

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schmehl, Jeffrey L.	2. Court or Organization U. S. District Court, Eastern District of PA.	3. Date of Report 01/30/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address The Madison Building Room 401, 400 Washington Street Reading, PA 19601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Judge	Commonwealth of Pennsylvania
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1998	Berks County Employee Retirement Plan-Member presently receiving benefits.
2. 2013	State Employee Retirement System-Member presently receiving benefits.
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Schmehl, Jeffrey L.

Date of Report

01/30/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Berks County Employment Pension	\$2,498.00
2. 2013	State Employee Retirement System Pension	\$35,606.00
3. 2013	Administrative Office of Pennsylvania Courts, Salary	\$79,798.93
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	██████████, Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Schmehl, Jeffrey L.

Date of Report

01/30/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Target National Bank	Credit Card	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Schmehl, Jeffrey L.

Date of Report

01/30/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Affinity Bank Cash Accounts	A	Int./Div.	K	T					
2. New Millenium Investments(X)	A	Int./Div.	J	T					
3. - Apple, Inc	A	Int./Div.	J	T					
4. - Baidu, Inc	A	Int./Div.	J	T					
5. - Caterpillar, Inc	A	Int./Div.	J	T					
6. - Dyadic International, Inc	A	Int./Div.	J	T					
7. - Exxon Mobil Corp	A	Int./Div.	J	T					
8. - Genta, Inc.	A	Int./Div.	J	T					
9. - I Shares North American Resources , ETF	A	Int./Div.	J	T					
10. - JP Morgan Chase & Co.	A	Int./Div.	J	T					
11. - Penn National Gaming	A	Int./Div.	J	T					
12. - Powershares QQQ ETF	A	Int./Div.	J	T					
13. - Procter & Gamble Company	A	Int./Div.	J	T					
14. - Sector Financial Select- Sector SPDR EFT	A	Int./Div.	J	T					
15. - SPOR Gold Tows	A	Int./Div.	J	T					
16. - TEVA Pharmaceutical Inds Ltd	A	Int./Div.	J	T					
17. - Unilever PLC	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Schmehl, Jeffrey L.

Date of Report

01/30/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Vanguard FTSE Emerging Markets ETF	A	Int./Div.	J	T					
19. - Vanguard Reit Index ETF	A	Int./Div.	J	T					
20. - Verizon Communications	A	Int./Div.	J	T					
21. - Whole Food Market, Inc.	A	Int./Div.	J	T					
22. Federated Kaufmann Fund C	A	Int./Div.	K	T	Buy	08/14/13	K		
23. Federated Equity Income C	A	Int./Div.	K	T	Buy	08/14/13	K		
24. Federated Kaufmann Large Cap C	A	Int./Div.	K	T	Buy	08/14/13	K		
25. Federated Kaufmann Small Cap C	A	Int./Div.	J	T	Buy	08/14/13	J		
26. Federated Auto Cash Mgmt Trust SS	A	Int./Div.	K	T	Buy	08/14/13	N		
27. Franklin Mutual Global Discovery	A	Int./Div.	K	T	Buy	10/30/13	K		
28. Templeton Growth	A	Int./Div.	K	T	Buy	10/30/13	K		
29. Templeton World	A	Int./Div.	K	T	Buy	10/30/13	K		
30. Templeton Foreign	A	Int./Div.	K	T	Buy	10/30/13	K		
31. Franklin Income	A	Dividend	K	T	Buy	10/30/13	K		
32. Jackson Black Rock Gobal	A	Int./Div.	K	T	Buy	09/16/13	K		
33. Jackson Agressive Growth	A	Int./Div.	K	T	Buy	09/16/13	K		
34. Jackson Growth	A	Int./Div.	L	T	Buy	09/16/13	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Schmehl, Jeffrey L.

Date of Report

01/30/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. American Funds Growth Portfolio	A	Int./Div.	M	T	Buy	04/22/13	L		
36. Banco Santander S.A. Stock	A	Int./Div.			Sold	05/29/13	K		
37. Goldman,Sachs Growth Strategy Fund	A	Int./Div.			Sold	04/10/13	K		
38. Invesco Global Core Equity Fund	A	Int./Div.			Sold	04/10/13	J		
39. Invesco VanKampen American Value Fund	A	Int./Div.			Sold	04/10/13	K		
40. Morgan Stanley International Fund	A	Int./Div.			Sold	04/10/13	J		
41. Morgan Stanley Smith Barney Sprectrum Select LP	A	Int./Div.			Sold	05/15/13	J		
42. Morgan Stanely Smith Barney Sprectrum Technical LP	A	Int./Div.			Sold	05/15/13	J		
43.									
44.									
45.									
46.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Schmehl, Jeffrey L.

Date of Report

01/30/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. New Millenium Investors is a small investment club that I have only been part of for 3 years. Any individual transactions that were involved never met the reporting threshold based upon my percentage ownership value. Therefore, they are not listed on this report. The listings in #3 through #21 are stocks/funds/investments held by the club as of December 31, 2013 according to the last list provided and combined they represent holding #2. All individual funds and individual investments listed in the New Millenium investors are signified with a dash (-) in front of said funds/stocks/investments.
2. As to the Federated Accounts, #22 through 26 are various Federated Accounts that are part of my Federated Account.
3. As to the Franklin/Templeton Funds, #27 through #31 are various funds that are part of a Franklin/Templeton Account.
4. As to the JNL Persp Accounts, #32 through #34 are various funds that are part of a Jackson Life Insurance Company Account.
5. As to the American Funds, #35 as of 12/31/13 there was only 1 specific fund.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Schmehl, Jeffrey L.

Date of Report

01/30/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jeffrey L. Schmehl**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544