

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Eagan, Claire V.	2. Court or Organization USDC/N. Dist. of Oklahoma	3. Date of Report 04/22/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States Courthouse 333 W. 4th Street, Room 411 Tulsa, Oklahoma 74103		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1 [See #4 in Part VII and Note in Part VIII]
2.	Trustee	Trust #2 [See #5 in Part VII and Note in Part VIII]
3.	Director	Oklahoma Medical Research Foundation (non-profit medical research organization)
4.	Trustee	Saint Francis of Assisi Tuition Assistance Trust (non-profit educational assistance organization)
5.	Trustee	Saint Clare of Assisi Disadvantaged Schools Trust (non-profit educational assistance organization)
6.	Member	University of Tulsa College of Law Dean's Advisory Board (private educational institution)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2012

Advanced Medical Instruments, Inc. - Salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. University of Colorado/
Boulder, CO

09/2012

Boulder, CO

Tenth Circuit Judicial
Conference - Speaker

Transportation / Hotel

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Finance, LLC (Previously thru Washington Mutual and Homeside Lending, Inc.)	Mortgage loan on Property #2 (See Item #3 at VII)	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Investor Fund / Bank of Oklahoma, N.A.	A	Interest	J	T					
2. Property #1 - Tulsa, OK		None	L	W					
3. Property #2 - Highland Beach, FL		None	M	W					
4. Trust #1 (See Note VIII)		None	J	T					
5. Trust #2 (See Note VIII)		None	J	T					
6. Schwab #1 - IRA									
7. - Schwab Money Market Fund		None	J	T					
8. - Russell Emerging Markets Fund	A	Dividend	K	T					
9. - Russell Global Equity Fund	B	Dividend	L	T					
10. - Baron Partners Fund		None	K	T					
11. - Royce Premier Fund	D	Dividend	L	T					
12. - PIMCO Total Return	D	Dividend	M	T					
13. - Jensen Portfolio Class I	B	Dividend	K	T	Sold (part)	10/08/12	J	B	
14. - Loomis Sayles Global Markets	C	Dividend	M	T					
15. - Artisan Int'l Fund	A	Dividend	K	T					
16. -JP Morgan Highbridge		None	K	T	Buy	03/28/12	K		
17. -Royce Global Value Fd Invst	B	Dividend	K	T	Buy	03/28/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Nuance Concentrated Value	C	Dividend	L	T	Buy	12/27/12	L		
19. - Artisan Int'l Small Cap	A	Dividend	K	T					
20. - Dodge & Cox Int'l	B	Dividend	K	T					
21. - American Century Equity (Zero ending balance)	B	Dividend			Sold	07/19/12	L	C	
22. - Nuveen Tradewinds GL (Zero ending balance)		None			Sold	03/15/12	L		
23. - Managers Fixed Income	D	Dividend	M	T	Sold (part)	05/19/12	J	A	
24.					Sold (part)	10/19/12	J	A	
25. - PIMCO All Asset All Auth Instit	E	Dividend	M	T					
26. Schwab #2 - Investment Acct.									
27. - Schwab Money Market Fund		None	J	T					
28. - Exxon Corp. - Common	A	Dividend	J	T					
29. - Genvec		None	J	T					
30. - Russell Emerging Markets	A	Dividend	J	T	Buy (add'l)	12/24/12	J		
31. Schwab #3 - IRA									
32. - Schwab Money Market		None	J	T					
33. - Rydex Biotechnology		None	J	T	Sold (part)	11/08/12	J	B	
34. - Russell Emerging Markets	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Schwab #4 - IRA(TMS)									
36. -Schwab Money Market Fund		None	J	T					
37. -Schwab Strategic TR US	B	Dividend	L	T	Sold (part)	06/04/12	L	A	
38.					Buy (add'l)	06/07/12	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

NOTE - New funds added to an investment account are listed under that investment account header/line item.

4 Trust # 1 - The Trust is the named beneficiary of a life insurance policy issued by Northwestern Mutual Life on the life of an individual who is still living. There are no other Trust assets.

5 Trust # 2 - The Trust was created in 2000 to receive, hold and distribute certain assets. No assets were received, held, or distributed in 2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Claire V. Eagan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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