

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ryu, Donna M.	2. Court or Organization United States District Court; Northern District of California	3. Date of Report 10/06/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States District Court 1301 Clay Street Oakland, CA 94612		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Revocable Trust Agreement re family member's estate
2. Lecturer	University of California, Berkeley Law School
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. July 2002	University of California Retirement Plan (pension on retirement) RETIRED effective July 2016
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. University of California CAP (H)									
2. -Fixed Account (no selection of funds available)	A	Interest	J	T					
3.									
4. TIAA- CREF 529 College Savings Plan (H)									
5. -Age Based Portfolio - 18 & Over Index	A	Int./Div.	J	T					
6.									
7. Wells Fargo Bank Accounts	A	Int./Div.	J	T					
8.									
9. Provident Credit Union Accounts	A	Int./Div.	J	T					
10.									
11. ING Direct Accounts	A	Int./Div.	L	T					
12.									
13. Brokerage--Indiv. TOD (H)									
14. -Wilshire Large Company Growth Fund (DTLGX)	A	Dividend	J	T	Sold (part)	01/26/16	J		
15.					Sold (part)	05/11/16	J		
16.	A	Int./Div.	J	T	Buy (add'l)	08/17/16	J		
17.	A	Int./Div.	J	T	Buy (add'l)	12/20/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
19. -Doubleline Total Return Bond Fund (DLTNX)	A	Dividend			Buy (add'l)	01/05/16	J		
20.	A	Dividend			Buy (add'l)	02/02/16	J		
21.	A	Dividend			Buy (add'l)	03/02/16	J		
22.	A	Dividend			Buy (add'l)	04/04/16	J		
23.	A	Dividend			Buy (add'l)	05/03/16	J		
24.					Sold	05/11/16	J		
25. -Blackrock High Yield Bond Fund (BHYAX)	A	Dividend			Buy (add'l)	01/04/16	J		
26.	A	Dividend			Buy (add'l)	02/01/16	J		
27.	A	Dividend			Buy (add'l)	03/01/16	J		
28.	A	Dividend			Buy (add'l)	04/01/16	J		
29.	A	Dividend			Buy (add'l)	05/02/16	J		
30.					Sold	05/11/16	J		
31. -Wilshire Large Cap Core Fund (WLCTX)	A	Dividend	J	T	Sold (part)	05/11/16	J		
32.					Buy (add'l)	06/21/16	J		
33. -Guggenheim Total Return Bond Fund (GIBAX)	A	Dividend			Buy (add'l)	01/04/16	J		
34.	A	Dividend			Buy (add'l)	02/01/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.	A	Dividend			Buy (add'l)	03/01/16	J		
36.	A	Dividend			Buy (add'l)	04/01/16	J		
37.	A	Dividend			Buy (add'l)	05/02/16	J		
38. -Templeton Global Bond Fund (TPINX)	A	Dividend			Buy (add'l)	01/19/16	J		
39.	A	Dividend			Buy (add'l)	02/17/16	J		
40.	A	Dividend			Buy (add'l)	03/16/16	J		
41.	A	Dividend			Buy (add'l)	04/18/16	J		
42.					Sold	05/11/16	J		
43. -Wilshire Small Company Growth Fund (DTSGX)	A	Int./Div.	J	T	Buy (add'l)	08/17/16	J		
44.	A	Int./Div.	J	T	Buy (add'l)	12/20/16	J		
45. -Wilshire Small Company Value Fund (DTSVX)	A	Int./Div.	J	T	Buy (add'l)	08/17/16	J		
46.	A	Int./Div.	J	T	Buy (add'l)	12/20/16	J		
47.	A	Int./Div.	J	T	Buy (add'l)	12/30/16	J		
48. -Fidelity U.S. Bond Index Portfolio (FBIDX)	A	Int./Div.			Buy (add'l)	01/04/16	J		
49.	A	Int./Div.			Buy (add'l)	02/01/16	J		
50.	A	Int./Div.			Buy (add'l)	03/01/16	J		
51.	A	Int./Div.			Buy (add'l)	04/01/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.	A	Int./Div.			Buy (add'l)	05/02/16	J		
53.	A	Int./Div.			Buy (add'l)	06/01/16	J		
54.					Sold	06/20/16	J	A	
55. -Wilshire Large Company Value Fund (DTLVX)	A	Int./Div.	J	T	Buy (add'l)	08/17/16	J		
56.	A	Int./Div.	J	T	Buy (add'l)	12/20/16	J		
57.	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
58. -Boston Partners Long/Short Research Fund (BPRRX)		None			Buy	02/22/16	J		
59.					Sold	05/11/16	J	A	
60. -Wilshire Income Fund (WIORX)	A	Dividend	J	T	Buy	05/11/16	J		
61. -Fidelity Spartan 500 Index Fund (FUSVX)		None	J	T	Buy	05/12/16	J		
62.	A	Dividend	J	T	Buy (add'l)	07/11/16	J		
63.	A	Dividend	J	T	Buy (add'l)	10/11/16	J		
64.	A	Int./Div.	J	T	Buy (add'l)	12/19/16	J		
65. -Fidelity Spartan International Index Fund (FSIVX)		None	J	T	Buy	05/12/16	J		
66.	A	Dividend	J	T	Buy (add'l)	12/19/16	J		
67. -Fidelity US Bond Index Fund (FSITX)		None	J	T	Buy	06/20/16	J		
68.	A	Dividend	J	T	Buy (add'l)	07/01/16	J		

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69.	A	Dividend	J	T	Buy (add'l)	08/01/16	J		
70.	A	Dividend	J	T	Buy (add'l)	09/01/16	J		
71.	A	Dividend	J	T	Buy (add'l)	10/03/16	J		
72.	A	Dividend	J	T	Buy (add'l)	11/01/16	J		
73.	A	Dividend	J	T	Buy (add'l)	12/01/16	J		
74.	A	Int./Div.	J	T	Buy (add'l)	12/05/16	J		
75.									
76. Brokerage--IRA Rollover (H)									
77. -VANGUARD MALVERN FDS STRM (VTIP)	A	Dividend	J	T	Sold (part)	12/01/16	J	A	
78. -SPDR GOLD TR GOLD SHS (GLD)		None	J	T	Buy (add'l)	12/13/16	J		
79. -PUTNAM ABSOLUTE RETURN 700 FUND CLASS Y (PDMYX)		None	J	T					
80. -POWERSHARES ETF FD TR II S&P 500 LOW (SPLV) VOLATILITY	A	Dividend			Buy (add'l)	01/08/16	J		
81.	A	Dividend			Sold (part)	03/03/16	J	A	
82.	A	Dividend			Sold (part)	03/14/16	J	A	
83.	A	Dividend			Buy (add'l)	06/28/16	J		
84.	A	Dividend			Sold (part)	08/11/16	J	A	
85.	A	Dividend			Buy (add'l)	09/12/16	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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86.	A	Dividend			Sold	12/06/16	J		
87. -PIONEER LONG/SHORT BOND FUND CLASS Y (LSGYX)		None			Sold	07/06/16	J		
88. -NEUBERGER BERMAN LONG SHORT FUND INSTITUTIONAL CLASS (NLSIX)		None	J	T	Buy (add'l)	06/24/16	J		
89. -MERGER FUND (MERFX)	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
90. -LORD ABBETT FLOATING RATE FUND CLASS F (LFRFX)	A	Dividend	J	T	Buy (add'l)	01/04/16	J		
91.	A	Dividend	J	T	Buy (add'l)	02/01/16	J		
92.	A	Dividend	J	T	Buy (add'l)	03/01/16	J		
93.	A	Dividend	J	T	Buy (add'l)	04/01/16	J		
94.	A	Dividend	J	T	Buy (add'l)	05/02/16	J		
95.	A	Dividend	J	T	Buy (add'l)	06/01/16	J		
96.	A	Dividend	J	T	Buy (add'l)	07/01/16	J		
97.	A	Dividend	J	T	Buy (add'l)	08/01/16	J		
98.	A	Dividend	J	T	Buy (add'l)	09/01/16	J		
99.	A	Dividend	J	T	Buy (add'l)	10/03/16	J		
100.	A	Dividend	J	T	Buy (add'l)	11/01/16	J		
101.	A	Dividend	J	T	Buy (add'l)	12/01/16	J		
102. -ISHARES TR MSCI USA VALUE FACTOR ETF (VLUE)	A	Dividend	K	T	Buy (add'l)	01/05/16	J		

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103.			K	T	Sold (part)	01/20/16	J		
104.			K	T	Buy (add'l)	02/19/16	J		
105.	A	Dividend	K	T	Buy (add'l)	03/30/16	J		
106.			K	T	Buy (add'l)	04/06/16	J		
107.	A	Dividend	K	T	Buy (add'l)	06/27/16	J		
108.	A	Dividend	K	T	Buy (add'l)	09/30/16	J		
109.	A	Dividend	K	T	Buy (add'l)	12/29/16	J		
110. -ISHARES TR MSCI USA QUALITY FACTOR ETF (QUAL)		None	K	T	Sold (part)	01/08/16	J		
111.			K	T	Buy (add'l)	03/03/16	J		
112.	A	Dividend	K	T	Buy (add'l)	03/30/16	J		
113.			K	T	Sold (part)	05/02/16	J	A	
114.	A	Dividend	K	T	Buy (add'l)	06/27/16	J		
115.			K	T	Buy (add'l)	08/11/16	J		
116.	A	Dividend	K	T	Buy (add'l)	09/30/16	J		
117.	A	Dividend	K	T	Buy (add'l)	12/29/16	J		
118. -ISHARES TR MSCI USA MINIMUM VOLATILITY ETF (USMV)		None			Buy (add'l)	01/08/16	J		
119.					Sold (part)	03/03/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.	A	Dividend			Buy (add'l)	03/30/16	J		
121.					Sold (part)	03/31/16	J		
122.					Buy (add'l)	04/05/16	J		
123.					Buy (add'l)	04/06/16	J		
124.					Sold (part)	05/11/16	J	A	
125.					Buy (add'l)	05/12/16	J		
126.					Sold (part)	06/13/16	J	A	
127.					Buy (add'l)	06/14/16	J		
128.	A	Dividend			Buy (add'l)	06/27/16	J		
129.					Sold	08/02/16	J	A	
130. -ISHARES INC MSCI ALLCOUNTRY WORLD MINIMUM VOLATILITY ETF (ACVV)	A	Dividend			Buy (add'l)	06/28/16	J		
131.					Sold (part)	08/02/16	J	B	
132.					Buy (add'l)	11/03/16	J		
133.					Sold	12/06/16	J		
134. -INDEX IQ ETF TR IQ MERGER ARBITRAGE ETF (MNA)	A	Dividend	J	T	Buy (add'l)	01/05/16	J		
135. -INDEXIQ ETF TR IQ HEDGE MACRO TRACKER ETF (MCRO)	A	Dividend			Buy (add'l)	01/05/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136.					Sold	12/13/16	J	A	
137. -AQR MANAGED FUTURES STRATEGY FUND CLASS I (AQMIX)		None			Sold	11/21/16	J		
138. -CAUSEWAY CAP MGMT TR GBL ABSRT INST (CGAIX)		None	J	T					
139. -CLS GLOBAL GROWTH FUND CLASS N (CLBLX)		None	K	T	Sold (part)	04/15/16	J		
140.	A	Int./Div.	K	T	Buy (add'l)	12/28/16	J		
141.	A	Dividend	K	T	Buy (add'l)	12/28/16	J		
142. -CLS FLEXIBLE INCOME FUND CLASS N (CLFLX)	A	Dividend	K	T	Buy (add'l)	02/29/16	J		
143.	A	Dividend	K	T	Buy (add'l)	03/31/16	J		
144.			K	T	Sold (part)	04/05/16	J		
145.	A	Dividend	K	T	Buy (add'l)	04/06/16	J		
146.	A	Dividend	K	T	Buy (add'l)	04/29/16	J		
147.	A	Dividend	K	T	Buy (add'l)	05/31/16	J		
148.	A	Dividend	K	T	Buy (add'l)	06/30/16	J		
149.	A	Dividend	K	T	Buy (add'l)	07/29/16	J		
150.	A	Dividend	K	T	Buy (add'l)	08/31/16	J		
151.	A	Dividend	K	T	Buy (add'l)	09/30/16	J		
152.	A	Dividend	K	T	Buy (add'l)	10/31/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153.	A	Dividend	K	T	Buy (add'l)	11/30/16	J		
154.	A	Dividend	K	T	Buy (add'l)	12/06/16	J		
155.	A	Dividend	K	T	Buy (add'l)	12/28/16	J		
156. -CLS GROWTH AND INCOME FUND CLASS N (CLERX)		None	L	T	Sold (part)	04/05/16	J		
157.			L	T	Buy (add'l)	04/06/16	J		
158.	A	Int./Div.	L	T	Buy (add'l)	12/28/16	J		
159.	A	Dividend	L	T	Buy (add'l)	12/28/16	J		
160. -CLS GLOBAL DIVERSIFIED EQUITY FUND CLASS N (CLSAX)		None	L	T	Buy (add'l)	04/06/16	J		
161.	A	Int./Div.	L	T	Buy (add'l)	12/28/16	J		
162.	A	Dividend	L	T	Buy (add'l)	12/28/16	J		
163. -SPDR SER TR BARCLAYS1-3 T BILL ETF (BIL)		None			Buy	01/11/16	K		
164.					Sold	02/23/16	K		
165. -ISHARES TR MSCI EAFEETF (EFA)		None	J	T	Buy	03/14/16	J		
166.	A	Dividend	J	T	Buy (add'l)	06/28/16	J		
167.	A	Dividend	J	T	Buy (add'l)	12/28/16	J		
168. -ISHARES TR S&P 500 VALUE ETF (IVE)		None			Sold	01/11/16	J		
169.					Buy	02/23/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170.	A	Dividend			Buy (add'l)	03/30/16	J		
171.					Buy (add'l)	04/06/16	J		
172.					Sold	05/02/16	J	B	
173. -ISHARES TR S&P 500 GROWTH ETF (IVW)		None			Sold (part)	01/11/16	J		
174.					Buy (add'l)	02/23/16	J		
175.	A	Dividend			Buy (add'l)	03/30/16	J		
176.					Buy (add'l)	04/06/16	J		
177.	A	Dividend			Buy (add'l)	06/27/16	J		
178.					Sold	07/20/16	K	B	
179. -COLUMBIA ADAPTIVE ALTS FUND (CLFUX)	A	Dividend	J	T	Buy (add'l)	12/22/16	J		
180. -CLS GLOBAL AGGRESSIVE EQUITY (CLACX)	A	Int./Div.	J	T	Buy (add'l)	12/28/16	J		
181.	A	Dividend	J	T	Buy (add'l)	12/28/16	J		
182. -VANGUARD ENERGY FUND (VGENX)		None			Sold	02/03/16	J		
183. -PIONEER LONG/SHORT BOND FUND CLASS Y (LSGYX)					Sold	07/06/16	J		
184. -DBX ETF TR XTRAK MSCI EAFE (DBEF)		None	J	T	Buy	08/02/16	J		
185.			J	T	Sold (part)	11/03/16	J	A	
186.			J	T	Buy	12/06/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187.	A	Dividend	J	T	Buy (add'l)	12/28/16	J		
188. -GLOBAL LONG/SHORT CREDIT - CLASS A (BGCAX)		None	J	T	Buy	07/06/16	J		
189. -ISHARES INC CORE MSCI MMKT (IEMG)		None	J	T	Buy	03/31/16	J		
190.			J	T	Sold (part)	04/06/16	J		
191.			J	T	Buy	05/11/16	J		
192.			J	T	Sold (part)	06/14/16	J		
193.			J	T	Buy	08/02/16	J		
194.	A	Dividend	J	T	Buy (add'l)	12/28/16	J		
195. -ISHARES TR MSCI ACWIETF (ACWI)		None	J	T	Buy	12/06/16	J		
196. -ISHARES TR TIPS BD ETF (TIP)		None	J	T	Buy	12/01/16	J		
197. -MAINGATE MLP FUND INSTITUTIONAL CLASS (IMLPX)		None	J	T	Buy	02/03/16	J		
198.	A	Dividend	J	T	Buy (add'l)	04/28/16	J		
199.			J	T	Sold (part)	06/07/16	J	A	
200.	A	Dividend	J	T	Buy (add'l)	07/28/16	J		
201.	A	Dividend	J	T	Buy (add'l)	10/28/16	J		
202. -RYDEX ETF TR GUGGENHEIM S&P 500 (RSP)		None	K	T	Buy	07/20/16	K		
203.			K	T	Buy (add'l)	08/11/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204.	A	Dividend	K	T	Buy (add'l)	09/30/16	J		
205.	A	Dividend	K	T	Buy (add'l)	12/30/16	J		
206. -RYDEX ETF TR GUGGENHEIM S&P 500 PURE VALUE ETF (RPV)		None	K	T	Buy	05/02/16	K		
207.			K	T	Sold (part)	05/12/16	J		
208.			K	T	Buy (add'l)	06/13/16	J		
209.			K	T	Sold (part)	06/28/16	J		
210.	A	Dividend	K	T	Buy (add'l)	06/30/16	J		
211.			K	T	Buy (add'l)	08/02/16	J		
212.	A	Dividend	K	T	Buy (add'l)	09/30/16	J		
213.	A	Dividend	K	T	Buy (add'l)	12/30/16	J		
214. -PROSHARES TR PROSHARES SHORT DOW 30 (DOG)		None			Buy	03/18/16	J		
215.					Sold	06/24/16	J		
216. -WISDOMTREE TR MANAGED FUTURES STRATEGY FD (WDTI)		None	J	T					
217. -JOHN HANCOCK ABSOLUTE RETURN CURRENCY FUND CLASS A (JCUAX)		None	J	T					
218. -PERSHING PRIME RESERVES	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
219.									
220. Brokerage--Roth IRA (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

221. -Vanguard Total International Stock Index Fund ETF (VXUS)	A	Dividend	J	T	Buy (add'l)	03/21/16	J		
222.	A	Dividend	J	T	Buy (add'l)	06/20/16	J		
223.	A	Dividend	J	T	Buy (add'l)	09/19/16	J		
224.	A	Dividend	J	T	Buy (add'l)	12/27/16	J		
225. -Vanguard Total Stock Market ETF (VTI)	A	Dividend	J	T	Buy (add'l)	03/21/16	J		
226.	A	Dividend	J	T	Buy (add'l)	06/20/16	J		
227.	A	Dividend	J	T	Buy (add'l)	09/19/16	J		
228.	A	Dividend	J	T	Buy (add'l)	12/27/16	J		
229. -Vanguard Total Bond Market ETF (BND)	A	Dividend	J	T	Buy (add'l)	02/05/16	J		
230.	A	Dividend	J	T	Buy (add'l)	03/07/16	J		
231.	A	Dividend	J	T	Buy (add'l)	04/07/16	J		
232.	A	Dividend	J	T	Buy (add'l)	05/06/16	J		
233.	A	Dividend	J	T	Buy (add'l)	06/07/16	J		
234.	A	Dividend	J	T	Buy (add'l)	07/08/16	J		
235.	A	Dividend	J	T	Buy (add'l)	08/05/16	J		
236.	A	Dividend	J	T	Buy (add'l)	09/08/16	J		
237.	A	Dividend	J	T	Buy (add'l)	10/07/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238.	A	Dividend	J	T	Buy (add'l)	11/07/16	J		
239.	A	Dividend	J	T	Buy (add'l)	12/07/16	J		
240.	A	Dividend	J	T	Buy (add'l)	12/29/16	J		
241.	A	Int./Div.	J	T	Buy (add'l)	12/30/16	J		
242.	-Vanguard Total International Bond Index ETF (BNDX)	Dividend	J	T	Buy (add'l)	02/05/16	J		
243.	A	Dividend	J	T	Buy (add'l)	03/07/16	J		
244.	A	Dividend	J	T	Buy (add'l)	04/07/16	J		
245.	A	Dividend	J	T	Buy (add'l)	05/06/16	J		
246.	A	Dividend	J	T	Buy (add'l)	06/07/16	J		
247.	A	Dividend	J	T	Buy (add'l)	07/08/16	J		
248.	A	Dividend	J	T	Buy (add'l)	08/05/16	J		
249.	A	Dividend	J	T	Buy (add'l)	09/08/16	J		
250.	A	Dividend	J	T	Buy (add'l)	10/07/16	J		
251.	A	Dividend	J	T	Buy (add'l)	11/07/16	J		
252.	A	Dividend	J	T	Buy (add'l)	12/07/16	J		
253.	A	Dividend	J	T	Buy (add'l)	12/29/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I, and Part VII.

Part I:

Line 1: Trust for the benefit of family member.

-I am not the owner or grantor of the trust, I am solely the co-trustee of a revocable trust created for the benefit of a family member.

-I am a co-trustee for the convenience of my family member, and I have not received any income through the trust or trust assets. In an abundance of caution, I had previously listed the trust property (my mother's house) in Section VII, but that have since been instructed not to list the house in Section VII, since it is not an investment, and I do not receive any income of any kind from the house or the trust.

PartVII:

Lines 3-37: The University of California Capital Accumulation Provision (CAP) account is a supplemental benefit plan held by the University of California. I have no input in selecting how these funds are invested. I retired from the UC system on July 1, 2016 and received a lump sum payout of the CAP funds.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Donna M. Ryu**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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