

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Strand, Leonard T.	2. Court or Organization U.S. District Court, Northern District of Iowa	3. Date of Report 5/8/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge, Full-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 320 Sixth Street, Room 104 Sioux City, IA 51101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1996	Simmons Perrine Moyer Bergman PLC 401(k) Profit Sharing Plan. Managed by a third-party. I continue to have funds invested in the plan. No control.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Mercy Medical Center, Sioux City, Iowa. Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Iowa College of Law	April 10-11, 2014	Iowa City, IA	Guest Lecture to law school class	Transportation, meals, hotel
2. Iowa State Bar Association	December 11-12, 2014	Des Moines, IA	Speak at seminar	Hotel
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Card Services	Credit cards - paid in full each month.	J
2.	Sallie Mae	Student loans for daughter's and son's college education.	L
3.	Citi Bank	Credit card - paid in full each month	J
4.	Nelnet	Student loads for son's college education	J
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Simmons Perrine Moyer Bergman PLC 401(k) Profit Sharing Plan	E	Int./Div.	O	T					
2. - Baron Small Cap Mutual Fund					Sold	01/06/14	L	C	
3. - Cohen & Steers Realty Shares Mutual Fund									
4. - Delaware Diversified Income Fund					Buy	01/06/14	M		
5. - DFA US Core Equity I									
6. - Doubleline Total Return Bond Mutual Fund					Buy	01/06/14	M		
7. - Driehaus Emerging Mkts					Sold	01/06/14	K	C	
8. - Heartland Select Value Mutual Fund					Sold	01/06/14	L	C	
9. - Intrepid Small Cap Mutual Fund					Buy	01/06/14	L		
10. - Pioneer Fundamental Growth A Mutual Fund									
11. - First Eagle Overseas A Mutual Fund									
12. - Thornburg International Value Mutual Fund					Sold	01/06/14	K	C	
13. - Yacktman Focused Fund Service Mutual Fund									
14. - TCW Dividend Focused N					Sold	01/06/14	K	C	
15. Iowa 529 College Savings Plan Accounts	A	Int./Div.	K	T					
16. - Vanguard Total Bond Market II Index Mutual Fund									
17. - Vanguard Institutional Total Stock Market Index Mutual Fu									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. - Vanguard Total International Stock Index Mutual Fund									
19. Fidelity IRA	D	Dividend	M	T					
20. - Fidelity Capital & Income Mutual Fund					Buy (add'l)	10/28/14	J		
21. Capitol One 360 (formerly ING Bank) Savings Account	A	Interest	L	T					
22. Bankers Trust Cedar Rapids Checking & Savings Accounts	A	Interest	K	T					
23. Mercy Hospital 401(k) Plan	A	Int./Div.	J	T					
24. - Oppenheimer Developing Markets A Mutual Fund					Sold	10/28/14	J	A	
25. - T. Rowe Price Small Cap Value Mutual Fund					Sold	10/28/14	J	A	
26. Higher One, Inc. New Haven, CT Student Checking Account		None	J	T					
27. Hills Bank Iowa City, IA Student Checking Account		None	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Leonard T. Strand**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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