

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kocoras, Charles P.	2. Court or Organization U.S. District Court, Northern District of Illinois	3. Date of Report 08/29/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address 219 South Dearborn Street Chambers 2560 Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Visitors	The John Marshall Law School
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/29/2019

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2018	Law Bulletin Publishing Co. Book Royalties	\$557.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2018	Fireside Realty - Real Estate Sales
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/29/2019

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/29/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Checking		None	K	T					
2. Bank of America - Savings	A	Interest	L	T					
3. MB Bank		None	J	T					
4. Merrill Lynch account:									
5. Merrill Lynch Bank Money Market Account	F	Interest	L	T	Open	11/30/18	L		
6. Abbvie Inc SHS	A	Dividend	J	T					
7. Abbvie Inc SHS	A	Dividend			Buy	03/09/18	J		
8.					Sold	10/25/18	J		
9. Air Products & Chemicals	A	Dividend	J	T	Buy	03/09/18	J		
10. Astrazeneca AZN	A	Dividend			Sold	10/25/18	J	A	
11. AT&T	A	Dividend	J	T	Sold (part)	03/09/18	J		
12. American Electric Power Co. AEP	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
13. American Electric Power Co. AEP	A	Dividend	J	T	Sold (part)	06/07/18	J	A	
14. American Electric Power Co. AEP	A	Dividend	J	T	Sold (part)	10/25/18	J	A	
15. AMGEN Inc COM	A	Dividend	J	T	Buy	01/22/18	J		
16. BB&T Corp	A	Dividend	J	T	Buy	06/07/18	J		
17. BIF Money Fund	F	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/29/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Boeing Co.	A	Dividend	J	T					
19. Boeing Co.	B	Dividend			Buy	01/22/18	J		
20.					Sold	10/25/18	J	A	
21. Carnival Corp Paired SHS SAA CCL	A	Dividend	J	T					
22. Chevron Corp	A	Dividend	J	T	Buy	10/25/18	J		
23. CME Group Inc	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
24. CME Group Inc	A	Dividend	J	T	Sold (part)	03/09/18	J	A	
25. CME Group Inc	A	Dividend	J	T	Sold (part)	06/07/18	J	A	
26. CME Group Inc	A	Dividend	J	T	Sold (part)	10/25/18	J	A	
27. Cisco Systems Inc COM	A	Dividend	J	T	Buy	01/22/18	J		
28. Coca Cola Co. COM	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
29. Coca Cola Co. COM	A	Dividend	J	T	Sold (part)	10/25/18	J	A	
30. Coca Cola Co. KO	A	Dividend	J	T					
31. Crown Castle Reit Inc	A	Dividend			Buy	03/09/18	J		
32.					Sold	10/25/18	J		
33. Dominion Energy Inc	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
34. Dominion Energy Inc	B	Dividend	J	T	Sold (part)	03/09/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Dominion Energy Inc	B	Dividend	J	T	Sold (part)	03/19/18	J		
36. Dominion Energy Inc	B	Dividend	J	T	Sold (part)	03/19/18	J		
37. Digital Rlty Tr Inc	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
38. Digital Rlty Tr Inc	A	Dividend	J	T	Sold (part)	06/07/18	J	A	
39. Digital Rlty Tr Inc	A	Dividend	J	T	Sold (part)	10/25/18	J	A	
40. Duke Energy Corp NEW	A	Dividend	J	T	Buy	10/25/18	J		
41. Exxon Mobil Corp COM	A	Dividend	J	T	Buy	06/07/18	J		
42. Exelon Corp	A	Dividend	J	T					
43. Exelon Corp.	A	Dividend			Buy	06/07/18	J		
44.					Sold	10/25/18	J	A	
45. Emerson Elec. Co.	A	Dividend	J	T	Sold (part)	06/07/18	J	A	
46. The Goldman Sachs Group	D	Dividend	J	T	Buy	10/25/18	J		
47. General Motors Co.	B	Dividend	J	T	Sold (part)	10/25/18	J		
48. General Motors Co.	B	Dividend	J	T	Sold (part)	01/22/18	J		
49. General Motors Co.	B	Dividend	J	T	Sold (part)	06/07/18	J		
50. HSBC Hldg PLC SP ADR	B	Dividend			Sold	10/25/18	J		
51. Illinois Tool Works Inc.	B	Dividend			Buy	03/09/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52.			J	T	Sold (part)	10/25/18	J		
53. Illinois Tool Works Inc.	A	Dividend			Buy	06/07/18	J		
54.			J	T	Sold (part)	10/25/18	J		
55. Intel Corp	B	Dividend	J	T	Sold (part)	10/25/18	J		
56. Intel Corp	B	Dividend	J	T	Sold (part)	01/22/18	J	A	
57. Intel Corp	B	Dividend	J	T	Sold (part)	03/09/18	J	A	
58. Intel Corp	A	Dividend	J	T	Sold (part)	03/09/18	J	A	
59. Intel Corp	A	Dividend	J	T	Sold (part)	06/07/18	J	A	
60. Intel Corp	B	Dividend	J	T	Sold (part)	10/25/18	J	A	
61. International Business Machines Corp IBM	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
62. International Business Machines Corp IBM	B	Dividend	J	T	Sold (part)	10/25/18	J		
63. International Business Machines Corp IBM	A	Dividend	J	T	Sold (part)	10/25/18	J		
64. International Business Machines Corp IBM	A	Dividend			Buy	03/09/18	J		
65.					Sold	10/25/18	J		
66. International Business Machines Corp IBM	A	Dividend			Buy	06/07/18	J		
67.					Sold	10/25/18	J		
68. JP Morgan Chase & Co.	A	Dividend	J	T	Sold (part)	01/22/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. JP Morgan Chase & Co.	A	Dividend	J	T	Sold (part)	03/09/18	J	A	
70. Johnson and Johnson COM	A	Dividend	J	T	Buy	10/25/18	J		
71. Kraft (THE) Heinz Co. SHS	B	Dividend	J	T	Sold (part)	06/07/18	J		
72. Kraft (THE) Heinz Co. SHS	A	Dividend	J	T	Sold (part)	06/07/18	J		
73. Kraft (THE) Heinz Co. SHS	A	Dividend			Buy	01/22/18	J		
74.					Sold	06/07/18	J		
75. Kraft (THE) Heinz Co. SHS	A	Dividend			Buy	03/09/18	J		
76.					Sold	06/07/18	J		
77. Kimberly Clark	B	Dividend	J	T	Sold (part)	01/22/18	J	A	
78. Kimberly Clark	B	Dividend	J	T	Sold (part)	03/09/18	J		
79. Kimberly Clark	A	Dividend	J	T	Sold (part)	06/07/18	J		
80. Kimberly Clark	A	Dividend	J	T	Sold (part)	06/07/18	J		
81. Lockheed Martin Corp	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
82. Lockheed Martin Corp	A	Dividend	J	T	Sold (part)	03/09/18	J	A	
83. Lockheed Martin Corp	B	Dividend	J	T	Sold (part)	10/25/18	J	A	
84. Metlife Inc COM	B	Dividend	J	T	Sold (part)	01/22/18	J	A	
85. Metlife Inc COM	B	Dividend	J	T	Sold (part)	03/09/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. Metlife Inc COM	A	Dividend	J	T	Sold (part)	03/09/18	J	A	
87. Metlife Inc COM	A	Dividend	J	T	Sold (part)	03/09/18	J	A	
88. McDonalds Corp COM	B	Dividend	J	T	Sold (part)	01/22/18	J	A	
89. McDonalds Corp COM	A	Dividend	J	T	Sold (part)	03/09/18	J	A	
90. McDonalds Corp COM	A	Dividend	J	T	Sold (part)	06/07/18	J	A	
91. McDonalds Corp COM	A	Dividend	J	T	Sold (part)	10/25/18	J	A	
92. Merck and Co. Inc SHS	A	Dividend			Buy	01/22/18	J		
93.					Sold	06/07/18	J	A	
94. Occidental Pete Corp CAL	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
95. Occidental Pete Corp CAL	B	Dividend	J	T	Sold (part)	03/09/18	J		
96. Occidental Pete Corp CAL	B	Dividend	J	T	Sold (part)	03/09/18	J		
97. Paccar Inc	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
98. Paccar Inc	B	Dividend	J	T	Sold (part)	03/09/18	J	A	
99. Paccar Inc	B	Dividend	J	T	Sold (part)	03/09/18	J	A	
100. PPL Corp	A	Dividend	J	T					
101. Philip Morris International Inc	B	Dividend	J	T	Sold (part)	01/22/18	J	A	
102. Philip Morris International Inc	A	Dividend	J	T	Sold (part)	03/09/18	J	A	

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000	R ~Cost (Real Estate Only)	P4 ~More than \$50,000,000	T ~Cash Market	
(See Column C2)	Q ~Appraisal	V ~Other	S ~Assessment		
	U ~Book Value		W ~Estimated		

FINANCIAL DISCLOSURE REPORT

Page 10 of 14

Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Philip Morris International Inc	B	Dividend	J	T	Sold (part)	06/07/18	J		
104. Philip Morris International Inc	A	Dividend	J	T	Sold (part)	06/07/18	J		
105. Philip Morris International Inc	A	Dividend	J	T	Sold (part)	06/07/18	J		
106. Philip Morris International Inc	A	Dividend	J	T	Sold (part)	06/07/18	J		
107. Philip Morris International Inc	B	Dividend	J	T	Sold (part)	06/07/18	J		
108. Pfizer Inc	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
109. Pfizer Inc	A	Dividend	J	T	Sold (part)	03/09/18	J	A	
110. Pfizer Inc	A	Dividend	J	T	Sold (part)	06/07/18	J	A	
111. Pfizer Inc	A	Dividend	J	T	Sold (part)	10/25/18	J	A	
112. Procter & Gamble Co.	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
113. Procter & Gamble Co.	B	Dividend	J	T	Sold (part)	06/07/18	J		
114. Procter & Gamble Co.	A	Dividend	J	T	Sold (part)	06/07/18	J		
115. Procter & Gamble Co.	A	Dividend			Buy	03/09/18	J		
116.					Sold	06/07/18	J		
117. Royal Dutch Shell PLC Spons ADR B	A	Dividend			Sold	10/25/18	J	A	
118. Simon Property Group DEL REIT	A	Dividend	J	T	Buy	06/07/18	J		
119. Spectra Energy Corp SEP	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Sysco Corp	A	Dividend	J	T	Buy	06/07/18	J		
121. Target Corp COM	A	Dividend			Buy	01/22/18	J		
122. Target Corp COM	A	Dividend	J	T	Sold (part)	06/07/18	J	A	
123. Texas Instruments	A	Dividend	J	T	Sold (part)	06/07/18	J	A	
124. Texas Instruments	B	Dividend	J	T	Sold (part)	10/25/18	J		
125. Texas Instruments	A	Dividend	J	T	Sold (part)	10/25/18	J		
126. Texas Instruments	A	Dividend	J	T	Sold (part)	10/25/18	J		
127. Toronto Dominion Bank	A	Dividend	J	T	Sold (part)	01/22/18	J	A	
128. Toronto Dominion Bank	A	Dividend	J	T	Sold (part)	03/09/18	J	A	
129. Toronto Dominion Bank	A	Dividend	J	T	Sold (part)	06/07/18	J	A	
130. Transcanada Corp	B	Dividend	J	T	Sold (part)	06/07/18	J		
131. Transcanada Corp	A	Dividend			Buy	01/22/18	J		
132.					Sold	06/07/18	J		
133. Transcanada Corp	A	Dividend			Buy	03/09/18	J		
134.					Sold	06/07/18	J		
135. United Techs Corp COM	B	Dividend	J	T	Sold (part)	10/25/18	J	A	
136. Ventas Inc REIT	A	Dividend	J	T	Sold (part)	01/22/18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/29/2019

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Ventas Inc. REIT	B	Dividend	J	T	Sold (part)	03/09/18	J		
138. Ventas Inc REIT	A	Dividend	J	T	Sold (part)	03/09/18	J		
139. Verizon Communications COM	A	Dividend	J	T	Sold (part)	06/07/18	J		
140. Verizon Communications COM	A	Dividend	J	T	Sold (part)	10/25/18	J	A	
141. Vodafone Group PLC SHS	B	Dividend			Sold	10/25/18	J		
142. Wells Fargo Co.	B	Dividend	J	T					
143. Wells Fargo & Co. NEW DEL	A	Dividend	J	T	Buy	10/25/18	J		
144.									
145.									
146.									
147.									
148.									
149.									
150.									
151.									
152.									
153.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/29/2019

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII. INVESTMENTS and TRUSTS

A	B(1)	B(2)	C(1)	C(2)	D(1)	D(2)	D(3)
Line 17: BIF Money Fund	G	Dividend	M	T	Open	11/11/16	M
Line 45: Emerson Elec. Co.	B	Dividend	J	T	Buy	6/8/17	J
Line 46: The Goldman Sachs Group	E	Dividend	K	T	Buy	2/7/17	K
Line 135: United Techs Corp COM	B	Dividend	J	T	Buy	10/30/17	J
Line 141: Vodafone Group PLC SHS	B	Dividend	J	T	Buy	11/9/17	J
Line 142: Wells Fargo & Co.	E	Dividend	K	T	Buy	2/7/17	K

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Kocoras, Charles P.

Date of Report

08/29/2019

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles P. Kocoras**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544