

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dorsey, Jennifer A.	2. Court or Organization US District Court - Nevada	3. Date of Report 01/02/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address Lloyd D. George Federal Courthouse 333 Las Vegas Boulevard South Las Vegas, Nevada 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Family Trust #1
2.	Adjunct Professor (Part-Time)	William S. Boyd School of Law at University of Nevada, Las Vegas
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	William S. Boyd School of Law at University of Nevada, Las Vegas - Adjunct Professor	\$3,200.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Ainsworth Game Technology - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Horizon Ridge Professional Park, L.P.	B	Interest	J	W					
2. US Series EE Savings Bonds	A	Interest	J	T					
3. 529 (H)									
4. - American Funds Bond Fund of America 529	A	Interest	K	T					
5. - American Funds Growth Fund of America 529	A	Interest	L	T					
6. - American Funds Capital World Bond Fund 529	A	Interest	J	T					
7. - American Funds EuroPacific Growth Fund 529	A	Interest	J	T					
8. - American Funds New World Fund 529	A	Interest	J	T					
9. - American Funds US Govt Sec Fund 529	A	Interest	J	T					
10. - American Funds Fund of America 529	A	Interest	J	T					
11. Trust # 1 Accounts									
12. - Wells Fargo Cash Accounts	A	Interest	M	T					
13. - Morgan Stanley Cash Accounts	B	Interest	N	T					
14. - Clark County, NV Rental Property	E	Rent	N	R					
15. Stocks/Equities (H)									
16. - AES Corp stock	A	Dividend	J	T	Sold (part)	11/07/16	J		
17. - Allergan PLC stock	A	Dividend	J	T	Sold (part)	01/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy	04/05/16	J		
19. - Alphabet Inc. Class A stock (f/k/a Google)	A	Dividend	K	T	Sold (part)	01/21/16	J		
20.					Buy	04/22/16	J		
21.					Buy	06/24/16	J		
22. - Altria Group Inc stock	A	Dividend	J	T	Buy	07/26/16	J		
23.					Buy	07/27/16	J		
24. - Amgen Inc stock	A	Dividend	J	T	Buy	11/09/16	J		
25. - Amphenol Corp New Class A stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
26.					Sold (part)	11/14/16	J		
27. - Anadarko Pete stock	A	Dividend	J	T					
28. - Anheuser Busch Inbev SA stock	A	Dividend	J	T	Sold (part)	01/14/16	J		
29.					Buy	11/18/16	J		
30. - Apple Inc stock	A	Dividend	K	T	Sold (part)	01/21/16	J		
31.					Buy	06/24/16	J		
32. - Applied Materials Inc stock	A	Dividend	J	T	Sold (part)	07/19/16	J		
33.					Sold (part)	12/19/16	J		
34. - Bank of America Corp stock	A	Dividend	J	T	Buy	06/24/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Baxalta Inc stock	A	Dividend			Sold	01/12/16	J		
36. - Baxter Intl Inc stock	A	Dividend	J	T					
37. - Berkshire Hathaway Class B stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
38. - Biogen Inc stock	A	Dividend			Sold	08/04/16	J		
39. - Boeing Inc stock	A	Dividend	J	T	Buy	06/24/16	J		
40. - Boston Properties Inc stock	A	Dividend			Sold	10/21/16	J		
41. - Bristol Myers Squibb Co stock	A	Dividend	J	T	Buy	08/05/16	J		
42. - Buffalo Wild Wings Inc stock	A	Dividend			Sold (part)	01/21/16	J		
43.					Sold	06/16/16	J		
44. - CSX Corp stock	A	Dividend	J	T					
45. - CVS Health Corp stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
46. - Casey's General Stores Inc stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
47. - Celgene Corp stock	A	Dividend	K	T	Buy	06/24/16	J		
48.					Buy	08/05/16	J		
49. - Cerner Corp stock	A	Dividend	J	T	Buy	06/21/16	J		
50. - Chevron Corp stock	A	Dividend	J	T					
51. - Citigroup Inc stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - Coca Cola Co stock		Dividend	J	T	Buy	06/29/16	J		
53. - Cognizant Tech Solutions stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
54. - ConocoPhillips stock	A	Dividend	J	T	Sold (part)	01/12/16	J		
55.					Sold (part)	02/03/16	J		
56.					Buy	06/24/16	J		
57.					Buy	07/27/16	J		
58. - Constellation Brands Inc stock	A	Dividend			Sold	01/12/16	J		
59. - Corning Inc stock	A	Dividend	J	T	Buy	05/12/16	J		
60.					Sold (part)	12/19/16	J		
61. - Cubesmart stock	A	Dividend			Sold	10/21/16	J		
62. - Cummins Inc stock	A	Dividend	J	T	Sold (part)	12/08/16	J		
63. - Darden Restaurants stock	A	Dividend			Sold	01/05/16	J		
64. - Walt Disney Co Holding Company stock	A	Dividend	K	T	Buy	06/24/16	J		
65.					Buy	07/27/16	J		
66. - Dollar Tree Inc stock	A	Dividend	J	T	Buy	09/26/16	J		
67.					Sold (part)	01/21/16	J		
68.					Sold (part)	08/03/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. - Du Pont Ei De Nemours & Co stock	A	Dividend	J	T	Sold (part)	02/19/16	J		
70. - Duke Realty Corp stock	A	Dividend			Sold	10/21/16	J		
71. - EPR Pptys stock	A	Dividend			Sold	10/21/16	J		
72. - Ecolab Inc stock	A	Dividend	J	T	Buy	01/13/16	J		
73.					Sold (part)	01/21/16	J		
74. - Equinix Inc stock	A	Dividend			Sold	10/21/16	J		
75. - Equity One Inc stock	A	Dividend			Sold	10/21/16	J		
76. - Equity Residential stock	A	Dividend			Sold	10/21/16	J		
77. - Essex Property Trust Inc stock	A	Dividend			Sold	10/21/16	J		
78. - Express Scripts Hldg Co stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
79.					Sold (part)	04/07/16	J		
80. - Exxon Mobil stock	A	Dividend	J	T					
81. - FMC Corp stock	A	Dividend	J	T	Buy	12/12/16	J		
82. - Facebook Inc Class A stock	A	Dividend	J	T	Buy	06/24/16	J		
83.					Buy	11/03/16	J		
84. - Fastenal Co stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
85. - First Republic Bank	A	Dividend			Sold	08/13/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. - Fedl Rlty Invt Trust stock									
87. - Fleetcor Technologies stock	A	Dividend	J	T					
88. - General Growth Properties stock	A	Dividend			Sold	10/21/16	J		
89. - Gilead Science stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
90. - Halliburton Co stock	A	Dividend	J	T	Buy	02/22/16	J		
91.					Buy	06/24/16	J		
92. - Honeywell International Inc stock	A	Dividend	J	T					
93. - Host Hotel & Resorts Inc stock (Y)									
94. - Humana Inc stock	A	Dividend	J	T					
95. - Intel Corp stock	A	Dividend	J	T					
96. - Intuit Inc stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
97.					Sold (part)	11/14/16	J		
98. - JP Morgan Chase & Co stock	A	Dividend	J	T	Sold (part)	01/14/16	J		
99. - Johnson & Johnson stock	A	Dividend	J	T	Buy	06/24/16	J		
100. - Juniper Networks stock	A	Dividend	J	T					
101. - Eli Lilly & Co stock	A	Dividend			Sold	01/05/16	J		
102. - Lockheed Martin Corp stock	A	Dividend	J	T					

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103. - Lowes Companies Inc stock	A	Dividend	J	T	Buy	05/16/16	J		
104. - Marathon Petroleum Corp stock	A	Dividend	J	T					
105. - Mastercard Inc stock	A	Dividend	J	T	Sold (part)	01/12/16	J		
106.					Sold (part)	01/21/16	J		
107.					Sold (part)	10/07/16	J		
108. - Maximus Inc stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
109. - Merck & Co Inc stock	A	Dividend	J	T					
110. - Metlife Incorporated stock	A	Dividend	J	T	Buy	11/02/16	J		
111.					Buy	12/19/16	J		
112. - Microsoft Corp stock	A	Dividend	J	T	Buy	04/22/16	J		
113.					Buy	06/24/16	J		
114. - Middleby Corp stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
115. - Mondelez Intl Inc stock	A	Dividend			Sold	01/05/16	J		
116. - Monro Muffler & Brake stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
117. - Morgan Stanley stock	A	Dividend	J	T					
118. - Netflix Inc stock	A	Dividend			Sold	10/18/16	J		
119. - Nextera Energy Inc stock	A	Dividend	J	T	Buy	10/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Nike Inc stock	A	Dividend	J	T	Buy	03/23/16	J		
121.					Buy	06/24/16	J		
122. - Nordstrom Inc stock	A	Dividend			Sold	08/12/16	J		
123. - Novo Nordisk A/S ADR stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
124. - Oracle Corp stock	A	Dividend			Sold	01/12/16	J		
125. - Pebblebrook Hotel stock (Y)	A	Dividend							
126. - Pepsico Inc stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
127. - Perrigo Co stock	A	Dividend			Buy	04/05/16	J		
128.					Sold (part)	01/21/16	J		
129.					Sold	05/13/16	J		
130. - Philip Morris Intl Inc stock	A	Dividend	J	T					
131. - Phillips 66 stock	A	Dividend	K	T	Sold (part)	01/21/16	J		
132. - Priceline Grp Inc stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
133. - Procter & Gamble stock	A	Dividend			Sold	01/05/16	J		
134. - Prologis Inc stock	A	Dividend			Sold	10/21/16	J		
135. - Prudential Financial Inc stock	A	Dividend			Sold	10/27/16	J		
136. - Public Storage stock	A	Dividend			Sold	10/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Qualcomm Inc stock	A	Dividend	J	T	Buy	04/14/16	J		
138. - Raytheon Co stock	A	Dividend			Sold	01/14/16	J		
139. - Reynolds American Inc stock	A	Dividend	K	T					
140. - Royal Dutch Shell PLC stock	A	Dividend	J	T	Buy	06/24/16	J		
141. - SL Green Realty CP stock	A	Dividend			Sold	10/21/16	J		
142. - Schlumberger Ltd stock	A	Dividend	J	T					
143. - Sherwin Williams Company stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
144. - Simon Pty Group Inc stock	A	Dividend			Buy	02/05/16	J		
145.					Sold (part)	01/21/16	J		
146.					Sold (part)	07/06/16	J		
147.					Sold	10/21/16	J		
148. - Starbucks Corp stock	A	Dividend	J	T	Buy	04/22/16	J		
149.					Buy	06/24/16	J		
150. - Sun Communities Inc stock	A	Dividend			Sold	10/21/16	J		
151. - Sunstone Hotel Investors Inc stock	A	Dividend			Sold	10/21/16	J		
152. - TJX Cos Inc stock	A	Dividend	J	T	Sold (part)	01/05/16	J		
153.					Sold (part)	01/21/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Target Corporation stock	A	Dividend	J	T	Buy	06/24/16	J		
155. - Teradata Corp stock	A	Dividend	J	T	Buy	11/17/16	J		
156. - Tractor Supply Co stock	A	Dividend	J	T	Buy	01/12/16	J		
157.					Sold (part)	01/21/16	J		
158.					Buy	12/07/16	J		
159. - Tyson Foods Inc stock	A	Dividend	J	T	Sold (part)	01/06/16	J		
160.					Sold (part)	02/09/16	J		
161.					Sold (part)	03/15/16	J		
162. - Union Pacific Corp stock	A	Dividend	J	T	Sold (part)	01/21/16	J		
163.					Sold (part)	10/07/16	J		
164. - United Technologies Corp stock	A	Dividend	J	T					
165. - Unumprovident Corp stock	A	Dividend	J	T					
166. - Valero Energy CP stock	A	Dividend	J	T					
167. - VCA Antech Inc stock	A	Dividend	J	T	Buy	04/14/16	J		
168. - Ventas Inc stock (Y)	A	Dividend							
169. - Verizon Communications stock	A	Dividend	J	T					
170. - Visa Inc stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Vornado Realty Trust stock	A	Dividend			Sold	10/21/16	J		
172. - Wells Fargo & Co stock	A	Dividend	J	T	Sold (part)	01/05/16	J		
173.					Sold (part)	01/21/16	J		
174. - Welltower Inc stock	A	Dividend			Sold	10/21/16	J		
175. - Williams Co Inc stock	A	Dividend	J	T					
176. ETF/Equity Index Holdings (H)									
177. - Guggenheim Enhanced Short Duration ETF	A	Dividend	J	T	Sold (part)	07/13/16	J		
178. - Guggenheim S&P 500 Equal Weight ETF	A	Dividend			Sold	09/08/16	J		
179. - First Trust Large Cap Core ETF	A	Dividend	J	T					
180. - First Trust Dorsey Wright ETF	A	Dividend			Sold	01/14/16	J		
181. - O'Shares FTSE US Quality ETF	A	Dividend	J	T	Buy	12/06/16	J		
182. - iShares Core S&P Total US Stock ETF	A	Dividend	K	T					
183. - S&P 500 Index Fund ETF	A	Dividend	K	T					
184. - iShares Core US Aggregate ETF	A	Dividend	J	T					
185. - iShares MSCI EAFE ETF	A	Dividend			Sold	05/11/16	J		
186. - iShares MSCI Emerging Markets ETF	A	Dividend	J	T	Buy	07/13/16	J		
187. - iShares US Real Estate ETF	A	Dividend			Buy	07/13/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188.					Sold (part)	04/07/16	J		
189.					Sold	10/13/16	J		
190. - iShares MSCI USA Min Vol ETF	A	Dividend	K	T	Buy	04/07/16	J		
191. - iShares Short Maturity Bond ETF	A	Dividend			Sold	07/13/16	J		
192. - JPM Diversified Ret Intl Equity ETF	A	Dividend	J	T	Buy	05/11/16	J		
193.									
194. - Mainstay Def Term Muni Opp ETF	A	Dividend			Sold	09/16/16	J		
195. - Powershares DB Base Metals FD ETF	A	Dividend	J	T	Buy	07/13/16	J		
196. - Powershares QQQ ETF	A	Dividend	J	T	Sold (part)	02/11/16	J		
197.					Buy	10/13/16	J		
198. - SPDR Blackstone GSO Sen Loan ETF	A	Dividend	J	T					
199. - SPDR S&P Midcap 400 ETF	A	Dividend			Sold	06/07/16	J		
200. - SPDR Barcap Short Term High Yield ETF	A	Dividend	J	T	Buy	02/11/16	J		
201. - SPDR Financial Select Sector ETF	A	Dividend			Sold (part)	01/15/16	J		
202.					Sold (part)	01/21/16	J		
203.					Sold (part)	01/22/16	K		
204.					Sold	01/22/16	K		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Energy Select Sector SDPR ETF	A	Dividend	J	T	Buy	12/06/16	J		
206. - Wisdomtree Trust Japan Hedge ETF	A	Dividend			Buy	01/05/16	J		
207.					Sold (part)	01/15/16	J		
208.					Sold (part)	01/21/16	J		
209.					Sold (part)	01/22/16	K		
210.					Sold (part)	01/22/16	K		
211.					Sold	06/15/16	J		
212. - WT BBRG DLR Bull ETF	A	Dividend	J	T	Buy	05/11/16	J		
213. Mutual Funds (H)									
214. - American Century Equity Inc Inv	A	Interest	L	T	Buy	06/14/16	K		
215.					Buy	06/15/16	J		
216.					Buy	10/21/16	J		
217.					Buy	12/12/16	J		
218.					Buy	12/30/16	J		
219. - American Century Emerg Mkts Inv	A	Interest	K	T	Buy	10/10/16	K		
220.					Buy	10/21/16	J		
221.					Buy	12/15/16	J		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold (part)	12/12/16	J		
223. - Brandes Intl Small Cap Equity	A	Interest	L	T	Buy	01/15/16	J		
224.					Buy	01/22/16	J		
225.					Buy	02/05/16	J		
226.					Buy	10/21/16	J		
227.					Buy	12/30/16	J		
228.					Sold (part)	01/21/16	J		
229. - Columbia Contrarian Core	A	Interest	K	T	Sold (part)	01/15/16	J		
230.					Sold (part)	01/22/16	K		
231.					Buy	10/10/16	K		
232. - Columbia Dividend Inc Z	A	Interest	M	T	Buy	01/15/16	K		
233.					Buy	01/22/16	L		
234.					Buy	02/05/16	J		
235.					Buy	06/14/16	J		
236.					Buy	06/15/16	J		
237.					Buy	10/21/16	J		
238.					Buy	12/12/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Buy	12/15/16	J		
240. - Columbia Sel Large Cap Value Z	A	Interest	L	T	Buy	01/22/16	L		
241. - Deutsche Real Estate Secs	B	Interest			Sold (part)	01/22/16	K		
242.					Sold	06/15/16	J		
243. - EV Floating Rate I	A	Interest	L	T	Buy	06/15/16	J		
244.					Buy	10/10/16	K		
245.					Buy	10/21/16	K		
246.					Buy	12/12/16	K		
247. - Oakmark I	A	Interest			Sold (part)	01/15/16	J		
248.					Sold	01/22/16	K		
249. - Oakmark International I	A	Interest			Sold (part)	01/21/16	J		
250.					Sold	01/22/16	K		
251. - Ivy Intl Core Equity I	C	Interest			Buy	01/15/16	J		
252.					Buy	01/22/16	K		
253.					Buy	06/23/16	K		
254.					Buy	02/05/16	J		
255.					Sold (part)	06/14/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Dorsey, Jennifer A.

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01/02/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256.					Sold	06/15/16	K		
257. - Ivy Sci & Tech I	B	Interest			Sold (part)	01/15/16	J		
258.					Sold (part)	01/21/16	J		
259.					Sold	01/22/16	K		
260. - Clearbridge Aggressive Growth I	B	Interest	L	T	Buy	06/15/16	J		
261.					Sold (part)	01/15/16	J		
262.					Sold (part)	01/22/16	K		
263. - First Trust Preferred Sec & Inc I	A	Interest	K	T	Buy	06/14/16	K		
264.					Buy	06/15/16	J		
265. - Mainstay Tax Free Bond I	A	Interest	J	T	Buy	10/26/16	J		
266. - Neuberger Berman Soc Resp Inst	B	Interest			Buy	06/14/16	J		
267.					Sold (part)	01/05/16	J		
268.					Sold (part)	01/15/16	J		
269.					Sold (part)	01/22/16	K		
270.					Sold	10/10/16	K		
271. - Neuberger Berman Intl Eq Inst	A	Interest	M	T	Buy	01/15/16	J		
272.					Buy	01/22/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Dorsey, Jennifer A.

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01/02/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
273.					Buy	02/05/16	J		
274.					Buy	10/21/16	J		
275.					Buy	12/30/16	J		
276.					Sold (part)	01/21/16	J		
277.					Sold (part)	06/15/16	J		
278. - Neuberger Intrinsic Value Inst	A	Interest	K	T	Buy	06/14/16	J		
279.					Buy	06/15/16	J		
280.					Sold (part)	01/21/16	J		
281.					Sold (part)	01/22/16	K		
282. - Neuberger Abs Ret Multi Mgr I	A	Interest			Sold (part)	01/15/16	J		
283.					Sold (part)	01/21/16	J		
284.					Sold	01/22/16	K		
285. - Nuveen Muni Tot Ret Mngd Accts	A	Interest	M	T					
286. - Nuveen Symp Floating Rt Inc I	A	Interest	K	T	Buy	10/13/16	J		
287.					Buy	10/26/16	K		
288. - Principal Preferred Sec Inst NBR	A	Interest	K	T	Buy	01/15/16	J		
289.					Buy	01/22/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290.					Buy	02/05/16	J		
291.					Buy	12/30/16	J		
292.					Sold (part)	06/14/16	K		
293.					Sold (part)	06/15/16	J		
294. - Prudential Jennison SM Comp Z	A	Interest	K	T	Buy	01/05/16	J		
295.					Buy	01/08/16	J		
296.					Buy	12/12/16	K		
297.					Buy	12/30/16	J		
298.					Sold (part)	01/15/16	J		
299.					Sold (part)	01/21/16	J		
300.					Sold (part)	01/22/16	K		
301. - Ridgeworth SEIX FT/RX Hi Inc	A	Interest	J	T	Buy	12/08/16	J		
302. - Victory TrivInt Intl Sml Cap Y	A	Interest			Sold	05/16/16	J		
303. - Virtus Insight Emerg Mkts I	B	Interest			Sold (part)	01/15/16	J		
304.					Sold (part)	01/21/16	J		
305.					Sold	01/22/16	K		
306. - Western Asset Core Plus BD I NBR	A	Interest	M	T	Buy	01/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Dorsey, Jennifer A.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307.					Buy	01/22/16	L		
308.					Buy	06/14/16	K		
309.					Buy	06/15/16	J		
310.					Buy	12/15/16	J		
311.					Sold (part)	10/10/16	K		
312. - Western Asset Int Term Muni	A	Interest	K	T	Buy	10/26/16	K		
313. - Western Asset Macro Opport I	A	Interest			Sold	01/22/16	J		
314. Fixed Income /Bonds (H)									
315. - Chaseflex Trust 2005-1	B	Interest	K	T					
316. - Delta Airlines 2010-2A	A	Interest	J	T					
317. - NAA 2006-AP1	A	Interest	J	T					
318. - Boone Cnty Ky School Dist	A	Interest	J	T	Buy	02/03/16	J		
319. - Chaffey Calif United HS	A	Interest	J	T					
320. - Connecticut St Spl Tax Oblig	A	Interest	K	T					
321. - Johnson Cnty Kansas Uni School	A	Interest	J	T	Buy	08/11/16	J		
322. - Kentucky St Prop & Bldgs Comm Rev Ref Proj 100-A	A	Interest	K	T					
323. - Madison Wis Genl Oblig	A	Interest			Sold	08/09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. - Massachusetts St Genl Oblig	A	Interest	J	T					
325. - Metropolitan Atlanta Rapid Tran Auth GA Sales Tax Rev	A	Interest			Sold	04/12/16	K		
326. - Miami-Dade Cnty FL Spl Oblig Ser	B	Interest	L	T					
327. - Ohio State Infrastr Impt	A	Interest	K	T					
328. - Port Auth NY & NJ Consolidated Rev	A	Interest	K	T	Buy	06/12/16	K		
329. - Seattle Wash Wtr Sys Rev	A	Interest	J	T					
330. - Texas St Mobility Fund Rev	A	Interest	K	T	Buy	04/13/16	K		
331. Additional Information For Amended Report (H)									
332. - Alexandria Real Estate EQ Inc stock	A	Dividend			Sold	10/21/16	J		
333. - American International Group Inc stock	A	Dividend			Sold	01/14/16	J		
334. - American Tower REIT company stock	A	Dividend			Sold	10/21/16	J		
335. - Apurt Invt & Mgmt Co Class A stock	A	Dividend			Sold	10/21/16	J		
336. - Avalonbay Comm Inc stock	A	Dividend			Sold	10/21/16	J		
337. - Vanguard Health Care ETF	C	Dividend			Sold (part)	01/15/16	J		
338.					Sold (part)	01/21/16	J		
339.					Sold	01/22/16	K		
340. - DDR Corp stock (see explanation in Part VIII)	A	Dividend			Sold (part)	02/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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Name of Person Reporting

Dorsey, Jennifer A.

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01/02/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341.					Sold	10/21/16	J		
342. - First Industrial Realty Inc stock (see explanation in Part VIII)	A	Dividend			Sold (part)	02/16/16	J		
343.					Sold (part)	03/23/16	J		
344.					Sold (part)	04/18/16	J		
345.					Sold (part)	06/08/16	J		
346.					Sold	10/21/16	J		
347.									
348.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Investments and Trusts

- Trust #1 notation includes all relevant investment, brokerage and retirements accounts held via family trust (filer [REDACTED] being co-trustees) and/or beneficiary at Morgan Stanley Smith Barney. Holdings are included under Trust #1 and itemized individually for ease of reference.
- Line 14 asset listed was acquired in prior year and disclosed with purchase price and transaction details. There is no other reportable transaction beyond Column B in 2016.
- Line 86 (Fedl Rlty Invt Trust) does not meet the reporting threshold requirement and is no longer applicable. There were no reportable transactions during the reporting period.
- Line 93 (Host Hotel & Resorts) does not meet the reporting threshold requirement and is no longer applicable. There were no reportable transactions during the reporting period.
- Line 125 (Pebblebrook Hotel) does not meet the reporting threshold requirement and is no longer applicable. There were no reportable transactions during the reporting period.
- Line 168 (Ventas) does not meet the reporting threshold requirement and is no longer applicable. There were no reportable transactions during the reporting period.

ADDITIONAL INFORMATION IN RESPONSE TO LETTER OF INQUIRY

- The asset listed at line 475 of Amended 2015 report (Dollar Tree) is listed at line 66 of the 2016 Report and reportable transactions are identified at lines 66-68 of the 2016 Report.
- The updated information for remaining holdings outlined in Letter of Inquiry (DDR Corp and First Industrial Realty Inc stocks) are set forth at lines 340-346 in Section VII of the Amended Report. Each transaction was below the amount threshold identified in instructions. Out of abundance of caution, all transactions in 2016 year are set forth and both holdings were completely disposed of in 2016.

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Dorsey, Jennifer A.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jennifer A. Dorsey**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544