

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DeMoss, Jr., Harold R.	2. Court or Organization U.S. 5th Cir. Ct of Appeals	3. Date of Report 05/15/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge -Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. Courthouse 515 Rusk, Suite 12015 Houston, Texas 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Board of Trustees, Open Door Mission
2.	Member	Advisory Committee, Compact for America
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

DeMoss, Jr., Harold R.

Date of Report

05/15/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

DeMoss, Jr., Harold R.

Date of Report

05/15/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

DeMoss, Jr., Harold R.

Date of Report

05/15/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Raymond James Trustee for IRA -See assets 2-27									
2. Duke Energy	B	Dividend	K	T	Buy	06/20/13	K		
3. Abbvie, Inc	A	Dividend	K	T	Buy	03/15/13	K		
4.					Sold	06/20/13	K	A	
5. DWS Strategic Gov Sec Fund	B	Dividend	K	T					
6. Enterprise Products	A	Dividend	K	T					
7. COHEN & STEERS	C	Dividend	K	T	Sold (part)	03/18/13	L		
8. NATIONAL RETAIL PROPERTIES	C	Dividend			Sold	03/18/13	K	B	
9. Kraft Foods, Inc.	A	Dividend			Sold	03/15/13	K	D	
10. Verizon Communications, Inc.	B	Dividend	K	T					
11. Kinder Morgan Energy Partners UT LTD Partner	B	Dividend	K	T					
12. Bank of Montreal	B	Dividend	K	T					
13. Century Link	B	Dividend	K	T	Buy (add'l)	06/20/13	J		
14. Procter & Gamble	A	Dividend	K	T					
15. H.J. Heinz	A	Dividend	K	T					
16. Intel Corporation	A	Dividend	K	T					
17. Linn Energy	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

DeMoss, Jr., Harold R.

Date of Report

05/15/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Nucor	A	Dividend			Sold	07/11/13	K	B	
19. R.J. Bank Deposit	A	Distribution	J	T					
20. Northern Trust Co		None	J	T					
21. Well Fargo Bank	A	Interest	K	T					
22. Sowell, Ltd		None	J	T					
23. Sunoco, Inc.	A	Royalty	J	T					
24. Devon Energy Operating Co.	A	Royalty	J	T					
25. EnerVest Operating, LLC	B	Royalty	J	T					
26. Prudential Ins. of America	A	Interest	J	T					
27. BBX Operating, LLC	C	Royalty	J	T	Open	07/02/13	J		
28. Raymond James Trustee for Broker Acct 1 - See assets 29-42									
29. Cummings, Inc.	A	Dividend	K	T					
30. Gwth Fd of America	A	Dividend	L	T					
31. Kraft	A	Dividend	J	T					
32. Mondelez	A	Dividend	J	T	Spinoff (from line 31)				
33. Emerrson EL	A	Dividend	K	T					
34. Chevron	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

DeMoss, Jr., Harold R.

Date of Report

05/15/2014

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Cullen Frost	A	Dividend	K	T					
36. Intel Corporation	A	Dividend	K	T					
37. Kinder Morgan	A	Dividend	K	T					
38. United Parcel	A	Dividend	K	T					
39. ETFS Pyhsical Swiss Gold SHS		None	K	T	Sold	12/31/13	J		
40. National Oil Well	A	Dividend	K	T					
41. United Technology	A	Dividend	K	T					
42. BHP Billiton	A	Dividend	K	T					
43. R.J. Bank Deposit	A	Distribution	K	T					
44. Raymond James Trustee for Broker Acct II - See assets 44-56									
45. AT&T	A	Dividend	J	T					
46. NEXTERA ENERGY	A	Dividend	J	T					
47. CenturyLink	A	Dividend	J	T					
48. Nestle SA ADRs	A	Distribution	J	T	Buy	03/01/13	K		
49. Weingarten Rlty Invs Sh Ben Int Reit	A	Dividend	J	T					
50. Enterprise Prod	A	Dividend	J	T					
51. H.J.Heinz	A	Dividend			Sold	03/01/13	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

DeMoss, Jr., Harold R.

Date of Report

05/15/2014

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. McDonalds Corp	A	Dividend	J	T					
53. Verizon	A	Dividend	J	T					
54. R.J. Bank Acct	A	Distribution	J	T					
55. Raymond James IRA Account II-See assets 55-56									
56. Enterprise Products	A	Dividend	J	T					
57. R.J. Bank Deposit	A	Distribution	J	T					
58. Raymond James Trustee for Broker Acct III-See Assets 58-63									
59. Kentucky Asset/Liability Commn Agy Fund	B	Interest			Redeemed	09/01/13	K	A	
60. Nueces River Auth TX Water Supply	B	Interest	K	T					
61. Dahlenega Georgia Downtown Dev. Authority	A	Interest	K	T					
62. El Paso CountyCP CTF PARTN	A	Interest	K	T					
63. Johnson County KS PUB BLDC	A	Interest	K	T	Buy	08/28/13	K		
64. R.J. Bank Deposit	A	Distribution	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting	Date of Report
DeMoss, Jr., Harold R.	05/15/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. The organization indicated in Part I, line 1 is a non-profit charitable organization and Judge DeMoss is not an "income beneficiary" of this organization. The organization indicated on line 2 is a non-profit educational organization and Judge DeMoss is not an "income beneficiary" of the organization.

Part VII. The asset shown on line 27, BBX Operating, LLC, began paying royalty in July, 2013 on mineral rights owned in Polk, County, Texas. The asset shown on line 32, Mondelez, is a spinoff of Kraft, line 31, an asset that was partially sold on October 2, 2012 as reported on line 39 of Judge DeMoss's 2012 FDR.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

DeMoss, Jr., Harold R.

Date of Report

05/15/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Harold R. DeMoss, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544