

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Davis, William E.	2. Court or Organization U.S. Court of Appeals, 5th Cir	3. Date of Report 07/20/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 800 Lafayette St. Suite 5100 Lafayette, LA 70501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|------------|--|
| 1. Partner | Partnership composed of Michael R. Morton and me on property listed under Item VII. Partnership exists solely to own and rent real estate. |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Davis, William E.

Date of Report

07/20/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 12/31/16

Tanner Companies, Inc. (self-employed retail sales-woman's clothing (S))

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Davis, William E.

Date of Report

07/20/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Whitney National Bank, successor to First National Bank, Lafayette, LA 70509	An in rem mortgage (no personal liability) is in effect on office building and warehouse	M
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Davis, William E.

Date of Report

07/20/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking acct. Whitney Bank Lafayette, LA		None	J	T					
2. 1/2 Interest-New Iberia,LA,office building & warehouse	D	Rent	M	W					
3. Common stock, Land Coast Insulation, Inc.		None	L	W					
4. Vanguard Shrot Term Tax Exe (1/2 interest)	A	Interest	K	T	Buy (add'l)	08/18/16	J		
5.					Buy (add'l)	09/19/16	J		
6.					Buy (add'l)	10/31/16	J		
7.					Sold (part)	02/18/16	J	A	
8.					Sold (part)	01/26/16	J	A	
9.					Sold (part)	02/18/16	J	A	
10.					Sold (part)	02/04/16	J	A	
11. Short Term Tax Exempt Inv (VWSTX)	A	Dividend	K	T	Buy (add'l)	04/01/16	J		
12.					Sold (part)	02/16/16	J	A	
13.					Sold (part)	07/12/16	J	A	
14.					Sold (part)	12/31/16	J	A	
15. Regions Bank CD	A	Dividend	J	T					
16. Edge Petroleum	A	Royalty	J	T					
17. Home Bank Stock	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Davis, William E.

Date of Report

07/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Western Asset (C)		None			Sold	01/08/16	J	A	
19. CS #1818									
20. Charles Schwab Money Market		None	J	T					
21. Virtus Foreign Opp (JVIAX)		None	K	T					
22. Vanguard Intl Explorer Fd (VINEX)		None	K	T					
23. Fedeility Latin America FD (FLATX)		None	J	T					
24. Matthews Pacific Tiger (MAPTX)		None	J	T					
25. Matthews Asia Small (MSMLX)	D	Distribution	J	T					
26. T Rowe Price New Asia Fd (PRASX)		None	J	T					
27. Oakmark International I (OAKIX)		None	K	T					
28. CS #0594 (H)									
29. Charles Schwab Money Market		None			Merged (with line 40)	01/08/16	J		
30. CS #0844 (H)									
31. Charles Schwab Money Market	A	Dividend	K	T					
32. Vanguard Short Term (VFSUX)	B	Dividend	L	T					
33. Louisiana Loc Govt (546280DL9)	A	Interest	K	T					
34. Vanguard Div Growth Fd (VDIGX)	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Davis, William E.

Date of Report

07/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Vanguard Total Stk Mkt (VTSAX)	B	Dividend	M	T					
36. Target Retirement 2010 (VTENX)	D	Dividend	M	T					
37. CS #5184 (H)									
38. Monlag & Caldwell Growth (MCGFX)	A	Distribution	J	T					
39. CS #7502 (H)									
40. Charles Schwab Money Market	A	Dividend	L	T					
41. Bed Bath & Beyond (BBBY)		None			Sold	01/08/16	J	A	
42. Broad Based Cnsm Cr II		None	M	T					
43. Cisco Systems Inc. (CSCO)	A	Dividend			Sold	01/08/16	J	A	
44. CVS Corp (CVS)		None			Sold	01/08/16	J	C	
45. Honda Motor Co (HMC)	A	Dividend			Sold	01/08/16	J	A	
46. Ishares Russell (IWD)	A	Dividend	K	T					
47. Johnson & Johnson (JNJ)		None			Sold	01/08/16	J	A	
48. Longlwf Small Cap (LLSCX)	E	Dividend	L	T					
49. Medtronic Inc. (MDT)	A	Dividend			Sold	01/08/16	J	A	
50. Microsoft Corp (MSFT)		None			Sold	01/08/16	K	D	
51. Oakmark International 1 (OAKIX)	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Davis, William E.

Date of Report

07/20/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. PNC Finl Services Gp Inc (PNC)		None			Sold	01/08/16	J	B	
53. Principal Midcap Inst (PCBIX)	B	Dividend	L	T					
54. Qualcomm Inc.		None			Sold	01/08/16	J	A	
55. Sun Trust Banks (STI)		None			Sold	01/08/16	J	A	
56. Spdr S&P 500 Etf (SPY)	C	Dividend	M	T					
57. Target Corp (TGT)		None			Sold	01/08/16	K	B	
58. Thornburg Developing World (THDIX)	A	Dividend			Sold	12/23/16	J	A	
59. Tortoise Mlp & Pipeline (TORTX)	A	Dividend	K	T					
60. US Bancorp (USB)	A	Dividend			Sold	01/08/16	J	B	
61. Vanguard Dividend Appreciation Eft	B	Dividend	M	T					
62. Vanguard Small-Cap Etf		None			Sold	01/08/16	L	A	
63. Wells Fargo & Company (WFC)		None			Sold	01/08/16	J	B	
64. Wal-Mart Stores Inc (WMT)	A	Dividend			Sold	01/08/16	K	A	
65. Schwab Emerging Market Equity ETF (SCHE)		None	J	T	Buy	04/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Davis, William E.

Date of Report

07/20/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Davis, William E.

Date of Report

07/20/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William E. Davis

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544