

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ginsburg, Douglas H.	2. Court or Organization D.C. Circuit	3. Date of Report 12/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 333 Constitution Avenue, NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	Professor of Law	George Mason University Antonin Scalia Law School
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 20

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

12/11/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	George Mason Univ. Antonin Scalia Law School	\$359,696.30
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Self employed fundraiser for nonprofit
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	1/17/2016 - 1/19/2016	New Orleans, LA	Professional Association	Travel related expenses
2. George Mason Univ. Antonin Scalia Law School	3/4/2016 - 3/14/2016	Istanbul, Turkey	Teaching	Travel related expenses
3. George Mason Univ. Antonin Scalia Law School	3/23/2016 - 3/23/2016	Pittsburg, PA	Teaching	Travel related expenses
4. Mentor Group	4/8/2016 - 4/13/2016	Brussels, Belgium	Conference	Travel related expenses
5. Federalist Society	4/16/2016 - 4/18/2016	New York, NY	Professional Association	Travel related expenses
6. George Mason Univ. Global Antitrust Institute	4/24/2016 - 4/29/2016	Singapore, Singapore	Teaching	Travel related expenses

FINANCIAL DISCLOSURE REPORT

Page 3 of 20

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

12/11/2017

7.	Free to Choose Network	5/25/2016 - 5/26/2016	New York, NY	Educational TV	Travel related expenses
8.	Jevons Institute	6/1/2016 - 6/4/2016	Brussels, Belgium	Conference	Travel related expenses
9.	George Mason Univ. Antonin Scalia Law School	6/6/2016 - 6/7/2016	Amsterdam, Netherlands	Teaching	Travel related expenses
10.	George Mason Univ. Antonin Scalia Law School	6/7/2016 - 6/11/2016	Budapest, Hungary	Teaching	Travel related expenses
11.	Concurrances OECD	6/12/2016 - 6/15/2016	Paris, France	Teaching	Travel related expenses
12.	George Mason Univ. Antonin Scalia Law School	7/14/2016 - 7/27/2016	San Fransico, CA	Teaching	Travel related expenses
13.	Goerge Mason Univ. Antonin Scalia Law School	8/2/2016 - 8/22/2016	Northeast Harbor, ME	Teaching	Travel related expenses
14.	Free to Choose Network	8/24/2016 - 9/1/2016	Northeast Harbor, ME	Educational TV	Travel related expenses
15.	Mont Perlin Society	9/18/2016 - 9/24/2016	Miami, FL	Professional Association	Travel related expenses
16.	George Mason Univ. Antonin Scalia Law School	9/29/2016 - 10/1/2016	Williamsburg, VA	Teaching	Travel related expenses
17.	George Mason Univ. Global Antitrust Institute	10/26/2016 - 10/29/2016	Beijing, China	Teaching	Travel related expenses
18.	George Mason Univ. Global Antitrust Institute	10/29/2017 - 11/5/2016	Seoul, Korea	Teaching	Travel related expenses
19.	Free to Choose Network	11/12/2016 - 11/14/2016	New York, NY	Educational TV	Travel related expenses
20.	George Mason Univ. Antonin Scalia Law School	11/25/2016 - 12/3/2016	Paris, France	Teaching	Travel related expenses
21.	George Mason Univ. Antonin Scalia Law School	12/3/2016 - 12/12/2016	Shanghai, China	Teaching	Travel related expenses
22.	George Mason Univ. Antonin Scalia Law School	12/13/2016 - 12/15/2016	New York, NY	Teaching	Travel related expenses

FINANCIAL DISCLOSURE REPORT

Page 4 of 20

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

12/11/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 5 of 20

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

12/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. TIAATraditional		None	N	T					
2. CREF Equity Index R3		None	K	T					
3. WBRXX (renamed FZBXX)	B	Dividend	O	T					
4. CVX	C	Dividend	M	T					
5. ECL	A	Dividend	M	T	Buy (add'l)	12/08/16	K		
6. EPD	B	Dividend	K	T					
7. FAST	B	Dividend	M	T					
8. PEP	B	Dividend	K	T					
9. SRCL		None			Sold	07/29/16	M	G	
10. TSCO	B	Dividend	L	T					
11. SYK	B	Dividend	M	T					
12. KMX		None	M	T	Buy (add'l)	12/08/16	K		
13. COO		None			Sold	01/22/16	M	E	
14. DNKN		None			Sold	01/12/16	L	D	
15. SMG	D	Dividend	N	T	Buy (add'l)	05/03/16	L		
16. ABT		None			Sold	01/28/16	M	D	
17. BMY	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 20

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

12/11/2017

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. SBUX	B	Dividend	M	T					
19. IDXX		None	M	T					
20. PINC		None			Sold	06/20/16	L	C	
21. CHD	B	Dividend	M	T					
22. C	A	Dividend	J	T					
23. CVS	B	Dividend	M	T					
24. DXCM		None	M	T					
25. BSV	B	Dividend			Sold (part)	06/20/16	M	A	
26.					Sold	09/14/16	N	A	
27. PGND		None			Buy (add'l)	05/03/16	M		
28.					Sold	10/21/16	N	F	
29. ALGN		None	N	T					
30. BGS		None	M	T	Buy	12/08/16	M		
31. FTV	A	Dividend	K	T	Spinoff (from line 119)	07/05/16	K		
32. GE	C	Dividend	N	T	Buy	01/22/16	M		
33.					Buy (add'l)	05/03/16	M		
34. RMAX	B	Dividend	M	T	Buy	06/09/16	M		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 7 of 20

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Ginsburg, Douglas H.

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35. RBA		None	M	T	Buy	11/23/16	M		
36. UPS		None	M	T	Buy	12/08/16	M		
37. VCSH	B	Dividend			Buy	09/14/16	N		
38.					Sold	12/08/16	N		
39. NVRO		None			Buy	08/03/16	M		
40.					Sold	12/08/16	M		
41. AQMIX		None			Buy	06/22/16	N		
42.					Sold	12/08/16	M		
43. XOM	D	Dividend	O	T					
44. MRK	A	Dividend	K	T					
45. RDS A	B	Dividend	K	T					
46. BMY	A	Dividend			Sold (part)	08/11/16	J	A	
47.					Sold	09/14/16	K	A	
48. CHD	A	Dividend	K	T					
49. CVS	A	Dividend	K	T					
50. ECL	A	Dividend	K	T					
51. SIRO (now XRAY)	A	Dividend	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 20

Name of Person Reporting

Ginsburg, Douglas H.

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52. PINC		None			Sold	06/27/16	K	B	
53. DXCM		None	K	T					
54. GE	A	Dividend	K	T	Buy	01/22/16	J		
55. JCOM	A	Dividend	K	T	Buy	09/14/16	K		
56. RMAX	A	Dividend	K	T	Buy	06/27/16	K		
57. RAD		None	J	T	Buy	09/09/16	J		
58. VCSH	A	Dividend	K	T	Buy	05/03/16	L		
59.					Sold	08/01/16	L	A	
60.					Buy	09/14/16	K		
61. AQMIX		None			Buy	09/14/16	K		
62.					Sold	12/08/16	J		
63. MO	C	Dividend	M	T					
64. JNJ	A	Dividend	K	T					
65. PM	C	Dividend	M	T					
66. CVS	A	Dividend			Sold	11/28/16	J	A	
67. DNKN		None			Sold	01/12/16	J		
68. HCSG	A	Dividend			Sold	09/07/16	K	C	

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FINANCIAL DISCLOSURE REPORT

Page 9 of 20

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

12/11/2017

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69. CMG		None			Sold	01/07/16	J		
70. BMY	A	Dividend			Sold	08/17/16	J	A	
71. CHD	A	Dividend	K	T					
72. SIRO (now XRAY)	A	Dividend	J	T	Sold (part)	06/20/16	J	C	
73. SYK	A	Dividend	K	T					
74. MJN	A	Dividend	K	T	Buy (add'l)	11/28/16	J		
75. PINC		None			Sold	06/20/16	J	B	
76. DXCM		None	K	T					
77. SMG	A	Dividend	K	T	Buy (add'l)	01/22/16	J		
78. CTLT		None			Sold	05/05/16	J	B	
79. CERN		None			Sold	11/11/16	J		
80. MRCC	B	Dividend	K	T	Buy (add'l)	05/03/16	J		
81.					Buy (add'l)	05/05/16	J		
82. CEB	A	Dividend			Sold	05/03/16	J		
83. ABT	A	Dividend			Sold	01/28/16	J		
84. PG	A	Dividend	J	T	Buy (add'l)	11/28/16	J		
85. ALGN		None	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 20

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86. AMZN		None	K	T	Buy	02/02/16	J		
87.					Buy	05/03/16	J		
88.					Buy	05/05/16	J		
89. JCOM	A	Dividend	K	T	Buy	09/14/16	K		
90. RMAX	A	Dividend	K	T	Buy	09/14/16	K		
91. RBA		None	J	T	Buy	11/23/16	J		
92.					Buy (add'l)	11/28/16	J		
93. SBUX	A	Dividend	J	T	Buy	01/22/16	J		
94. AQMIX	A	Dividend	K	T	Buy	06/22/16	K		
95. MMP	B	Dividend	K	T					
96. BP	B	Dividend	K	T					
97. KO	A	Dividend	K	T					
98. ESRX		None	M	T					
99. MON	A	Dividend	K	T					
100. SLB	B	Dividend	L	T					
101. TMCO		None			Sold	02/12/16	L	E	
102. AMZN		None	M	T	Buy (add'l)	02/02/16	L		

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 11 of 20

Name of Person Reporting

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103. DUK	B	Dividend	K	T					
104. EMR	B	Dividend	L	T					
105. ETE	B	Dividend	K	T					
106. NEE	A	Dividend	K	T					
107. MCD	B	Dividend	L	T					
108. ACN	A	Dividend	K	T					
109. CVX	B	Dividend	L	T					
110. CBSH	A	Dividend	K	T					
111. EEP	B	Dividend	K	T					
112. ETP	C	Dividend	K	T					
113. PAA	A	Dividend	J	T					
114. OKS	B	Dividend	K	T					
115. BA	B	Dividend	K	T					
116. HDSIX	A	Dividend	L	T					
117. Wells Fargo Bank (bank deposit sweep)	A	Interest	N	T					
118. CMG		None			Sold	01/07/16	L	D	
119. DHR	A	Dividend	M	T	Buy (add'l)	12/08/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 20

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

12/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. HCSG	C	Dividend	M	T					
121. V	A	Dividend	M	T					
122. KSU	A	Dividend			Sold	02/10/16	K	B	
123. GBDC	B	Dividend	K	T					
124. NKE	A	Dividend	K	T					
125. AMT	A	Dividend	K	T	Sold (part)	06/14/16	J	C	
126. CELG		None			Sold	02/10/16	K	D	
127. VNO	B	Dividend	K	T					
128. DVY	A	Dividend			Sold	06/23/16	K	C	
129. SBAC		None			Sold	06/14/16	J		
130. VZ	A	Dividend	K	T					
131. PSX	A	Dividend	J	T					
132. NMFC	A	Dividend			Sold	06/23/16	J		
133. TSLX	A	Dividend			Sold	06/23/16	J		
134. TCAP	A	Dividend			Sold	06/23/16	J		
135. NSC	A	Dividend	J	T					
136. UPS	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 20

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

12/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. TJX	A	Dividend	J	T					
138. HDV	C	Dividend	L	T					
139. CMCSA	A	Dividend	J	T					
140. DIS	A	Dividend			Sold	06/23/16	J		
141. AMGN	A	Dividend			Sold	06/23/16	J		
142. VIG	A	Dividend			Sold	06/23/16	J	B	
143. AFL	A	Dividend	J	T	Buy	02/10/16	J		
144. BDX	A	Dividend	J	T	Buy	02/10/16	J		
145. CB	A	Dividend	K	T	Buy	11/18/16	K		
146. LOW	A	Dividend	J	T	Buy	02/10/16	J		
147. MDT	A	Dividend	K	T	Buy	02/10/16	J		
148.					Buy	12/06/16	J		
149. RAD		None	J	T	Buy	08/31/16	J		
150. XLK	A	Dividend	K	T	Buy	02/12/16	K		
151. XLU	A	Dividend	K	T	Buy	02/12/16	K		
152. XLF	A	Dividend	J	T	Buy	02/10/16	K		
153.					Sold	06/23/16	K	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 20

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

12/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy	11/09/16	J		
155. SYK	A	Dividend	J	T	Buy	02/10/16	J		
156. WEFIX	A	Dividend			Buy	10/17/16	L		
157.					Sold	12/29/16	L		
158. VCSH	A	Dividend			Buy	06/14/16	J		
159.					Buy (add'l)	10/17/16	L		
160.					Sold	12/27/16	L		
161. LDLFX	A	Dividend			Buy	10/17/16	L		
162.					Sold	12/29/16	L		
163. CVS	A	Dividend			Buy	02/10/16	J		
164.					Sold	06/23/16	J		
165. BR	A	Dividend			Buy	02/12/16	J		
166.					Sold	11/09/16	J	B	
167. FZBXX (renamed FDRXX)	A	Dividend	L	T					
168. ABT	A	Dividend			Sold	01/28/16	K	B	
169. AMZN		None	L	T					
170. BMY	A	Dividend			Buy (add'l)	05/05/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000 K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000 L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 15 of 20

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

12/11/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold	08/17/16	K	C	
172. CERN		None			Sold (part)	08/17/16	J		
173.					Sold	11/11/16	J		
174. CHD	A	Dividend	K	T					
175. CVS	A	Dividend	K	T					
176. DHR		None	K	T	Buy	11/28/16	K		
177. DXCM		None	K	T					
178. DNKN		None			Sold	01/12/16	K		
179. ECL	A	Dividend	K	T	Buy (add'l)	02/29/16	J		
180. IDXX		None	K	T	Sold (part)	06/20/16	K	D	
181. LKQ		None	K	T					
182. PINC		None			Sold	06/20/16	K	B	
183. SMG	A	Dividend	L	T	Buy	05/05/16	J		
184. SIRO (now XRAY)	A	Dividend	K	T	Sold (part)	08/17/16	K	D	
185. SBUX	A	Dividend			Sold	08/17/16	K	D	
186. SYK	A	Dividend	K	T					
187. V	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 20

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

12/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. PG	A	Dividend	K	T					
189. CTLT		None			Sold	05/05/16	K		
190. ALGN		None	K	T					
191. BGS	A	Dividend	K	T					
192. PAYX	B	Dividend	K	T	Buy (add'l)	02/29/16	J		
193.					Buy (add'l)	05/05/16	J		
194.					Sold (part)	08/17/16	J	B	
195. PGND		None			Buy (add'l)	05/05/16	J		
196.					Sold	08/17/16	K	D	
197. RMAX	A	Dividend	K	T					
198. GE	A	Dividend	K	T	Buy (add'l)	02/18/16	J		
199.					Buy (add'l)	02/29/16	J		
200.					Buy (add'l)	05/05/16	J		
201. GOOG		None	K	T	Buy	01/27/16	K		
202. HCSG	A	Dividend			Buy	02/29/16	K		
203.					Sold	09/07/16	K	B	
204. JCOM	A	Distribution	K	T	Buy	09/14/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 20

Name of Person Reporting

Ginsburg, Douglas H.

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12/11/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. RBA		None	K	T	Buy	11/23/16	K		
206. AQMIX	A	Dividend	L	T	Buy	06/22/16	K		
207. FUTURES OPTIONS (See VIII)		None	L	T	Buy		K		
208. FUTURES OPTIONS (See VIII)		None	L	T	Sold	02/03/16		E	
209. ZIVO		None	J	T	Buy (add'l)	02/03/16	J		
210.					Buy (add'l)	12/07/16	J		
211. RAD		None	K	T	Buy (add'l)	08/29/16	K		
212.					Buy (add'l)	09/09/16	J		
213.					Buy (add'l)	12/07/16	J		
214. MDLZ	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 18 of 20

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

12/11/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII.

#40 SIRO (merged with XRAY 3/22/16)

#53 SIRO (merged with XRAY 3/22/16)

#186 SIRO (merged with XRAY 3/22/16)

#168 & #169 - Futures options - Discretionary trading account and the trader makes all the transactions; I do not have the ability to make a transaction. All investments are future contracts, e.g. on metals, currancies and comodities such as orange juice; no corporate securities involved.

Updated and corrected 12/11/17

Part VII

Line 1: "TIAA CREF Retirement Account" should be deleted because the holdings in that account appear in line 3-206.

Insert in line 1 "TIAA Traditional " value code N.

Part VII

Line 2: Sep - "IRA Account" should be deleted from the report.

Insert in line 2 CREF Equity Index R3 and value code K.

FINANCIAL DISCLOSURE REPORT

Page 19 of 20

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

12/11/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 20 of 20

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

12/11/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Douglas H. Ginsburg**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544