

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'CONNOR, SANDRA D.	2. Court or Organization SUPREME COURT OF UNITED STATES	3. Date of Report 05/01/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Associate Justice (Ret.)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 1 FIRST STREET, N.E. WASHINGTON, D.C. 20543		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	The National Constitution Center
2. Member	The Rockefeller Foundation, Board of Trustees
3. Member, Advisory Board	Smithsonian National Museum of Natural History
4. Board Member	ABA Central European and Eurasian Law Initiative Council
5. Board Member	ABA Museum of Law
6. Co-Chair, National Advisory Council	Campaign for the Civic Mission of Schools
7. Chancellor	College of William and Mary
8. Board Member	Randall Lineback Breed Association
9. Board Member	William H. Rehnquist Center
10. Advisor	Aspen Institute's Justice and Society Program
11. Advisory Board Member	Bill Lane Center for the Study of the North American West at Stanford
12. Chair of the Board of Directors	iCivics.org
13. Chair of the Advisor Committee	O'Connor Judicial Selection Initiative
14. Advisory Board Member	Lloyd N. Curtler Center for the Rule of Law at the Salzburg Global Seminar
15. Honorary Member	Board for the Foundation for the Future.
16. Special Advisor	American Bar Association Commission on Civic Education in the Nation's Schools

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17. Advisory Board Member	Alzheimer's Drug Discovery Foundation
18. Task Force Member	Michigan Judicial Selection Task Force
19. Honorary Board Member	International Network to Promote the Rule of Law (INPROL)
20. Co-Chair	National Advisory Council - Heard Museum
21. Board Member	National Institute for Civil Discourse - University of Arizona
22. Special Advisor	O'Connor House Project

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

DATE

PARTIES AND TERMS

1. _____
2. _____
3. _____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 01/26/11	Random House - royalty	\$596.90
2. 07/19/11	Random House - royalty	\$902.64
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. See Attached Schedule IV				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	American Bar Association	Chihuly Glass Artwork - Estimated value	\$2,000.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. AZ Elected Officials Retirement	C	Pension	J	T					
2. Checking Account: Suntrust Bank, Washington, DC	A	Interest	K	T					
3. Checking Accounts - Capitol One Washington, D. C.	B	Interest	N	T					
4. Wells Fargo Savings Account	A	Interest	J	T					
5. Oil and Gas Royalty fractional interest, Panola Cty., TX	A	Royalty	J	W					
6. Oil and Gas Royalty fractional interest, Panola Cty, TX		None			Sold	03/28/11	J		Black Mountain Royalty
7. Folger, Nolan, Fleming Douglas - By Pass Trust Account	A	Int./Div.	K	T					
8. Abbott Laboratories	B	Dividend	L	T					
9. Adobe Systems, Inc.	A	Dividend	L	T					
10. Apache Corp. Common	A	Dividend	L	T					
11. Aqua America	B	Dividend	L	T					
12. Bank New York Mellon Corp	A	Dividend	K	T					
13. Becton Dickinson & Co.	B	Dividend	L	T					
14. Caterpillar Inc.	B	Dividend	L	T					
15. Chevron Corporation	B	Dividend	L	T					
16. Church & Dwight Inc.	B	Dividend	M	T					
17. Cisco Systems Inc.	A	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Dentsply International	A	Dividend	L	T					
19. EMC Corp Mass		None	L	T					
20. Exxon Mobil Corp	B	Dividend	L	T					
21. General Electric Co	C	Dividend	L	T					
22. Illinois Tool Works Inc.	B	Dividend	L	T					
23. Intel Corp	B	Dividend	L	T					
24. International Business Machines Corp IBM	A	Dividend	L	T					
25. Johnson & Johnson	B	Dividend	L	T					
26. JP Morgan Chase & Co.	B	Dividend	L	T					
27. Lowes Companies Inc.	B	Dividend	L	T					
28. Microsoft Corp	B	Dividend	L	T					
29. Nextera Energy	B	Dividend	L	T					
30. Pepsico Inc	B	Dividend	L	T					
31. Procter & Gamble Co.	B	Dividend	L	T					
32. T Rowe Price Group Inc.	B	Dividend	L	T					
33. Target Corp.	B	Dividend	L	T					
34. US Treasury Bond Due 02-17-11	A	Int./Div.			Sold	02/17/11	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. US Treasury Bond Due 08-18-11	A	Int./Div.			Buy	03/02/11	L		
36.					Sold (part)	04/05/11	J		
37.					Sold	08/18/11	L		
38. US Treasury Bond Due 01-19-12		None	M	T	Buy	07/25/11	M		
39. US Treasury Bond Due 02-23-12		None	L	T	Buy	08/30/11	L		
40. United Technologies Corp.	B	Dividend	L	T					
41. Walgreen Company	B	Dividend	L	T					
42. Wells Fargo Company	A	Dividend	L	T					
43. Washington Subn San Dist Bond		None			Sold	06/01/11	L		
44. Tucson Ariz Wtr Rev Bond		None			Sold	07/01/11	M		
45. Folger Nolan Fleming Douglas - IRA Account	A	Interest	K	T					
46. -Abbott Laboratories	A	Dividend	K	T					
47. -Adobe Systems Inc	A	Dividend	K	T					
48. -Apache Corp.		None	K	T					
49. -AT&T Corp New	A	Dividend	K	T					
50. -Bank New York Mellon Corp	A	Dividend	J	T					
51. -Becton Dickinson & Co.	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Caterpillar Inc.	A	Dividend	K	T					
53. -Chevron Corp	A	Dividend	K	T					
54. -Church & Dwight Inc	A	Dividend	K	T					
55. -Cisco Systems Inc.	A	Dividend	K	T					
56. -Dentsply International	A	Dividend	K	T					
57. -Dominion Resources Inc of VA	A	Dividend	K	T					
58. -EMC Corp Mass		None	K	T					
59. -Exxon Mobil Corp	A	Dividend	K	T					
60. -General Electric Co	A	Dividend	K	T					
61. -Illinois Tool Works Inc	A	Dividend	K	T					
62. -Intel Corp	A	Dividend	K	T					
63. -International Business Machines Corp IBM	A	Dividend	K	T					
64. -Johnson & Johnson	A	Dividend	K	T					
65. -JPMorgan Chase & Co	A	Dividend	K	T					
66. -Lowe's Companies Inc	A	Dividend	K	T					
67. -Microsoft Corp	A	Dividend	K	T					
68. -PepsiCo Inc	A	Dividend	K	T					

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69. -Procter & Gamble Co.	A	Dividend	K	T					
70. -Southern Company	A	Dividend	J	T					
71. -T Rowe Price Group Inc.	A	Dividend	K	T					
72. -Target Corp	A	Dividend	K	T					
73. -US Treasury Bills		None			Sold	02/17/11	P1		
74. -US Treasury Bills		None			Buy	03/02/11	P1		
75.					Sold	08/18/11	P1		
76. -US Treasury Bills Due 02-23-12		None		T	Buy	08/30/11	O		
77. -United Technologies Corp	A	Dividend	K	T					
78. -Walgreen Company	A	Dividend	K	T					
79. -Wells Fargo Company	A	Dividend	K	T					
80. Folger Nolan Fleming Douglas (Cash Account)		None	M	T					
81.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ SANDRA D. O'CONNOR

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544