

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gordon, Leo M.	2. Court or Organization U.S. Court of International Trade	3. Date of Report 08/8/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of International Trade - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Court of International Trade One Federal Plaza New York, NY 10278		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member		Dean's Advisory Board - Emory University School of Law - Atlanta, GA
2. Trustee		Hebrew Free Loan of New Jersey - Florham Park, NJ
3. Director		Federal Judges Association
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	New York Law School	\$4,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	
2. 2015	NJ Division of Pension & Benefits - Pension
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Georgetown University School of Law	2/26/15-2/27/15	Washington, DC	Georgetown International Trade Update Advisory Board Meeting	Transportation, lodging, and meals
2. Federal Judges Association	4/13/15-4/15/15	Washington, DC	FJA Quadrennial Board Meeting	Transportation, lodging, and meals
3. Georgetown University School of Law	6/22/15	Washington, DC	Georgetown International Trade Update Advisory Board Meeting	Transportation
4. Federal Circuit Bar Association	11/20/15-11/21/15	Washington, DC	Federal Circuit Bar Association Dinner	Transportation, lodging, and meals
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Navient (formerly Sallie Mae Servicing)	Student Loans	K
2.	Chase Card Services	Credit Card	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Valley Nat'l Savings Bank - Savings Account (Y)									
2. Bank of America - Savings Acct. (Y)									
3. Voya (formerly ING) Security Life - Whole Life Insurance Policy	A	Interest	J	T					
4. Stonebridge Life Ins. Co. - Whole Life Ins. Policy	A	Interest	J	T					
5. U.S. Treasury HH Bonds		None	J	T					
6. BROKERAGE ACCOUNT #1 (H)									
7. Verizon Communications - Common Stock (VZ)	A	Dividend	J	T					
8. American Funds - Wash. Mutual Investors Fund Class A Mut. Fd. (AWSHX)	A	Dividend	K	T					
9. Franklin Templeton - High Inc. Tr. Fund Class A - Mut. Fd. (FHAIX)	A	Dividend	J	T					
10. Fed. Home Loan Mtg. Corp. - Bonds (CUSIP 31393LFT5)	A	Interest			Sold	10/14/15	J		
11. BROKERAGE ACCOUNT #2 (H)									
12. ML Bank Deposit Program - Wells Fargo Bank, N.A.	A	Interest			Closed	06/01/15	J		
13. UBS Bank USA Deposit Account	A	Interest	J	T	Open	06/01/15	J		
14. Apple - Common Stock (AAPL)	B	Dividend	L	T					
15. Visa Inc. - Common Stock (V)	A	Dividend	J	T					
16. Disney - Common Stock (DIS)	A	Dividend	K	T					
17. Franklin Templeton - Temp Class A - World Fund - Mutual Fund (TEMWX)	B	Dividend	K	T	Sold (part)	10/22/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Fed. Home Loan Mtg. Corp. - Bonds (CUSIP 31393LFT5)	A	Interest			Sold	10/19/15	J		
19. Invesco VanKampen Amer. Cap. High Yield Mun. F. - Mutual Fund (ACTHX)	B	Dividend			Sold	09/16/15	K		
20. IRA #1 (H)									
21. Calamos Investment Trust New Growth Fund - Mutual Fund (CGRIX)	B	Dividend	J	T					
22. Calamos Investment Trust New Convertible Fund - Mutual Fund (CICVX)	A	Dividend	J	T					
23. Kinectics Mutual Fund Inc -Paradigm Fund - Mutual Fund (KNPYX)		None	J	T					
24. Wells Fargo Advantage Emerging Markets- Equity Fund Mut Fund (EMGNX)	A	Dividend	J	T					
25. WHV Int'l Equity Fund - Class 1- Mutual Fund (WHVIX)	A	Dividend	J	T					
26. Pimco Real Return-Bond (PRRIX)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other		W = Estimated	
	U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III A.: January-May, 2015
Part VII, lines 10 & 18: Previously reported in a single entry (see 2014, line 15).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leo M. Gordon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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