

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moreno, Mark A.	2. Court or Organization U.S. District Court, D.S.D.	3. Date of Report 04/21/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge - Part-Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 419 Federal Building 225 South Pierre Street Pierre SD 57501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Shareholder and President	Moreno, Lee & Bachand, P.C.
2.	Director and President	Neltom Addition Civic & Recreational Association
3.	Member	Ecliptic LLC
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	Moreno, Lee & Bachand, P.C.	\$123,229.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2016	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Common Stock - Moreno, Lee & Bachand, P.C.		None	K	U					
2. Am. Century Equity, Inc. (RBC)	B	Dividend	J	T					
3. AMG Yackman (RBC)	B	Dividend	J	T					
4. Am. Funds Fundamental Investors (RBC)	B	Dividend	K	T					
5. Am. Funds Growth Fund America (RBC)	B	Dividend	K	T					
6. Prime Cap Odyssey	A	Dividend	J	T					
7. T. Rowe Price Health Sciences (RBC)	A	Dividend	J	T					
8. T. Rowe Price Media & Telecommunications (RBC)	A	Dividend	J	T					
9. T. Rowe Price Mid-Cap Value (RBC)	B	Dividend	J	T					
10. T. Rowe Price New Horizons (RBC)	B	Dividend	J	T					
11. Vanguard Dividend Growth (RBC)	A	Dividend	J	T					
12. Vanguard Growth Index (RBC)	A	Dividend	K	T					
13. Am. Funds Cap. World Growth & Inc. (RBC)	B	Dividend	J	T					
14. Dodge & Cox International Stock (RBC)	B	Dividend	J	T					
15. Am. Funds New Perspective (RBC)	A	Dividend	J	T					
16. Oakmark International (RBC)	A	Dividend	J	T					
17. Harbor Bond (RBC)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. AMG GW&K Core Bond (RBC)	A	Dividend	J	T					
19. TCW Total Return Bond (RBC)	A	Dividend	J	T					
20. Am. Funds Cap. Inc. Bldr. (RBC)	A	Dividend	J	T					
21. FPA Crescent (RBC)	B	Dividend	K	T					
22. Janus Balanced (RBC)	A	Dividend	J	T					
23. Oakmark Eq. & Inc. (RBC)	B	Dividend	K	T					
24. T. Rowe Price Cap. Apprec. (RBC)	B	Dividend	K	T					
25. T. Rowe Price Personal Strategy (RBC)	A	Dividend	J	T					
26. T. Rowe Price Retirement 2030 (RBC)	B	Dividend	K	T					
27. Vanguard Wellesley Inc. (RBC)	B	Dividend	K	T					
28. RBC Money Mkt.	A	Interest	K	T	Buy (add'l)	01/04/16	K		
29. SD Retirement System (SDRS) (State of SD)	A	Interest	L	T					
30. Thrift Sav. Acct. (Amer.Funds Investment Co. of Amer.)	B	Dividend	K	T					
31. SD Retirement System (SDRS) (State of SD)	A	Interest	K	T					
32. SD Retirement System Supp. Retirement Plan (State of SD)	A	Interest	J	T					
33. Common Stock (Amern Strategic Inc. Port III) n/k/a Div. RA Inc. Fund	A	Dividend	J	T					
34. Mutual Fund Stock (Amer. Funds Fundamental Investors)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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35. Mutual Fund Stock (Amer. Funds Growth Fund of America)	B	Dividend	K	T					
36. Mutual Fund Stock (Amer. Funds Fundamental Investors)	A	Dividend			Merged (with line 34)	11/29/16	J		
37. Mutual Fund Stock (Vanguard 500 Index)	A	Dividend	K	T					
38. Retirement Plan (Catholic Health Initiatives (CHI))	B	Interest			Merged (with line 102)	12/09/16	L		
39. IRA (500 Index Fund - Vanguard)	A	Dividend	K	T					
40. IRA (500 Index Fund - Vanguard)	A	Dividend	K	T					
41. Mutual Fund Stock (Vanguard 500 Index)	A	Dividend	J	T					
42. Money Market Accounts (Ameriprise)	A	Int./Div.	J	T					
43. IRA (Columbia Seligman Communications Fund - Ameriprise)	C	Dividend	K	T					
44. Mutual Fund Stock (Amer. Funds Growth Fund of America)	A	Dividend			Merged (with line 35)	11/29/16	J		
45. Roth IRA (Oakmark Equity & Income Fund - Ameriprise)	A	Dividend	J	T					
46. Mutual Fund Stock (Oakmark Equity & Income)	B	Dividend	K	T					
47. Roth IRA (Oakmark Equity & Inc. Fund - Ameriprise)	A	Dividend	J	T					
48. Mutual Fund Stock (Managers Pimco Bond) n/k/a AMG GW&K Core Bond	A	Dividend	J	T					
49. Mutual Fund Stock (T. Rowe Price Mid Cap. Value)	B	Dividend	K	T					
50. Mutual Fund Stock (T. Rowe Price Mid Cap. Value)	A	Dividend			Merged (with line 49)	11/29/16	J		
51. Mutual Fund Stock (Dodge & Cox Stock)	C	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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52. Mutual Fund Stock (Dodge & Cox Balanced)	C	Dividend	K	T					
53. Mutual Fund Stock (Dodge & Cox Income)	A	Dividend	K	T					
54. Roth IRA (Stock Fund - Dodge & Cox)	A	Dividend	J	T					
55. Roth IRA (Balanced Fund - Dodge & Cox)	A	Dividend	J	T					
56. Roth IRA (Stock Fund - Dodge & Cox)	A	Dividend	J	T					
57. Roth IRA (Balanced Fund - Dodge & Cox)	A	Dividend	J	T					
58. Roth IRA (Columbia Seligman Communications Fund-Ameriprise)	A	Dividend	J	T					
59. General Electric Stock	A	Dividend	J	T					
60. IBM Stock	A	Dividend	J	T					
61. Mutual Fund Stock (Oakmark Global)	A	Dividend	K	T					
62. Mutual Fund Stock (T Rowe Price Cap. Apprec.)	B	Dividend	K	T	Buy (add'l)	01/06/16	J		
63. Mutual Fund Stock (T Rowe Price Media & Telecom)	A	Dividend	K	T	Buy (add'l)	01/06/16	J		
64. Mutual Fund Stock (Amer. Funds Cap. Wld Gr & Inc.)	A	Dividend	J	T					
65. Roth IRA (Harbor Bond Fund - Ameriprise)	A	Dividend	J	T					
66. Roth IRA (Oakmark Global Fund - Ameriprise)	A	Dividend	J	T					
67. Roth IRA (T Rowe Price Cap Apprec Fund - Ameriprise)	A	Dividend	J	T					
68. Roth IRA (Harbor Bond Fund - Ameriprise)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. Roth IRA (T Rowe Price Cap Apprec Fund - Ameriprise)	A	Dividend	J	T					
70. Mutual Fund Stock (Oakmark Global)	A	Dividend			Sold (part)	11/15/16	J		
71. Mutual Fund Stock (Oakmark Global)	A	Dividend			Merged (with line 61)	11/29/16	J		
72. Mutual Fund Stock (T Rowe Price Cap Apprec)	A	Dividend			Merged (with line 62)	11/29/16	J		
73. Pepsico Stock	A	Dividend	J	T					
74. Mutual Fund Stock (Dodge & Cox Intl Stock)	A	Dividend	K	T					
75. Mutual Fund Stock (Oakmark Equity & Income)	A	Dividend			Sold (part)	01/06/16	J	A	
76. Mutual Fund Stock (Oakmark Equity & Income)	A	Dividend			Merged (with line 45)	11/29/16	J		
77. Mutual Fund Stock (Vanguard Wellington)	B	Dividend	K	T					
78. Mutual Fund Stock (TCW)	A	Dividend	J	T					
79. Mutual Fund Stock (Fidelity Govt. Inc.)	A	Dividend	J	T					
80. Mutual Fund Stock (Vanguard Intermediate-Term Treas.)	A	Dividend	J	T					
81. Mutual Fund Stock (Harbor Bond)	A	Dividend	J	T					
82. Mutual Fund Stock (FPA Crescent)	A	Dividend	J	T					
83. Mutual Fund Stock (Columbia Seligman Communications)	B	Dividend	K	T					
84. Mutual Fund Stock (Vanguard Wellesley Inc.)	A	Dividend	J	T					
85. Mutual Fund Stock (Vanguard Dividend Growth)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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86. Mutual Fund Stock (Vanguard GNMA)	A	Dividend	J	T					
87. Mutual Fund Stock (FPA Crescent)	A	Dividend	J	T					
88. Roth IRA (Harbor Bond Fund - Ameriprise)	A	Dividend	J	T					
89. Roth IRA (Harbor Bond Fund - Ameriprise)	A	Dividend	J	T					
90. Common Stock (ALPS Corp.)		None	K	U					
91. Roth IRA (Vanguard GNMA - Vanguard)	A	Dividend	J	T					
92. Modern Woodman (Adjustable Life Insurance)	A	Interest	J	T					
93. Mutual Fund Stock (AMG Yackman)	A	Dividend	J	T					
94. Mutual Fund Stock (Amer. Funds New Perspective)	A	Dividend	J	T					
95. CHI Retirement Sav.(403(b)) Plan) (Fidelity Contrafund - Fidelity)	B	Dividend			Merged (with line 102)	12/09/16	K		
96. CHI Retirement Sav. (403(b) Plan) (Am. Funds EuroPacific - Fidelity)	A	Dividend			Merged (with line 102)	12/09/16	K		
97. CHI Retirement Sav. (403(b) Plan) (Vanguard Ext. Mkt. Idx. - Fidelity)	A	Dividend			Merged (with line 102)	12/09/16	K		
98. CHI Retirement Sav. (403(b) Plan) (Pimco Total Return - Fidelity)	A	Dividend			Merged (with line 102)	12/09/16	K		
99. Roth IRA (Vanguard Wellesley - Ameriprise)	A	Dividend	J	T					
100. Roth IRA (Vanguard Wellesley - Ameriprise)	A	Dividend	J	T					
101. Mutual Fund Stock (T. Rowe Price Health Sciences)	B	Dividend	K	T	Buy (add'l)	01/06/16	J		
102. Retirement Sav (403(b)) Plan (FID Freedom 2025 - Fidelity)	C	Dividend	K	T	Buy (add'l)	Various	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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103. Ecliptic LLC		None	M	U					
104. Cash Balance Retirement Plan	B	Interest	J	T					
105. Mutual Fund Stock (T. Rowe Price Capital Apprec.)	A	Dividend	J	T	Buy (add'l)	01/06/16	J		
106. Mutual Fund Stock (T. Rowe Price New Horizons)	A	Dividend	J	T					
107. Mutual Fund Stock (T. Rowe Price Health Sciences)	A	Dividend	J	T					
108. Mutual Fund Stock (Berwyn Income)	A	Dividend	J	T					
109. Mutual Fund Stock (Berwyn Income)	A	Dividend	J	T					
110. IRA (T. Rowe Price Capital Apprec. - Ameriprise)	A	Dividend	J	T					
111. IRA (T. Rowe Price Capital Apprec. - Ameriprise)	A	Dividend	J	T					
112. IRA (Berwyn Income - Ameriprise)	A	Dividend	J	T					
113. IRA (Berwyn Income - Ameriprise)	A	Dividend	J	T					
114. Mutual Fund Stock (Value Line Asset Allocation)	A	Dividend	J	T					
115. Mutual Fund Stock (Vanguard Long Term Investment)	A	Dividend	J	T					
116. Mutual Fund Stock (Dodge & Cox Intl.)	A	Dividend	J	T					
117. Mutual Fund Stock (AMG BW&K Core Bond)	A	Dividend	J	T					
118. BankWest Bank Accounts	A	Interest	J	T					
119. Wells Fargo Bank Accounts	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Mutual Fund Stock (T. Rowe Price Blue Chip Growth)	A	Dividend	J	T	Buy	01/06/16	J		
121. Mutual Fund Stock (Dodge & Cox Balanced)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, pages 6, 9, lines 38, 93-96 - These retirement plans/investments were rolled over into the retirement plan listed in line 100. The plan on this line (100) also had purchases separate and apart from the line 38, 93-96 rollovers that were made from bi-monthly paychecks throughout the year (hence "various" used in column D(2) of line 100).

Part VII, pages 6, 8, lines 36, 44, 50, 70, 71, 72, 75 and 76 - These mutual funds were held in one account. The balances in them were moved into and consolidated with the same mutual funds in another held account. See lines 34, 35, 45, 49, 61, 62.

Part VII, page 10, line 121 - This mutual fund was inadvertently left out of last year's report and should have been included in it (the fund was purchased during the 2015 reporting period).

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mark A. Moreno**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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