

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Tomlinson, A. Kathleen	2. Court or Organization Eastern District of New York	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Alphonse D'Amato US Courthouse 914 Federal Plaza Central Islip, NY 11722		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Tomlinson, A. Kathleen

Date of Report

05/13/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Tomlinson, A. Kathleen

Date of Report

05/13/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express FSB Mortgage	Shared mortgage on [REDACTED] home, Livingston, NJ	L
2.	Citizens First Bank	Mortgage on Rental Property #1 , The Villages, Florida (Pt. VII, line 23)	L
3.	Citizens First Bank	Mortgage on Rental Property #2 , The Villages, Florida (Pt. VII, line 24)	M
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Tomlinson, A. Kathleen

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank Accounts	A	Interest	L	T					
2. IBM Stock	A	Dividend	K	T					
3. Hartford Select Dimensions Variable Annuity	B	Dividend	L	T					
4. Prudential Financial	A	Dividend	J	T					
5. TIAA/CREF Account	A	Dividend	J	T					
6. Allianz Vision New York Qualified IRA	D	Dividend	N	T					
7. Farrell Fritz/ABA Retirement Invest Only:									
8. ---Small-Mid Cap Equity Fund/State Street B & T Russell 2000	A	Dividend	L	T					
9. --- Aggressive Risk Fund	A	Dividend	K	T					
10. ---Small-Cap Index Eq Fund/Russell 2000 Index	A	Dividend	K	T					
11. --- International All Cap Equity/MSCI All Country World	A	Dividend			Closed	5/8/12			trans 60/40 to funds below
12. --Large-Cap Equity Fund	A	Dividend	K	T	Open	5/8/12	K		from line 11 split trans*
13. ----Intnatonal Index Equity Fund	A	Dividend	K	T	Open	5/8/12	K		from line 11 split trans*
14. Farrell Fritz/ABA Retirement Profit Sharing:									
15. --- International AllCap Equity/ MSCI All Country World	A	Dividend			Closed	5/8/12			trans 100% to fund below
16. --Large-Cap Equity Fund	A	Dividend	K	T	Open	5/8/12	K		transfer from line 15 *
17. ---Bond Fund/ Barclays Capital U.S. Aggregate Bond Index	B	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Tomlinson, A. Kathleen

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. ---Moderate Risk Fund	A	Dividend			Closed	5/10/12			trans 100% to fund below*
19. --Mid-Cap Index Equity Fund	A	Dividend	K	T	Open	5/10/12	K		transfer from line 18 *
20. ---Small Cap Index Equity Fund/Russell 2000	A	Dividend	L	T					
21. ---Small-Mid Cap Equity Fund/State Street B & T Russell 2000	A	Dividend	L	T					
22. ---International Index Equity/State Street Bank MSCI	A	Dividend	K	T					
23. Rental Prop #1 The Villages, FL (8/05 \$145,000)	D	Rent	M	R					
24. Rental Prop #2: The Villages, FL (7/08 \$290,000)	D	Rent	N	R					
25. First Global Capital Corp. Money Market Tax-Exempt Fund Cap	A	Dividend	J	T					
26. American Amcap Fund Class F	A	Dividend	L	T					
27. Davis NY Venture A	A	Dividend	L	T					
28. T Rowe Price Equity Income	A	Dividend	K	T					
29. T Rowe Price NY Tax Free Bond	A	Interest	M	T					
30. ishares Tr S & P World Ex-US PPTY Index Fd 135	A	Dividend	J	T					
31. ishares TR MSCI Emerging Mkets Index Fd	A	Dividend	K	T					
32. Barclays Bk Plc IPATH Index Lkd Secs to GSCI	A	Dividend	J	T					
33. Royce Opportunity Fund Invest Class	B	Dividend	L	T					
34. UMB Scout International	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Tomlinson, A. Kathleen

Date of Report

05/13/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*lines 11, 15 and 18 reflect the transfer of monies in several funds which are part of the aggregate funds I have through my former law firm with the ABA Retirement funds. The funds listed on lines 11, 15 and 18 were transferred to other funds set forth below each entry because the new funds were producing a better reate of return. There is no code provided for a "transfer" of funds, so I utilized the "closed" and "opened" designations.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Tomlinson, A. Kathleen

Date of Report

05/13/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **A. Kathleen Tomlinson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544