

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Durkin, Thomas M.	2. Court or Organization U.S. District Court-Northern District Of Illinois	3. Date of Report 07/21/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge-active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Dirksen Federal Building 219 S Dearborn Chicago, Illinois 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner- until 1/11/13	Mayer Brown LLP
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2013-2014	recieved majority of return of partnership capital contribution from Mayer Brown LLP; remaining amount to be paid out in 2014
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 1/2013	salary income from Mayer Brown for first 2 weeks of January, 2013 before I resigned to be sworn in as a federal judge	\$19,389.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	██████████ - hourly worker
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Mayer Brown LLP	payment for a reception following my investiture ceremony	\$20,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CD Firstbank Puerto Rico	B	Interest	M	T	Buy	02/04/13	M		
2. CD Synovus Bank GA	A	Interest	M	T	Buy	02/04/13	M		
3. CD American Express Centuri	B	Interest	M	T	Buy	02/05/13	M		
4. CD General Electric Capital Bank	A	Interest	K	T	Buy	06/11/13	K		
5. CD General Electric Capital Bank	B	Interest	M	T	Buy	02/04/13	M		
6. ISHARES Russell 1000 Growth Fund	B	Dividend	M	T	Buy	02/01/13	M		
7. ISHARES Russell 1000 Value Fund	C	Dividend	M	T	Buy	02/01/13	M		
8. ISHARES Russell Midcap Growth Fund	A	Dividend	L	T	Buy	02/01/13	L		
9. ISHARES Russell Midcap Value Fund	B	Dividend	L	T	Buy	02/01/13	L		
10. ISHARES Gold Trust		None	L	T	Buy	02/01/13	L		
11. ISHARES Silver Trust		None	K	T	Buy	02/01/13	L		
12. ISHARES MSCI BRIC ETF Fund	A	Dividend	J	T	Buy	02/01/13	J		
13. ISHARES RS 2000 RS Growth Fund	A	Dividend	K	T	Buy	02/01/13	K		
14. ISHARES RS 2000 RS Value Fund	A	Dividend	K	T	Buy	02/01/13	K		
15. ISHARES MSCI Indonesia Fund	A	Dividend	J	T	Buy	11/15/13	J		
16. Chase accounts (various cash accounts)	A	Interest	M	T					
17. Northern Trust account(cash account)		None	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Nikrud LLC		None	J	T					
19. JPMorgan Investor Growth Fund	A	Dividend	J	T					
20. Investco American Franchise Fund	A	Dividend	K	T					
21. Merrill Lynch Bank Deposit Program (cash account)	B	Interest	O	T					
22. ISHARES Russell 1000 Value Index Fund	A	Dividend	J	T					
23. JP Morgan Chase Cap XXIX preferred stock	A	Interest			Sold	10/23/13	K		
24. Michigan State Grant Anticipation Bonds	A	Interest			Redeemed	09/16/13	K		
25. New Jersey St Trans TR, NJ Revenue Muni Bond	B	Interest	K	T					
26. New Jersey Economic Dev, NJ Revenue Muni Bond	B	Interest	L	T					
27. Toledo Ohi Cap IMPT, OH General Obligation Muni Bond	B	Interest	L	T					
28. Grapevine Texas Muni Bond	A	Interest	K	T	Buy	12/17/13	K		
29. Aiken Cnty SC Cons Sch Bond	A	Interest	K	T	Buy	07/01/13	K		
30. Eagle Garfld CO SD RE 50J Bond	B	Interest	K	T	Buy	12/13/13	K		
31. ISHARES MSCI Japan Fund	A	Dividend	J	T	Buy	06/05/13	J		
32. ISHARES Russell 1000 Growth Fund	A	Dividend	K	T	Buy	06/05/13	K		
33. ISHARES Russell 1000 Value Fund	A	Dividend	K	T	Buy	06/05/13	K		
34. ISHARES Russell Midcap Growth Fund	A	Dividend	K	T	Buy	06/05/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. ISHARES Russell Midcap Value Fund	A	Dividend	K	T	Buy	06/05/13	K		
36. ISHARES MSCI EAFE Fund	A	Dividend	J	T	Buy	06/05/13	J		
37. ISHARES Gold Trust	A	Dividend	K	T					
38. ISHARES Silver Trust	A	Dividend	K	T					
39. ISHARES MSCI BRIC ETF Fund	A	Dividend	J	T	Buy	06/05/13	J		
40. ISHARES RS 2000 Growth Fund	A	Dividend	J	T	Buy	06/05/13	J		
41. ISHARES RS 2000 Value Fund	A	Dividend	J	T	Buy	06/05/13	J		
42. ISHARES MSCI Indonesia Fund	A	Dividend	J	T	Buy	06/05/13	J		
43. ISHARES Russell 1000 Growth Fund	A	Dividend	K	T	Buy	11/15/13	K		
44. ISHARES Russell 1000 Value Fund	A	Dividend	K	T	Buy	11/15/13	K		
45. ISHARES Russell Midcap Growth Fund	A	Dividend	J	T	Buy	11/15/13	J		
46. ISHARES Russell Midcap Value Fund	A	Dividend	J	T	Buy	11/15/13	J		
47. ISHARES MSCI EAFE Fund	A	Dividend	J	T	Buy	11/15/13	J		
48. ISHARES Gold Trust		None	J	T	Buy	11/15/13	J		
49. ISHARES Silver Trust		None	J	T	Buy	11/15/13	J		
50. ISHARES MSCI BRIC ETF Fund	A	Dividend	J	T	Buy	11/15/13	J		
51. ISHARES RS Growth Fund	A	Dividend	J	T	Buy	11/15/13	J		

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52. ISHARES RS 2000 Value Fund	A	Dividend	J	T	Buy	11/15/13	J		
53. Richland Cnty SC Muni Bond	A	Interest	K	T	Buy	12/17/13	K		
54. Eagle Garfld Co SD RE50J Bond	A	Interest	K	T	Buy	12/13/13	K		
55. University Tex Univ Revs Fing Series B Bonds	A	Interest			Redeemed	08/15/13	K		
56. Freeprt Mcmran CPR & GLD	B	Dividend			Sold	10/23/13	K		
57. Goldman Sachs Group Senior Notes	B	Interest			Sold	10/23/13	K		
58. Los Angeles Calif Uni Sch Dist Election A	B	Interest			Redeemed	07/01/13	K		
59. JPM Chase Cap Tr X1 CLD Cum Def Int Cap	A	Interest			Redeemed	05/08/13	K		
60. ISHARES Russell 1000 Growth	A	Dividend			Sold	11/22/13	M	E	
61. ISHARES Russell 1000 Value	A	Dividend			Sold	11/22/13	M	E	
62. ISHARES Russell Midcap Growth	A	Dividend			Sold	11/22/13	L	D	
63. JPM IT COR BD 1	D	Dividend	L	T					
64. JPM IT EQ INDX 1	B	Dividend	K	T					
65. JPM IT INTREPID GR 1	B	Dividend	J	T					
66. JPM IT INTREPID MDCAP 1	B	Dividend	J	T					
67. JPM IT MDCAP GR1	C	Dividend	K	T					
68. JPM IT US EQ 1	C	Dividend	K	T					

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69.									
70.									
71.									
72.									
73. ISHARES RS 2000 Growth	A	Dividend			Sold	11/22/13	K	D	
74. ISHARES RS 2000 Value	A	Dividend			Sold	11/22/13	K	C	
75. ISHARES Russell Midcap Value	A	Dividend			Sold	11/22/13	L	D	
76. ISHARES MSCI EAFE	A	Dividend			Sold	11/22/13	L	C	
77. ISHARES MSCI Japan Fund	A	Dividend	J	T	Buy	11/15/13	J		
78. ISHARES MSCI Indonesia Fund	A	Dividend	K	T	Buy	02/01/13	L		
79. AUD Australian Govt, Australian Bond Taxable	B	Interest	K	T					
80. Cocoa Fla WTR&SWR REV, FL Revenue Muni Bond	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas M. Durkin**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544