

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clevenger, Raymond C.	2. Court or Organization United States Court of Appeals for the Federal Circuit	3. Date of Report 05/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Court Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 717 Madison Place, N.W. Washington, DC 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	self-employed photographer
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. IP Section, Georgia State Bar Association	March 1-March 2, 2103	Atlanta, GA	Meeting with IP Section of Georgia State Bar Association	Transportation, Meals, and Lodging
2. Southern Methodist University Law School	March 21-March 22, 2013	Dallas, TX	Patent Law Conference at Law School	Transportation, Meals, and Lodging
3. IP Section, Georgia State Bar Association	November 6-November 10, 2013	Montego Bay, Jamaica	Meeting with IP Section of Georgia State Bar Association	Transportation, Meals, and Lodging
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America (C.S.)	E	Dividend	P2	T					
2. Morgan Stanley Managed Municipal Fund	E	Interest	P1	T					
3. PNC Bank Now Account	D	Interest	L	T					
4. Shawnee Village Partners Units	A	Distribution	J	W					
5. Seminole Transportation Co. Mineral Contract	C	Royalty	J	W				A	
6. Coop Refining LLP Mineral Contract	A	Royalty	J	W					
7. Total Petroleum Co. Mineral Contract	B	Royalty	J	W					
8. Central Crude Co. Mineral Contract	B	Royalty	J	W					
9. Delaware Group Tax-Free Fund	E	Interest	N	T					
10. Morgan Stanley Daily Dividend Fund	E	Interest	N	T					
11. Kansas City Southern Industries (C.S.)		None	L	T	Buy	12/09/13	L		
12. Apple, Inc. (C.S.)	C	Dividend	M	T	Buy (add'l)	06/24/13	J		
13. Allergan Inc. (C.S.)	A	Dividend	M	T					
14. Baxter International Inc. (C.S.)	C	Dividend	M	T					
15. Caterpillar Inc. (C.S.)	B	Dividend	L	T					
16. Chubb Corp. (C.S.)		None			Sold	02/05/13	M	E	
17. EMC Corp. (C.S.)	A	Dividend			Sold	05/13/13	M	E	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Essex Property Trust Inc. (C.S.)		None			Sold	01/08/13	K	E	
19. Exxon Mobil Corp. (C.S.)	C	Dividend			Sold	10/01/13	M	A	
20. J.P. Morgan Chase & Co. (C.S.)	C	Dividend	M	T					
21. McDonalds Corp. (C.S.)	C	Dividend	M	T					
22. Mead Johnson Nutrition Co.	B	Dividend			Sold	07/24/13	M	A	
23. Microsoft Corp. (C.S.)	C	Dividend	M	T					
24. Pepsico Inc. (C.S.)	C	Dividend	M	T	Buy (add'l)	11/21/13	K		
25. Philip Morris Intl. Inc. (C.S.)	C	Dividend	M	T					
26. Schlumberger Ltd. (C.S.)	A	Dividend	L	T					
27. Sempra Energy (C.S.)	C	Dividend	M	T					
28. ThermoFisher Scientific Inc. (C.S.)	A	Dividend	L	T					
29. United Technologies Corp. (C.S.)	C	Dividend	M	T					
30. Walt Disney Co. (C.S.)	B	Dividend	M	T	Buy (add'l)	07/19/13	K		
31. Williams Co. Inc. *C.S.)	C	Dividend			Sold	11/19/13	M	E	
32. American Tower REIT (C.S.)	C	Dividend	L	T	Buy	02/04/13	L		
33. 3M Company (C.S.)	A	Dividend			Buy	05/01/13	M		
34. 3M Company (C.S.)	B	Dividend			Sold	09/27/13	M	D	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Ace Ltd. (C.S.)	B	Dividend	L	T	Buy	06/24/13	L		
36. Mastercard, Inc. (C.S.)	A	Dividend	K	T	Buy	06/24/13	K		
37. Google, Inc. (C.S.)		None	M	T	Buy	07/24/13	M		
38. Chevron Corp. (C.S.)	A	Dividend	M	T	Buy	11/19/13	M		
39. L Brands, Inc. (C.S.)		None	L	T	Buy	11/19/13	L		
40. Marsh & McLennan Cos. Inc. (C.S.)		None	L	T	Buy	11/19/13	L		
41.									
42. Odd Lot Fund	A	Dividend	J	T					
43. Costco Companies, Inc. (C.S.)	A	Dividend	J	T					
44. Berkshire Hathaway Co. (C.S.)	A	Dividend	J	T					
45. General Electric Co. (C.S.)	A	Dividend	J	T					
46. Level 3 Communications Inc. (C.S.)	A	Dividend	J	T					
47. Echelon Corp. (C.S.)	A	Dividend	J	T					
48. TE Connectivity (C.S.)	A	Dividend	J	T					
49. Walt Disney Co. (C.S.)	A	Dividend	J	T					
50. Europacific Growth Fund	A	Dividend	J	T					
51. Global High Income Dollar Fund	A	Dividend	J	T					

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52. Green Energy Management Systems, Inc. (C.S.)	A	Dividend	J	T					
53. Blackrock Trust Co. (C.S.)	A	Dividend	J	T					
54. Canadian Oil Sands Trust Co. (C.S.)	A	Dividend	J	T					
55. Chevron-Texaco Co. (C.S.)	A	Dividend	J	T					
56. Cisco Corp. (C.S.)	A	Dividend	J	T					
57. Dominion Reserve Inc. (C.S.)	A	Dividend	J	T					
58. Exxon Mobil Corp. (C.S.)	A	Dividend	J	T					
59. J.P. Morgan Chase & Co. (C.S.)	A	Dividend	J	T					
60. Kayne Anderson Midstream Investment Co. (C.S.)	A	Dividend	J	T					
61. Lowe's Companies, Inc. (C.S.)	A	Dividend	J	T					
62. PHLO Corp. (C.S.)	A	Dividend	J	T					
63. Verizon, Inc. (C.S.)	A	Dividend	J	T					
64. Keeley Small Cap. Value Fund	A	Dividend	J	T					
65. Oppenheimer International Small Co. Fund	A	Dividend	J	T					
66. Snow Capital Management Fund	A	Dividend	J	T					
67. Ishares DJ Select Dividend Fund	A	Dividend	J	T					
68. News Corp. (C.S.)	A	Dividend	J	T					

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69. Dodge & Cox International Stock (C.S.)	A	Dividend	J	T					
70. Fairholme Fund Shares	A	Dividend	J	T					
71. Lazard Emerging Markets RBL Shares	A	Dividend	J	T					
72. Powershares Water Reserve Portfolio Shares	A	Dividend	J	T					
73. Apple Inc. (C.S.)	A	Dividend	J	T					
74. Google Inc. (C.S.)		None	J	T					
75. American Century Small Cap. Value Fund	A	Dividend			Sold	09/12/13	J	B	
76. Cambiar Opportunity Fund	A	Dividend	J	T					
77. Cullen High Dividend Equity Fund		None			Sold	02/13/13	J	A	
78. Terre Haute, IN Sanitary District Bond		None			Sold	01/09/13	K	A	
79. Meckleburg, NC Public Improvement Bond	B	Interest			Sold	12/16/13	K	A	
80. Adrian, MI City School District Bond	B	Interest			Sold	12/16/13	K	A	
81. District of Columbia Series E BHAC Bond	C	Interest	M	T					
82. Paw Paw, MI Public School District Bond	B	Interest	K	T					
83. Royal Oak, MI Hospital Authority Bond	B	Interest			Sold	12/16/13	K	A	
84. Anchor Bay, MI School District Bond	B	Interest			Sold	12/16/13	K	A	
85. Beloit Wisc Sch District Bond		None	K	T	Buy	12/16/13	K		

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86. New York City TFA Rev Bond		None	K	T	Buy	12/16/13	K		
87. Columbia MO Spl Oblig Bond		None	K	T	Buy	12/16/13	K		
88. Rockwall County TX Series A Bond		None	K	T	Buy	12/16/13	K		
89. South Western City SD HO Bond		None	K	T	Buy	12/16/13	K		
90. Mercanolibre Inc. (C.S.)	A	Dividend	J	T					
91. AT&T Corp. (C.S.)	A	Dividend	J	T					
92. Clough Global Equity Fund (C.S.)	B	Dividend	K	T					
93. Clough Global Opportunity Fund (C.S.)	B	Dividend	L	T					
94. Proctor & Gamble Co. (C.S.)	A	Dividend	J	T					
95. Ishares FTSE Xinhua China Fund (C.S.)	A	Dividend	J	T					
96. Ishares MSCI Brazil Index Fund (C.S.)	A	Dividend	J	T					
97. Fairpoint Communications, Inc. (C.S.)	A	Dividend	J	T					
98. Frontier Communications, Inc. (C.S.)	A	Dividend	J	T					
99. American Capital World Fund (C.S.)	B	Dividend	L	T					
100. Heitmann REIT Fund (C.S.)	B	Dividend	L	T					
101. PIMCO Total Return Fund (C.S.)	B	Dividend	M	T					
102. Amazon.Com Inc. (C.S.)		None	J	T					

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103. Harbor International Fund (C.S.)	A	Dividend	J	T					
104. Hartford Growth Opportunity Fund (C.S.)	A	Dividend	J	T					
105. Ivy Asset Strategy Fund (C.S.)	A	Dividend	J	T					
106. Mainstay Large Capital Growth Fund (C.S.)	A	Dividend	J	T					
107. MFS Value Fund (C.S.)	A	Dividend	J	T					
108. Nuveen Build America Fund (C.S.)	A	Dividend	J	T					
109. Oppenheimer Gold & Special Minerals Fund (C.S.)	A	Dividend	J	T					
110. Vanguard Natural Resources (C.S.)	A	Dividend			Sold	05/23/13	J	A	
111. Vale, S.A. (C.S.)	A	Dividend	J	T					
112. Unilever PLC (C.S.)	A	Dividend	J	T	Buy	10/21/13	J		
113. Symantec Corp. (C.S.)	A	Dividend	J	T	Buy	04/24/13	J		
114. T.Rowe Price New Horizons Fund (C.S.)	A	Dividend	J	T	Buy	05/28/13	K		
115. Allianzgi NFJ Intl Value Fund (C.S.)	A	Dividend	J	T	Buy	01/14/13	J		
116. John Hancock Classic Value Fund (C.S.)	A	Dividend	J	T	Buy	02/05/13	J		
117. MFS New Discovery Fund (C.S.)	A	Dividend	J	T	Buy	04/19/13	J		
118. Oakmark Intl Fund m(C.S.)	A	Dividend	J	T	Buy	03/11/13	J		
119. Wells Fargo Adv. Small Cap Value Fund (C.S.)	A	Dividend	J	T	Buy	01/24/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Clevenger, Raymond C.

Date of Report

05/12/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Clevenger, Raymond C.

Date of Report

05/12/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Raymond C. Clevenger**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544