

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Burroughs, Allison D.	2. Court or Organization U.S. District Court, Massachusetts	3. Date of Report 05/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. District Court John Joseph Moakley U.S. Courthouse 1 Courthouse Way, Suite 5730 Boston, MA 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

S.D. Warren Company d/b/a Sappi North America, salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED1. University of Pennsylvania
School of Law

03/22/2016

Philadelphia, PA

Invited panelist at Penn
Law Women's Summit
2016RT Airfare, Taxi (From Airport to PA
School of Law) and Parking (Logan
Airport, Boston, MA)

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Middlesex Savings Bank, accounts	A	Interest	L	T					
2. Capital One 360, bank accounts	A	Interest	M	T					
3. Hanscom Federal Credit Union, accounts	A	Interest	J	T					
4. Gold Coins		None	J	T					
5. HWS Baseball VI, LLC, membership interest	B	Distribution	K	U					
6. Longleaf Partners Fund	A	Dividend	J	T					
7. Longleaf Partners International Fund	A	Dividend	K	T					
8. Sappi Limited Performance Shares (vested)		None			Buy	12/07/16	L		Sappi Limited
9.					Sold	12/08/16	L		
10. SPDR S&P 500 ETF Trust	D	Dividend	M	T	Sold (part)	10/11/16	L	E	
11.					Sold (part)	10/11/16	L	E	
12. U.S. Savings Bonds, Series EE		None	J	T					
13. Vanguard Federal Money Market Fund	A	Dividend	L	T	Buy	10/14/16	L		
14.					Buy (add'l)	10/14/16	L		
15.					Sold (part)	10/21/16	J		
16.					Sold (part)	10/21/16	J		
17. Vanguard GNMA Fund	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Vanguard Prime Money Market Fund	A	Dividend	K	T	Sold (part)	01/29/16	K		
19.					Sold (part)	01/29/16	J		
20.					Sold (part)	01/29/16	K		
21.					Sold (part)	01/29/16	J		
22.					Buy (add'l)	10/11/16	J		
23.					Buy (add'l)	10/11/16	K		
24.					Buy (add'l)	10/11/16	J		
25.					Buy (add'l)	10/11/16	K		
26. Vanguard Short-Term Investment Grade Fund	B	Dividend	L	T					
27. Vanguard Total International Stock Market Index Fund	A	Dividend	J	T	Sold (part)	10/11/16	J		
28.					Sold (part)	10/11/16	J		
29. Vanguard Total Stock Market Index Fund	C	Dividend	M	T	Buy (add'l)	01/29/16	K		
30.					Buy (add'l)	01/29/16	J		
31.					Buy (add'l)	01/29/16	K		
32.					Buy (add'l)	01/29/16	J		
33.					Sold (part)	10/11/16	K	A	
34.					Sold (part)	10/11/16	K	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Wefunder.com (escrow for Hopster's LLC Series A-2 Preferred Units)		None	J	T	Buy	12/04/16	J		
36. 401K #2 (H)									
37. -American Funds EuroPacific Growth Fund	A	Dividend	K	T					
38. -Vanguard Retirement Savings Trust II	C	Dividend	M	T					
39. -Vanguard Total Stock Market Index Fund	D	Dividend	O	T					
40. IRA #1 (H)									
41. -Vanguard GNMA Fund	A	Dividend	J	T	Sold (part)	01/29/16	J		
42.					Sold (part)	03/17/16	J		
43. -Vanguard Short-Term Investment Grade Fund	A	Dividend	K	T	Sold (part)	01/29/16	J		
44.					Sold (part)	03/17/16	J		
45. -Vanguard Total International Stock Market Index Fund	A	Dividend	J	T					
46. -Vanguard Total Stock Market Index Fund	B	Dividend	L	T	Buy (add'l)	01/29/16	J		
47.					Buy (add'l)	01/29/16	J		
48.					Buy (add'l)	03/17/16	J		
49.					Buy (add'l)	03/17/16	J		
50. Trust #1 (H)									
51. -Brookfield Real Assets I: RA	A	Dividend	K	T	Buy	11/09/16	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Royal Dutch Shell ADRs	B	Dividend	K	T					
53. -Charles Schwab & Co., Inc., cash balance	A	Interest	N	T	Buy (add'l)	05/16/16	J		
54.					Sold (part)	11/09/16	K		
55. Trust #2 (H)									
56. -Vanguard GNMA Fund	A	Dividend	K	T	Buy	01/29/16	J		
57. -Vanguard Prime Money Market Fund	A	Dividend			Sold (part)	01/29/16	J		
58.					Sold (part)	01/29/16	J		
59.					Sold (part)	01/29/16	J		
60.					Sold (part)	01/29/16	K		
61.					Sold	03/17/16	K		
62. -Vanguard Short-Term Investment Grade Fund	A	Dividend	K	T	Buy	01/29/16	J		
63. -Vanguard Total International Stock Market Index Fund	A	Dividend	J	T	Buy	01/29/16	J		
64. -Vanguard Total Stock Market Index Fund	B	Dividend	L	T	Buy	01/29/16	K		
65.					Buy (add'l)	03/17/16	K		
66. Trust #3 (H)									
67. -Vanguard GNMA Fund	A	Dividend	K	T	Buy	01/29/16	J		
68. -Vanguard Prime Money Market Fund	A	Dividend			Sold (part)	01/29/16	J		

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69.					Sold (part)	01/29/16	J		
70.					Sold (part)	01/29/16	J		
71.					Sold (part)	01/29/16	K		
72.					Sold	03/17/16	K		
73. -Vanguard Short-Term Investment Grade Fund	A	Dividend	K	T	Buy	01/29/16	J		
74. -Vanguard Total International Stock Market Index Fund	A	Dividend	J	T	Buy	01/29/16	J		
75. -Vanguard Total Stock Market Index Fund	B	Dividend	L	T	Buy	01/29/16	K		
76.					Buy (add'l)	03/17/16	K		
77. IRA #2 (H)									
78. -Vanguard GNMA Fund	B	Dividend	L	T					
79. -Vanguard Short-Term Investment Grade Fund	B	Dividend	L	T					
80. -Vanguard Total International Stock Market Index Fund	A	Dividend	K	T					
81. -Vanguard Total Stock Market Index Fund	D	Dividend	N	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Allison D. Burroughs**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544