M. MCNAMEE

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544