

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mihm, Michael M.	2. Court or Organization Central District of Illinois	3. Date of Report 05/03/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 122 Federal Building 100 N.E. Monroe Peoria, IL 61602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Abraham Lincoln Inns of Court - Peoria
2.	Board of Directors	Forest Park Foundation
3.	Board of Directors	U.S. Russia Foundation for Economic Advancement & Rule of Law
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	New York Life Insurance Company	Loan on value of whole life insurance.	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AXA Elite Annuity (see explanation Part VIII)	A	Dividend	L	T					
2. Morgan Stanley (sp) Nationwide Elite Venue IRA (See Part 8)	A	Dividend	K	T					
3. MorganStanleyActive AssetMoneyTrust&MoneyMarket-see PartVIII	A	Interest	K	T	Buy	07/15/11	K		
4. Southside Bank Savings	A	Interest	J	T					
5. Southside Bank Savings (sp) (See exp. Part VIII)		None	K	T					
6. U.S. Treasury 1 Bond	A	Interest	J	T					
7. U.S. Treasury 1 Bond	A	Interest	J	T					
8. U.S. Treasury 1 Bond	A	Interest	J	T					
9. U.S. Treasury 1 Bond	A	Interest	J	T					
10. Legg Mason PRTNRS IN-TRM Munic C	A	Interest			Sold	07/15/11	K	A	
11. New York Life Insurance Whole Life	A	Dividend	J	T					
12. EQ/PIMCO Ultra	A	Dividend			Sold	07/15/11	J	A	
13. EQ/Int. Core Plus	A	Dividend			Sold	07/15/11	K	A	
14. EQ/Cap. Guardian Research	A	Dividend			Sold	07/15/11	J	A	
15. EQ/Equity Growth Plus	A	Dividend			Sold	07/15/11	J	A	
16. EQ/Gamco Small Cp. Value	A	Dividend			Sold	07/15/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
17. EQ/Gamco Merger Acquisit	A	Dividend			Sold	07/15/11	J	A	
18. EQ/Mid Cap Val Plus	A	Dividend			Sold	07/15/11	J	A	
19. EQ/Van Kampen Comstock	A	Dividend			Sold	07/15/11	K	A	
20. NW NVIT Inv Dest. Moda ggR2 (See Part VIII)	A	Dividend			Sold	07/15/11	J	B	
21. MVA/GTO 5 year	A	Dividend			Sold	07/15/11	J	A	
22. Southside Bank Savings Acct.	A	Interest	J	T					
23. Sentinel Gout Security	B	Interest	L	T					
24. EQ Mutual Money Market	A	Dividend	K	T	Buy	07/15/11	K		
25. NUIT Money Market	A	Dividend	K	T	Buy	07/15/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII - Line 1 - AXA Elite Annuity was invested in 9 mutual funds now listed in Part VII, lines 12-19.

Those were all sold on July 15, 2011, and the proceeds placed in EQ Mutual Money Market Account reported on line 24.

Part VII - Line 2 - Morgan Stanley (sp) Nationwide Venue IRA was invested in 2 mutual funds listed on lines 20 and 21. Those two were sold on 7/15/11 and proceeds placed in NUIT Money Market Account reported on line 25.

Part VII - Line 3 - Morgan Stanley Active Asset Money Trust and Money Market. These funds are the proceeds of the sale of the Legg Mason PRTNRS IN-TRM Munic C Account reported on line 10.

Part VII - Line 5 - [REDACTED] checking account.

Part VII - Lines 12-19 - Proceeds from the sale of these 9 mutual funds were placed in the EQ Mutual Money Market Account reported on line 24.

Part VII - Lines 20-21 - Proceeds from the sale of these two mutual funds were placed in NUIT Money Market Account reported on line 25.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Michael M. Mihm**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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