

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kurren, Barry M.	2. Court or Organization U.S. District Court, Hawaii	3. Date of Report 07/08/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court 300 Ala Moana Blvd. Honolulu, Hawaii 96850		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust # 1
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Tesoro Petroleum Corporation - pension
2. 2012	Tesoro Hawaii Corporation - pension
3. 2012	Hawaii Dental Service - salary
4. 2012	First Insurance Company of Hawaii - consulting fees
5. 2012	First Hawaiian Bank - consulting fees

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2. Federal Magistrate Judges Association	Sept. 11-13	Washington, D.C.	Executive Board Meeting	Transportation, meals, hotel
3. George Mason Univ. School of Law Law and Economics Center	Nov. 11-13	Arlington, VA	Judicial Symposium on Civil Justice Issues	Transportation, meals, hotel, tuition
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Trust #1	F	Int./Div.	P1	T					
2. -Schwab Money Market Fund									
3. -Oakmark International Fund C1 I									
4. -Dodge & Cox Stock Fund									
5. -HGM Preferred									
6. -Oakmark Equity Income Fund					Buy (add'l)	03/27/12	K		
7. -Jensen Portfolio Class J					Buy (add'l)	05/04/12	J		
8. -RBS+M Preferred									
9. -Real Property #1 - Honolulu, Hawaii									
10. -Loomis Sayles Bond Fund					Buy (add'l)	11/16/12	J		
11. -Treasury Bills									
12. -Treasury Notes									
13. -Hawaii State Revenue Bonds									
14. -Hawaii State General Obligation Bonds									
15. -Honolulu General Obligation Bonds									
16. -Hawaii State Special Purpose Revenue Bonds									
17. -Honolulu Revenue Bonds									

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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18. -Honolulu Water Revenue Bonds									
19. -Puerto Rico Revenue Bonds									
20. -American Century Tax Free Bond Fund					Buy (add'l)	11/16/12	M		
21. IRA#1	E	Div & Int	N	T					
22. -Schwab Money Market Fund									
23. -Schwab Large-Cap Growth Fund									
24. -Schwab Dividend Equity Fund					Buy (add'l)	01/26/12	J		
25. -Federated Total Return Bond Fund									
26. -Metropolitan West Total Return Bond Fund									
27. -Schwab Core Equity Fund									
28. -Manning & Napier World Opportunity Fund									
29. -Glenmede Small Cap Equity Fund					Sold	10/03/12	L	A	
30. -Pimco Total Return Fund									
31. -Harbor International Fund									
32. -Hodges Small Cap Fund					Buy	10/04/12	L		
33. IRA #2	D	Div & Int	N	T					
34. -Schwab Money Market Fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. -American Century Government Bond Fund									
36. -American Century short Term Bond Fund									
37. -American Century Inflation Adjusted Bond Fund									
38. -Heartland Value Plus Fund									
39. -Sound Shore Fund									
40. -Ishares Emerging Markets Index ETF									
41. -Cohen & Steers Realty Fund									
42. -Tocqueville International Value Fund									
43. -Ishares S&P Smallcap Index ETF									
44. -Ishares S&P Index ETF									
45. -Ishares MSCI EAFE Index ETF									
46. -Schwab International Equity ETF									
47. -Schwab International SCAP ETF									
48. IRA # 3	B	Dividend	M	T					
49. -Fidelity Magellan Fund									
50. -Fidelity Asset Manager Growth Fund									
51. -Puritan Fund									

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52. -Fidelity Cash Reserves									
53. IRA #4	A	Dividend	N	T					
54. -Vanguard Prime Money Market Fund									
55. BHP USA Supplemental Retirement Plan	B	Dividend			Distributed	06/29/12	M	E	
56. -Vanguard Balanced Index Fund									
57. HDS 401K	D	Dividend	M	T					
58. -Thornburg International Value Fund									
59. -Pimco Total return Inst. Fund									
60. -MFS Government Securities Fund									
61. -Vanguard Developed Markets Index Fund									
62. BHP Common Stock	A	Dividend	J	T					
63. First Hawaiian Bank Account	B	Interest	P1	T					
64. TIAA-CREF	C	Distribution	J	T					
65. Hawaii Innovation Growth Fund		None	J	T					
66. Capital One Bank Account	B	Interest	N	T					
67. American Express Bank Account	B	Interest	N	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barry M. Kurren**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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