

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Carey, Kevin J.	2. Court or Organization United States Bankruptcy Court for the District of Delaware	3. Date of Report 07/16/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address United States Bankruptcy Court for the District of Delaware 824 North Market Street, 5th Floor Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Executor	Estate #1
2.	Member, Board of Directors	American Bankruptcy Institute
3.	Member, Board of Trustees, Executive Board	Turnaround Management Association
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Matthew Bender & Company, Inc. (Lexis/Nexis) Contribution Author to Collier Forms Manual	\$10,000.00
2. 2013	Temple University Beasley School of Law (teaching)	\$8,667.00
3. 2013	St. John's University (teaching)	\$7,000.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	██████████ LLC (Wages)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Eastern District of Pennsylvania Bankruptcy Conference	January 24-25, 2013	Atlantic City, NJ	Attend Annual Conference (member)	Lodging, Meals, Mileage, Parking, Program Fee
2. New York Institute of Credit	January 31, 2013	New York, NY	(panelist)	Amtrak
3. Turnaround Management Association	February 5-8, 2013	Las Vegas, NV	Distressed Investing Conference (panelist and Board of Trustees meeting)	Airfare, Lodging, Local Transportation, Parking, Meals, Program Fee
4. Turnaround Management Association	February 20-22, 2013	Las Vegas, NV	VALCON (panelist)	Airfare, Lodging, Local Transportation, Parking, Meals, Program Fee

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5.	Turnaround Management Association	March 4-5, 2013	Chicago, IL	Workshop - program co-chair; attend planning meetings	Airfare, Lodging, Parking, Meals, Program Fee
6.	Turnaround Management Association	April 2, 2013	New York, NY	Panel (panelist)	Amtrak, Local Transportation, Parking
7.	National Conference of Bankruptcy Judges	April 7-9, 2013	Phoenix, AZ	Mid-Year Meeting (committee member)	Airfare, Lodging, Local Transportation, Parking, Meals, Baggage Fees, Program Fee
8.	St. John's Law School	April 12-13, 2013	Queens, NY	LLM Class (adjunct instructor)	Amtrak, Lodging, Meals, Tips
9.	American Bankruptcy Institute	April 17-19, 2013	National Harbor, MD	Spring Meeting (Board member and panelist)	Lodging, Parking, Meals, Mileage, Tolls, Program Fee
10.	St. John's Law School	April 19-20, 2013	Queens, NY	LLM Class (adjunct instructor)	Amtrak, Lodging, Meals, Tips
11.	Southern District of Florida Bankruptcy Bar Association	May 9-12, 2013	Palm Beach, FL	Annual bar association retreat (instructor)	Airfare, Lodging, Parking, Tolls, Program Fee
12.	Turnaround Management Association	May 13-15, 2013	Chicago, IL	Annual Spring Conference (Board of Trustees meeting)	Airfare, Lodging, Local Transportation, Parking, Meals, Baggage Fees, Tips
13.	Association of Insolvency and Restructuring Advisors	June 5-8, 2013	Chicago, IL	Valuation Panel (panelist)	Airfare, Lodging, Parking, Meals, Baggage Fees, Baseball Game, Program Fee
14.	American Bankruptcy Institute	July 18-21, 2013	Amelia Island, FL	Southeast Bankruptcy Workshop (panelist)	Airfare, Lodging, Local Transportation, Mileage, Parking, Program Fee
15.	Turnaround Management Association	July 22-23, 2013	Chicago, IL	Staff Meeting	Airfare, Lodging, Local Transportation, Parking
16.	American Bankruptcy Institute	August 8-10, 2013	Hershey, PA	Mid-Atlantic Workshop (panelist)	Lodging, Mileage, Tolls, Tips, Program Fee
17.	Turnaround Management Association	September 11, 2013	New York, NY	Nominations Committee Meeting (committee meeting)	Amtrak, Local Transportation, Parking
18.	National Conference of Bankruptcy Judges	September 17-18, 2013	Washington, D.C.	Legislative Committee meeting and Congressional reception (Committee meeting)	Lodging, Mileage, Parking, Tolls
19.	American Bankruptcy Institute	September 26-27, 2013	Washington, D.C.	Views from the Bench (panelist)	Lodging, Mileage, Parking, Tolls, Program Fee
20.	Turnaround Management Association	October 2-5, 2013	Washington, D.C.	TMA Annual (Board of Trustees meeting)	Lodging, Mileage, Local Transportation, Meals, Program Fee
21.	St. John's Law School	October 17-19, 2013	Queens, NY	LLM Class (adjunct instructor)	Amtrak, Lodging, Meals, Parking, Tips
22.	National Conference of Bankruptcy Judges	October 30-November 3, 2013	Atlanta, GA	Annual Meeting (committee meeting)	Airfare, Lodging, Local Transportation, Parking, Meals, Program Fee
23.	Turnaround Management Association	November 20-21, 2013	Toronto, ON	Newsmakers Dinner (attendee)	Airfare, Lodging, Local Transportation, Parking, Meals

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24. Turnaround Management Association	December 14-16, 2013	New York, NY	Leadership Retreat (attendee)	Amtrak, Lodging, Local Transportation, Parking, Meals
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	FIA Card Services (formerly Wachovia)	Line of Credit	J
2.	Citibank VISA	Credit Card	K
3.	American Express Optima	Credit Card	K
4.	Barclaycard (formerly Bank of America - USAir)	Credit Card	K
5.	American Express	Credit Card	K
6.	TD Bank	Credit Card	J
7.	Sears	Credit Card	J
8.	Internal Revenue Service	Payment Plan	J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. [REDACTED] LLC 401(k)	C	Dividend	L	T					
2. Estate #1-PNC Bank (cash in bank account)		None	J	T					
3. PNC Bank Accounts	A	Interest	J	T					
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VI, No. 8

This obligation was inadvertently omitted from my initial 2013 Report and is being paid currently under an agreed plan. Payments relate to 2012 federal income taxes and will be completed in August, 2014.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kevin J. Carey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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