

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Steele, William H.	2. Court or Organization U.S. District Court, Southern District of Alabama	3. Date of Report 08/07/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 113 St Joseph Street Mobile, AL 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Musician Fees (self-employment)	\$1,210.00
2. 2017	First Church of Christ Scientist (reader fees)	\$830.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Self-employed (accounting consultant)
2. 2017	Retirement Systems of Alabama
3. 2017	Nationwide Retirement Solutions (deferred pay & retirement annuity)
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo Advisors	Line of Credit / Margin Loan	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Regions Bank Account	A	Interest	K	T					
2. American Express High Yield Savings	A	Interest	K	T					
3. Nationwide Retirement Solutions (457b)	E	Distribution	M	T					
4. BROKERAGE #1 (H)									
5. ENERGY SELECT ETF SECTOR SPDR (XLE)	A	Dividend	J	T	Buy	09/29/17	J		
6. ISHARES ETF 10+ YR CREDIT BOND (CLY) (X)	A	Dividend	J	T					
7. ISHARES COHEN & STEERS REIT (ICF) (X)	A	Dividend			Sold	02/17/17	J	A	
8. ISHARES ETF U.S. PREFERRED STOCK (PFF) (X)	A	Dividend	J	T					
9. ISHARES 3-7 YR TREAS BOND (IEI) (X)	A	Dividend			Sold	06/14/17	J		
10. ISHARES ETF 7-10 YR TREASURY BOND (IEF) (X)	A	Dividend	J	T	Sold (part)	02/27/17	J		
11. ISHARES ETF INTERMEDIATE CREDIT BOND (CIU) (X)	A	Dividend	J	T	Buy (add'l)	04/18/17	J		
12. ISHARES ETF 1-3 YEAR CREDIT BOND (CSJ) (X)	A	Dividend	J	T					
13. ISHARES 20+ YEAR ETF TREASURY BOND (TLT) (X)	A	Dividend	J	T	Buy (add'l)	06/14/17	J		
14. ISHARES FLOATING RATE BOND (FLOT)	A	Dividend			Buy	03/10/17	J		
15.					Sold	04/18/17	J		
16. ISHARES CORE MSCI ETF EAFE ETF (IEFA) (X)	B	Dividend	K	T	Buy (add'l)	01/20/17	J		
17.					Buy (add'l)	05/01/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Sold (part)	06/22/17	K	A	
19.					Buy (add'l)	09/01/17	K		
20.					Sold (part)	10/31/17	J	B	
21. ISHARES S&P MIDCAP 400 VALUE (IJJ) (X)	A	Dividend			Sold	05/16/17	J	A	
22. ISHARES CORE S&P 500 ETF S&P 500 INDEX FD (IVV)	A	Dividend	K	T	Buy	05/16/17	K		
23.					Sold (part)	09/29/17	J	A	
24. ISHARES S&P500 VALUE (IVE) (X)	A	Dividend			Sold	05/16/17	J	A	
25. ISHARES CORE S&P ETF SMALLCAP (IJR)	A	Dividend	J	T	Buy	09/29/17	J		
26. ISHARES CURRENCY HEDGED MSCI EAFE (HEFA)	A	Dividend			Buy	06/22/17	K		
27.					Sold	09/01/17	K		
28. ISHARES INC ETF MSCI JAPAN ETF NEW (EWJ)	A	Dividend	J	T	Buy	10/31/17	J		
29. ISHARES MBS ETF (MBB) (X)	A	Dividend	J	T					
30. ISHARES MSCI EUROZONE (EZU) (X)	A	Dividend			Sold	09/01/17	J	B	
31. ISHARES TIP BOND (TIP)	A	Dividend			Buy	02/27/17	J		
32.					Sold	06/14/17	J		
33. PIMCO 0-5 YEAR HIGH YLD CORP BOND (HYS)	A	Dividend			Buy	04/18/17	J		
34.					Sold	10/31/17	J	A	

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35. POWERSHARES SR LOAN PORT (BKLN) (X)	A	Dividend			Sold	04/18/17	J		
36. POWERSHARES EM MAR SOV DE PT (PCY) (X)	A	Dividend			Sold	03/10/17	J		
37. POWERSHARES ACTIVELY MNGD EXCH TRD CMMDTY YLD (PDBC)	A	Dividend			Buy	02/17/17	J		
38.					Sold	05/01/17	J		
39. SPDR GOLD TRUST (GLD) (X)	A	Dividend			Sold	02/17/17	J	A	
40. SECTOR SPDR TR ETF TECHNOLOGY SELECT SECTOR (XLK)	A	Dividend	J	T	Buy	09/29/17	J		
41.					Buy (add'l)	10/31/17	J		
42. SELECT SECTOR SPDR ETF TR FINANCIAL (XLF)	A	Dividend	J	T	Buy	09/01/17	J		
43.					Buy (add'l)	10/31/17	J		
44. SPDR S&P 500 TRUST (SPY) (X)	A	Dividend			Buy (add'l)	03/10/17	J		
45.					Sold	09/01/17	K	C	
46. SPDR S&P MIDCAP 400 TR (MDY)	A	Dividend			Buy	02/17/17	J		
47.					Sold	05/16/17	J		
48. SELECT SECTOR SPDR HEALTH CARE (XLV)	A	Dividend			Buy	09/01/17	J		
49.					Sold	09/29/17	J	A	
50. SPDR BLACKSTONE/GSO ETF SENIOR LOAN (SRLN)	A	Dividend	J	T	Buy	10/31/17	J		
51. SPDR S&P DIVIDEND (SDY) (X)	A	Dividend			Sold	01/20/17	J	A	

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52. VANGUARD FTSE ETF PACIFIC (VPL)	A	Dividend	J	T	Buy	09/01/17	J		
53. VANGUARD GROWTH ETF (VUG)	A	Dividend	K	T	Buy	09/01/17	K		
54. VANGUARD INTL EQUITY ETF INDEX FDS FTSE EMERGING MKTS ETF (VWO) (X)	A	Dividend	J	T	Sold (part)	03/10/17	J	A	
55.					Buy (add'l)	05/16/17	J		
56.					Sold (part)	09/29/17	J	A	
57. VANGUARD TELECOMMUNICATIONS ETF (VOX)	A	Dividend			Buy	09/01/17	J		
58.					Sold	10/31/17	J		
59. VANGUARD TOTAL STOCK MKT (VTSMX)	A	Dividend			Buy	05/16/17	J		
60.					Sold	09/01/17	J	A	
61. IRA #1 (H)									
62. Centennial Money Market (Y)									
63. Putnam International Growth Class A (PINOX)	A	Dividend	J	T					
64. AIG SunAmerica Focused Alpha Large Cap (SFLAX)	A	Dividend	J	T					
65. IRA #2 (H)									
66. Alliance Bernstein Real Estate Investment Class A (AREAX)	B	Dividend	K	T					
67. Alliance Bernstein Large-Cap Growth Class A (APGAX)	B	Dividend	K	T					

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68. Alliance Bernstein Sustainable Global Thematic Fund (ALTFX)	A	Dividend	J	T					
69. John Hancock Regional Bank (FRBAX)	B	Dividend	K	T					
70. Prudential Jennison Blend Fund Class A (PBQAX)	B	Dividend	K	T					
71. BROKERAGE #2 (H)									
72. Centennial Money Market (Y)									
73. Alliance Large Capital Growth (APGAX)	B	Dividend	K	T					
74. Fidelity Adv Emerging Markets Income Fund (FMKAX)	B	Dividend	K	T					
75. Fundamental Investors Inc. (American Funds) (ANCFX)	D	Dividend	M	T					
76. Growth Fund of America (American Funds) (AGTHX)	D	Dividend	M	T					
77. Franklin Mutual Shares (TESIX)	C	Dividend	K	T					
78. New Economy Fund (American Funds) (ANEFX)	C	Dividend	L	T					
79. Putnam Invst Fds Multi-Cap Value Fund (PMVAX)	C	Dividend	L	T					
80. Washington Mutual (American Funds) (AWSHX)	D	Dividend	L	T					
81. Campbell Strategic Allocation Fund	E	Int./Div.	M	T					
82. Nationwide Destination B Variable Annuity (H)									
83. FIDVIP Free 2020 SVC 2 (FFRTT)		None	M	T	Sold (part)	02/27/17	J		
84. NW AMFDS NVIT ASSTALOC II		None	M	T	Sold (part)	02/27/17	J		

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85. NW NVIT INV DEST CAPAPP II		None	M	T	Sold (part)	02/27/17	J		
86. IRA #3 (H)									
87. Standard Bank Deposit (X)	A	Interest	M	T					
88. Euro Pacific Growth (American Funds) (AEPGX)	A	Dividend	J	T					
89. Growth Fund of America (American Funds) (AGTHX)	B	Dividend	K	T					
90. Putnam Intl. Growth Fund Class A (PINOX)	A	Dividend	K	T					
91. Putnam Growth Opportunities Fund Class A (POGAX)	C	Dividend	M	T	Sold (part)	02/13/17	K	D	
92. Putnam Multi-Cap Growth Fd C1 A (PNOPX)	A	Dividend			Sold	11/09/17	M	G	
93. First Trust Financial Alphadex Fund (FXO) (Y)									
94. OTHER HOLDINGS (H)									
95. Steele Enterprises LLC		None	N	U					
96. Rental property, Orange Beach,AL	E	Rent	N	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 3: Level of risk only - no control over asset selection or transactions.

Part VII, line 4: This header corresponds with the managed account that was reported collectively on line 35 of the 2016 report. Lines 5-60 of the 2017 report separately identify the underlying holdings and activity within this account.

Part VII, lines 64 and 92: Name corrections; see 2016, lines 5 and 31, respectively.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William H. Steele

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544