

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Flanagan, Louise W.	2. Court or Organization US District Court, NC	3. Date of Report 05/08/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address US Courthouse 413 Middle Street New Bern, NC 28560		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017

Ward & Smith, PA

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

FINANCIAL DISCLOSURE REPORT

Page 3 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	First Citizens Bank	Mortgage on Rental Property, Morehead City, NC (Part VII, line 2)	M
2.			
3.			
4.			
5.			
6.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Deposits at First Citizens Bank	A	Interest	J	T					
2. Rental Property, Morehead City, NC (\$330,000, 7/14/2017)	D	Rent	N	R	Buy	07/14/17	N		A-Team Enterprises, LLC
3. Receivable-Noucoux UN, LLC (Buyout of LLC Interest) (X)	C	Interest	M	T					
4. Scholars Choice 529 Plan, Balance 50/50 Portfolio NO CONTROL		None	K	T	Sold (part)	01/23/17	J	A	
5.					Sold (part)	05/01/17	L	C	
6.					Sold (part)	07/24/17	J	A	
7.					Sold (part)	10/09/17	J	A	
8.					Sold (part)	11/09/17	J	A	
9.					Sold (part)	12/19/17	J	A	
10. Reliance MetLife Series 25053 (formerly MET GAC Series)		None	O	T	Sold (part)	01/06/17	J	A	
11.					Sold (part)	01/24/17	J	A	
12.					Buy (add'l)	02/02/17	J		
13.					Sold (part)	03/03/17	J	A	
14.					Sold (part)	04/06/17	J	A	
15.					Buy (add'l)	05/17/17	J		
16.					Sold (part)	05/26/17	J	A	
17.					Sold (part)	07/03/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.					Sold (part)	07/06/17	J	A	
19.					Sold (part)	08/17/17	J	A	
20.					Buy (add'l)	08/24/17	J		
21.					Sold (part)	09/28/17	J	A	
22.					Sold (part)	10/18/17	K	B	
23.					Buy (add'l)	11/15/17	J		
24.					Sold (part)	11/21/17	J	A	
25.					Sold (part)	11/27/17	J	A	
26. Fidelity Spartan 500 Index Inv	B	Dividend			Sold (part)	01/06/17	J	A	
27.					Sold (part)	01/24/17	J	A	
28.					Buy (add'l)	02/02/17	J		
29.					Sold (part)	03/03/17	J	A	
30.					Sold (part)	04/06/17	J	A	
31.					Buy (add'l)	05/17/17	J		
32.					Sold (part)	05/26/17	J	A	
33.					Sold (part)	07/03/17	J	A	
34.					Sold (part)	07/06/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Sold	07/24/17	M	E	
36. Fidelity 500 Index Institutional	A	Dividend	M	T	Buy	07/24/17	M		
37.					Sold (part)	08/17/17	J	A	
38.					Buy (add'l)	08/24/17	J		
39.					Sold (part)	09/28/17	J	A	
40.					Buy (add'l)	10/06/17	J		
41.					Sold (part)	10/18/17	J	A	
42.					Buy (add'l)	11/15/17	J		
43.					Sold (part)	11/21/17	J	A	
44.					Sold (part)	11/27/17	J	A	
45. Fidelity Spartan ExtMkt Index Inv	A	Dividend			Sold (part)	01/06/17	J	A	
46.					Sold (part)	01/24/17	J	A	
47.					Buy (add'l)	02/02/17	J		
48.					Sold (part)	03/03/17	J	A	
49.					Sold (part)	04/06/17	J	A	
50.					Buy (add'l)	05/17/17	J		
51.					Sold (part)	05/26/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52.					Sold (part)	07/03/17	J	A	
53.					Sold (part)	07/06/17	J	A	
54.					Sold	07/24/17	K	C	
55. Fidelity Extended Market Index Premium	B	Dividend	K	T	Buy	07/24/17	K		
56.					Sold (part)	08/17/17	J	A	
57.					Buy (add'l)	08/24/17	J		
58.					Sold (part)	09/28/17	J	A	
59.					Sold (part)	10/18/17	J	A	
60.					Buy (add'l)	11/15/17	J		
61.					Sold (part)	11/21/17	J	A	
62.					Sold (part)	11/27/17	J	A	
63. Europacific Growth R6	C	Dividend	L	T	Sold (part)	01/06/17	J	A	
64.					Sold (part)	01/24/17	J	A	
65.					Buy (add'l)	02/02/17	J		
66.					Sold (part)	03/03/17	J	A	
67.					Sold (part)	04/06/17	J	A	
68.					Buy (add'l)	05/17/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Sold (part)	05/26/17	J	A	
70.					Sold (part)	07/03/17	J	A	
71.					Sold (part)	07/06/17	J	A	
72.					Sold (part)	08/17/17	J	A	
73.					Buy (add'l)	08/24/17	J		
74.					Sold (part)	09/28/17	J	A	
75.					Sold (part)	10/18/17	J	B	
76.					Buy (add'l)	11/15/17	J		
77.					Sold (part)	11/21/17	J	A	
78.					Sold (part)	11/27/17	J	A	
79. Mainstay Large Cap Growth I	E	Dividend	M	T	Sold (part)	01/06/17	J	A	
80.					Sold (part)	01/24/17	J	A	
81.					Buy (add'l)	02/02/17	J		
82.					Sold (part)	03/03/17	J	A	
83.					Sold (part)	04/06/17	J	A	
84.					Buy (add'l)	05/17/17	J		
85.					Sold (part)	05/26/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86.					Sold (part)	07/03/17	J	A	
87.					Sold (part)	07/06/17	J	A	
88.					Sold (part)	08/17/17	J	A	
89.					Buy (add'l)	08/24/17	J		
90.					Sold (part)	09/28/17	J	A	
91.					Sold (part)	10/18/17	J	A	
92.					Buy (add'l)	11/15/17	J		
93.					Sold (part)	11/21/17	J	A	
94.					Sold (part)	11/27/17	J	A	
95. MFS Value R4	D	Dividend	M	T	Sold (part)	01/06/17	J	A	
96.					Sold (part)	01/24/17	J	A	
97.					Buy (add'l)	02/02/17	J		
98.					Sold (part)	03/03/17	J	A	
99.					Sold (part)	04/06/17	J	A	
100.					Buy (add'l)	05/17/17	J		
101.					Sold (part)	05/26/17	J	A	
102.					Sold (part)	07/03/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103.					Sold (part)	07/06/17	J	A	
104.					Sold (part)	08/17/17	J	A	
105.					Buy (add'l)	08/24/17	J		
106.					Sold (part)	09/28/17	J	A	
107.					Sold (part)	10/18/17	J	A	
108.					Buy (add'l)	11/15/17	J		
109.					Sold (part)	11/21/17	J	A	
110.					Sold (part)	11/27/17	J	A	
111. J Hancock Disciplined Val MCap I	B	Dividend	K	T	Sold (part)	01/06/17	J	A	
112.					Sold (part)	01/24/17	J	A	
113.					Buy (add'l)	02/02/17	J		
114.					Sold (part)	03/03/17	J	A	
115.					Sold (part)	04/06/17	J	A	
116.					Buy (add'l)	05/17/17	J		
117.					Sold (part)	05/26/17	J	A	
118.					Sold (part)	07/03/17	J	A	
119.					Sold (part)	07/06/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Sold (part)	08/17/17	J	A	
121.					Buy (add'l)	08/24/17	J		
122.					Sold (part)	09/28/17	J	A	
123.					Sold (part)	10/18/17	J	A	
124.					Buy (add'l)	11/15/17	J		
125.					Sold (part)	11/21/17	J	A	
126.					Sold (part)	11/27/17	J	A	
127. Vanguard Total Int'l Stock Index	B	Dividend	L	T	Sold (part)	01/06/17	J	A	
128.					Sold (part)	01/24/17	J	A	
129.					Buy (add'l)	02/02/17	J		
130.					Sold (part)	03/03/17	J	A	
131.					Sold (part)	04/06/17	J	A	
132.					Buy (add'l)	05/17/17	J		
133.					Sold (part)	05/26/17	J	A	
134.					Sold (part)	07/03/17	J	A	
135.					Sold (part)	07/06/17	J	A	
136.					Sold (part)	08/17/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	08/24/17	J		
138.					Sold (part)	09/28/17	J	A	
139.					Sold (part)	10/18/17	J	A	
140.					Buy (add'l)	11/15/17	J		
141.					Sold (part)	11/21/17	J	A	
142.					Sold (part)	11/27/17	J	A	
143. American Balanced R6	D	Dividend	M	T	Sold (part)	01/06/17	J	A	
144.					Sold (part)	01/24/17	J	A	
145.					Buy (add'l)	02/02/17	J		
146.					Sold (part)	03/03/17	J	A	
147.					Sold (part)	04/06/17	J	A	
148.					Buy (add'l)	05/17/17	J		
149.					Sold (part)	05/26/17	J	A	
150.					Sold (part)	07/03/17	J	A	
151.					Sold (part)	07/06/17	J	A	
152.					Sold (part)	08/17/17	J	A	
153.					Buy (add'l)	08/24/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Sold (part)	09/28/17	J	A	
155.					Sold (part)	10/18/17	J	A	
156.					Buy (add'l)	11/15/17	J		
157.					Sold (part)	11/21/17	J	A	
158.					Sold (part)	11/27/17	J	A	
159. Eagles Small Cap Growth	C	Dividend	K	T	Sold (part)	01/06/17	J	A	
160.					Sold (part)	01/24/17	J	A	
161.					Buy (add'l)	02/02/17	J		
162.					Sold (part)	03/03/17	J	A	
163.					Sold (part)	04/06/17	J	A	
164.					Buy (add'l)	05/17/17	J		
165.					Sold (part)	05/26/17	J	A	
166.					Sold (part)	07/03/17	J	A	
167.					Sold (part)	07/06/17	J	A	
168.					Sold (part)	08/17/17	J	A	
169.					Buy (add'l)	08/24/17	J		
170.					Sold (part)	09/28/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sold (part)	10/18/17	J	A	
172.					Buy (add'l)	11/15/17	J		
173.					Sold (part)	11/21/17	J	A	
174.					Sold (part)	11/27/17	J	A	
175. Delaware Small Cap Value Inst'l	A	Dividend	K	T	Sold (part)	01/06/17	J	A	
176.					Sold (part)	01/24/17	J	A	
177.					Buy (add'l)	02/02/17	J		
178.					Sold (part)	03/03/17	J	A	
179.					Sold (part)	04/06/17	J	A	
180.					Buy (add'l)	05/17/17	J		
181.					Sold (part)	05/26/17	J	A	
182.					Sold (part)	07/03/17	J	A	
183.					Sold (part)	07/06/17	J	A	
184.					Sold (part)	08/17/17	J	A	
185.					Buy (add'l)	08/24/17	J		
186.					Sold (part)	09/28/17	J	A	
187.					Sold (part)	10/18/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Buy (add'l)	11/15/17	J		
189.					Sold (part)	11/21/17	J	A	
190.					Sold (part)	11/27/17	J	A	
191. Metropolitan West Total Return	C	Int./Div.	M	T	Sold (part)	01/06/17	J	A	
192.					Sold (part)	01/24/17	J	A	
193.					Buy (add'l)	02/02/17	J		
194.					Sold (part)	03/03/17	J	A	
195.					Sold (part)	04/06/17	J	A	
196.					Buy (add'l)	05/17/17	J		
197.					Sold (part)	05/26/17	J	A	
198.					Sold (part)	07/03/17	J	A	
199.					Sold (part)	07/06/17	J	A	
200.					Sold (part)	08/17/17	J	A	
201.					Buy (add'l)	08/24/17	J		
202.					Sold (part)	09/28/17	J	A	
203.					Sold (part)	10/18/17	J	A	
204.					Buy (add'l)	11/15/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold (part)	11/21/17	J	A	
206.					Sold (part)	11/27/17	J	A	
207. DoubleLine Total Return Bond	C	Dividend	L	T					
208. PIMCO Income Fund Inst'l Class	C	Dividend	L	T					
209. Pershing Gov't Money Market		None			Closed	04/11/17	L		
210. Dreyfus Insured Deposit Acct	A	Int./Div.	L	T	Open	04/11/17	L		
211. Advisory Research MLP & Energy	C	Dividend	K	T					
212. Federated Strategic Value	D	Dividend	L	T	Sold (part)	05/18/17	J	A	
213. Franklin Income Fund Advisor	C	Dividend	L	T					
214. Mainstay High Yield Corporate Bond	C	Dividend	L	T					
215. Pacific Funds Floating Rate	C	Dividend	L	T					
216. Stone Ridge All Asset Variance Risk		None			Sold	01/17/17	K	B	
217. Stone Ridge Alternative Funding	D	Dividend	L	T	Buy (add'l)	05/18/17	K		
218. Stone Ridge Reinsurance Risk Premium		None			Sold	02/21/17	L	B	
219. Thornburg Investment Income	C	Dividend	L	T					
220. Versus Capital Multi-Manager	C	Dividend	L	T					
221. iShares TR Select Divid ETF	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Eagle Mid-Cap Growth	B	Dividend	L	T					
223. First Eagle Global Fund Class I	D	Dividend	M	T					
224. Fundamental Investors Class	D	Dividend	L	T					
225. JP Morgan Small-Cap Equity	B	Dividend	K	T					
226. Alger Spectra Fund Class Z (formerly Alger Spectra Fund Class I)	B	Dividend	K	T					
227. Federated Strategic Value	D	Dividend	M	T					
228. MFS Mid-Cap Value Fund Class I	B	Dividend	L	T					
229. ISHARES TR Core S&P Mid-Cap	B	Dividend	M	T					
230. ISHARES TR Core S&P Small-Cap	B	Dividend	M	T					
231. SPDR S&P 500 ETF TR Unit	B	Dividend	M	T					
232. Pershing Gov't Acct Money Market		None			Closed	04/11/17	K		
233. Dreyfus Insured Deposit Acct	A	Int./Div.	K	T	Open	04/11/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 18 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 19 of 19

Name of Person Reporting

Flanagan, Louise W.

Date of Report

05/08/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Louise W. Flanagan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544