

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Newman, Jon O.	2. Court or Organization U.S. Court of Appeals for the Second Circuit	3. Date of Report 06/02/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 40 Foley Square New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Corporator	Hartford Hospital
2.	Corporator	Institute of Living
3.	Elector	Hartford Atheneum
4.	Life Regent	University of Hartford
5.	Trustee	No. 1 Famly Trust (assets listed in Part VII, lines 101-125)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 9/19/16	University of North Carolina Press royalty	\$89.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Houston	June 3-4, 2016	Sante Fe, NM	attend conference on intellectual property	round trip air fare Sarasota to Santa Fe, two nights lodging, and meals
2. Yale University	Sept. 21-24, 2016	New Haven, CT	attend Global Constitutional Seminar	breakfasts and parking three days
3. Duke University	July 22-2016	Washington, D.C.	attend conferebce on class action rules	round trip train fare New York City to Washington D.C.
4. Opperman Foundation	Dec. 7-8, 2016	Washington D.C.	attend ceremony to receive Devitt Award	round trip air fare Saecasota to Washington D.C. and lodging two nights
5. Harvard University	Sept. 7-8, 2016	Cambridge, Mass.	attend seminar with Chinese judges	tround trip travel New York to Cambridge, Mass.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Yale University	three nights lodging, lunches, and dinners at Global Constitutional seminar	\$900.00
2.	Duke University	two nights lodging at conference on class action rules	\$398.00
3.	Opperman Foundation	Devitt Award	\$15,000.00
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank accounts and cash (H):									
2. Bank of America -- Sarasota, FL -- Checking Acct.	A	Interest	M	T					
3. Wells Fargo Bank		None	J	T					
4. Morgan Stanley account (H):									
5. Morgan Stanley Active Assets Tax Free Trust	A	Interest	P1	T					
6. stocks (H):									
7. Air Products	C	Dividend	M	T					
8. Adient		None	K	T	Buy	10/31/16	J		
9. Cisco	B	Dividend	L	T					
10. Cummins	C	Dividend	M	T					
11. Devon Energy	B	Dividend	L	T					
12. Express Scripts		None	M	T					
13. Johnson and Johnson	C	Dividend	M	T					
14. Johnson Controls (see Part VIII)	C	Dividend			Closed	09/06/16	M	G	
15. Johnson Control Intl (see Part VIII)	E	Dividend	M	T	Spinoff (from line 14)	09/06/16	M		
16. Merck	D	Dividend	M	T					
17. Microsoft	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$500,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Minnesota Mining and Manufacturing	C	Dividend	M	T					
19. Royal Dutch Shell	D	Dividend	M	T					
20. Total Fina	D	Dividend	M	T					
21. Versum		None	K	T	Buy	10/16/16	K		
22. mutual funds (H):									
23. Calamos Growth & Income C Fund	D	Distribution	M	T					
24. Dreyfus Premier Technology Fund	A	Distribution	K	T					
25. Fed Max Cap Fund	B	Distribution	K	T					
26. Henderson Int'l Op. Fund	A	Dividend	K	T					
27. Invesco Balanced Risk Alloc, C Fund	B	Distribution	L	T					
28. Mainstay Large Cap Fund	B	Dividend	K	T					
29. Oppenheimer Mid Cap Revenue Fund	A	Dividend	L	T					
30. Oppenheimer Small Cap Revenue Fund	A	Dividend	L	T					
31. Prudential Jennison Small Cap CI C Fund	C	Distribution	K	T					
32. Schwab 1000 Fund	B	Distribution	L	T					
33. SPDR Barcap Short Term Hi Yield Fund	B	Dividend	K	T					
34. bonds (H):									

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35. Bay County FL. School Board '19 4.25	C	Interest	M	T					
36. Bound Brook NJ '21 4.0	B	Interest	L	T					
37. Clark Cty Nev. '21 5.0	B	Interest	L	T					
38. CT G.O. Ser. C '16 5.0	B	Interest			Matured	06/01/16	K		
39. CT G.O. Ser. E '16 5.0	B	Interest			Matured	12/15/16	L		
40. CT Health & Ed. '19 5.0	A	Interest	L	T					
41. CT Health '16 5.0	C	Interest			Matured	07/01/16	L		
42. Dallas Tex '17 5.0	B	Interest	L	T					
43. Danbury CT. '19 2.5	B	Interest	L	T					
44. Duncanville TX '18 0		None	K	T					
45. Florida Hurricane '16 5.0	B	Interest			Matured	07/01/16	L		
46. Hawaii G.O. '18 4.0	A	Interest	L	T					
47. Jackson County '18 4.0	B	Interest	L	T					
48. LaPorte Ind		None	L	T	Buy	10/25/16	L		
49. Lee County School Bd. '17 4.0	B	Interest	L	T					
50. Mich St Strat. '20 5.0	C	Interest	M	T					
51. Northern Mun. Pwr Agy Minn '17 5.0	A	Interest	L	T					

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52. N.Y. Housing Develop. '18 2.25	A	Interest	L	T					
53. Oxford Mass G.O. '19 2.0	A	Interest	L	T					
54. Palm Beach Cty FL '27 4.375	B	Interest	L	T					
55. Penn. Higher Ed.	B	Interest	L	T	Buy	09/20/16	L		
56. Penn Pub. School '18 5.0	B	Interest	L	T					
57. Spokane Wash. '20 5.0	B	Interest	L	T					
58. Tampa Bay FL. Water '18 5.0	B	Interest	L	T					
59. Univ. CT '16 5.0	B	Interest			Matured	02/16/16	K		
60. Wells Fargo Account (H):									
61. Wells Fargo Advisors, Sarasota FL Money Market Acct	A	Interest	J	T					
62. stocks (H):									
63. Aflac	A	Dividend	J	T					
64. AT&T	A	Dividend	J	T	Buy (add'l)	03/04/16	J		
65. Amgen	A	Dividend	J	T					
66. Apple	A	Dividend	J	T					
67. Berkshire Hathaway		None	J	T					
68. Boeing	A	Dividend			Sold	02/11/16	J		

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69. Cisco	A	Dividend	J	T	Buy	05/25/16	J		
70. Colgate-Palmolive	A	Dividend	J	T					
71. Dow Chemical	A	Dividend	J	T					
72. DuPont	A	Dividend			Sold	08/16/16	J	A	
73. ExxonMobil	A	Dividend	J	T					
74. General Electric	A	Dividend	J	T					
75. General Mills	A	Dividend	J	T					
76. Gilead Sciences	A	Dividend			Sold	07/27/16	J		
77. Home Depot	A	Dividend	J	T	Buy	08/16/16	J		
78. Illinois Tool Works	A	Dividend	J	T					
79. Intel	A	Dividend	J	T					
80. Johnson & Johnson	A	Dividend	J	T					
81. JP Morgan Chase	A	Dividend	J	T					
82. Kimberly-Clark	A	Dividend	J	T					
83. Merck	A	Dividend	J	T					
84. Nextera Energy	A	Dividend	J	T					
85. Pfizer	A	Dividend	J	T	Buy (add'l)	07/15/16	J		

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86. Procter & Gamble	A	Dividend	J	T	Buy (add'l)	03/04/16	J		
87. UGI Corp.	A	Dividend	J	T					
88. US Bancorp	A	Dividend	J	T					
89. Verizon	A	Dividend	J	T	Buy	07/27/16	J		
90. Merrill Edge Account (H)									
91. Merrill Lynch direct deposit program		None	K	T					
92. Ishares core S&P small cap etf fund	A	Dividend	K	T					
93. Ishares S&P small cap 600 growth	A	Dividend	K	T					
94. Powershares QQQ Trust Units Ser. 1 Fund	A	Dividend	K	T					
95. Vanguard Mid-Cap Value Index Funs	A	Dividend	K	T					
96. Apple Inc.	A	Dividend	K	T					
97. Other (H):									
98. 1/2 Int. W. Htfd. CT., Condo purchased 8/9/88 \$115,000		None	L	R					
99. Thames & Hudson Royalty Contract	A	Royalty	J	W					
100. AIG Annuity (IRA)	B	Interest	K	T					
101. AIG Annuity (IRA)	A	Interest	J	T					
102. Trust No. 1 (H):									

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103. - Morgan Stanley Active Assets Tax Free Trust	A	Int./Div.	M	T					
104. - stocks (H):									
105. - Adient		None	J	T	Buy	10/31/16	J		
106. - Corning	B	Dividend	J	T					
107. - Exxon Mobil	D	Dividend	J	T					
108. - Honeywell	B	Dividend	J	T					
109. - Insteel	B	Dividend	J	T					
110. - Johnson Controls Intl (see Part VIII)	A	Dividend	J	T	Spinoff (from line 118)	09/06/16	J		
111. - Koninklijke Phillips	A	Dividend	J	T					
112. - Mallinckrodt		None	J	T					
113. - M & T Bank	B	Dividend	J	T					
114. - Medtronic	A	Dividend	J	T					
115. - Norfolk Southern	B	Dividend	J	T					
116. - Pfizer	B	Dividend	J	T					
117. - The ADT Corp.		None			Sold	05/02/16	J	A	
118. - Tyco Int'l (see Part VIII)	A	Dividend			Closed	09/06/16	J	A	
119. - mutual funds (H):									

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. - Federated Municipal High Yield Advantage A Fund	D	Int./Div.	N	T					
121. - bonds (H):									
122. - Bridgeport, CT '19 5.5	D	Interest	M	T					
123. - CT St Spl Tax '20 3.9	B	Interest	L	T					
124. - Miami-Dade County FL Sch. Bd. '17 4.0	C	Interest	L	T					
125. - New York NY Prior Issues '17 5.0	B	Interest	K	T					
126. - Penn. ST Higher ed. '18 5.0	B	Interest	L	T					
127. - Washington State mtr fuel '20 5.0	B	Interest	L	T					
128. - Trust No. 2:									
129. - brokerage account No. 1 (H):									
130. - Morgan Stanley Active Assets Tax Free Trust	A	Int./Div.	O	T					
131. - stocks (H):									
132. - 3M	C	Dividend	M	T					
133. - Adobe Systems		None	L	T					
134. - Agilent	A	Dividend	K	T					
135. - American Express	B	Dividend	L	T					
136. - Automatic Data Processing	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Newman, Jon O.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Baxter Int'l	B	Dividend	M	T					
138. - Becton Dickinson	C	Dividend	N	T					
139. - Cigna	A	Dividend	M	T					
140. - Dominion Resources	D	Dividend	M	T					
141. - Edwards Life Resources		None	M	T					
142. - Emerson Electric	B	Dividend	L	T					
143. - Express Scripts		None	K	T					
144. - General Mills	D	Dividend	M	T					
145. - Home Depot	D	Dividend	N	T					
146. - Intel	C	Dividend	M	T					
147. - IBM	A	Dividend	K	T					
148. - McDonalds	C	Dividend	M	T					
149. - Microsoft	C	Dividend	M	T					
150. - Plum Creek Timber (see Part VIII).		None			Closed	02/22/16	J		
151. - Procter & Gamble		None	L	T					
152. - RPM Int'l	D	Dividend	N	T					
153. - Shire	A	Dividend	M	T	Buy	06/03/16	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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154. - Travelers	B	Dividend	L	T					
155. - T Rowe Price	B	Dividend	K	T					
156. - Weyerhaeuser (see Part VIII).	B	Distribution	K	T	Spinoff (from line 150)	02/22/16	J		
157. - bonds (H):									
158. - Bethel CT '17 3.0	B	Interest			Redeemed	11/15/16	K		
159. - Carbon Cnty PA '20 5.0	A	Interest	L	T	Buy	4/19/16	L		
160. - Clark County Nev '17 3.0	B	Interest	L	T					
161. - Cromwell CT '20 4.0	B	Interest	L	T					
162. - CT Health & Ed '17 5.0	C	Interest	L	T					
163. - CT Mun. Elec. '16 4.0		None			Matured	06/01/16	K		
164. - Guilford CT '18 3.0	B	Interest	L	T					
165. - FY1 PPTYS Wash. Lease '18 5.0	B	Interest	L	T					
166. - Harrison NJ '20 3.0	B	Interest	L	T					
167. - Hartford County '18 3.5	B	Interest	L	T					
168. - Indiana St Fin '19 5.0	C	Interest	L	T					
169. - Morgan Stanley Active Assets Tax Free Trust	A	Interest	M	T					
170. - Newtown CT '16 2.25	A	Interest			Matured	02/16/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - New York City Turst for Cultural Reserve '21 5.0	B	Interest	K	T					
172. - New York ST Urban Dev '19 5.50	C	Interest	L	T					
173. - N.Y. Dorm. Auth. '17 5.0	B	Interest	L	T					
174. - Tampa FL '18 4.0	B	Interest	K	T					
175. - Southington CT '18 3.5	B	Interest	L	T					
176. - Univ. Hawaii '16 4.0	B	Interest			Matured	10/03/16	K		
177. - Washington Health '23 5.25	B	Interest	L	T					
178. - brokerage account No. 2 (H):									
179. - Mongan Stanley, New Haven, CT Money Market Acct.	A	Interest	N	T					
180. - stocks (H):									
181. - Abbott Labs	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
182. - Abbvie	A	Dividend	J	T					
183. - Ace Ltd. (see Part VIII)	A	Dividend			Closed	01/19/16	J		
184. - Air Products	A	Dividend	J	T					
185. - Alexion Pharm	B	Distribution			Sold	09/09/16	J		
186. - Alphabet Inc.		None	J	T	Buy (add'l)	03/16/16	J		
187. - American Express	A	Dividend	J	T	Sold (part)	03/16/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Anheuser Busch	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
189. - Apple	A	Dividend	J	T					
190. - ASML Holding		None	J	T					
191. - Automatic Data Processing	A	Dividend	J	T					
192. - Blackrock	A	Dividend	J	T					
193. - CDN Pacific RY	A	Dividend	J	T					
194. - Celgene		None	J	T					
195. - Chevron	A	Dividend	J	T					
196. - Chubb (see Part VIII)	A	Dividend	J	T	Buy	01/19/16	J		
197. - Coca-cola	A	Dividend	J	T					
198. - Comcast	A	Dividend	J	T					
199. - Conoco	A	Dividend	J	T					
200. - Constellation Brands	A	Dividend	J	T	Buy	01/26/16	J		
201.					Buy (add'l)	11/17/16	J		
202. - Diageo	A	Dividend			Sold	03/16/16	J		
203. - Estee Lauder	A	Dividend	J	T					
204. - Exxon Mobil	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Facebook		None	J	T	Buy (add'l)	03/16/16	J		
206.					Buy (add'l)	12/30/16	J		
207. - Franklin Resources		None			Sold	01/26/16	J		
208. - Gilead Science	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
209. - IBM		None			Sold	01/26/16	J		
210. - Intercontinental Exchange Group (see Part VIII)	A	Dividend	J	T					
211.					Buy (add'l)	12/30/16	J		
212. - Intuitive Surgical		None	J	T	Buy	11/17/16	J		
213. - JP Morgan Chase	A	Dividend	J	T					
214. - McDonalds	A	Dividend	J	T					
215. - Microsoft	A	Dividend	J	T					
216. - Nestle	A	Dividend	J	T					
217. - Nike	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
218. - Novartis	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
219. - Novo-Nordisk	A	Dividend	J	T					
220. - Occidental Petroleum	A	Dividend	J	T					
221. - Oracle	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Pepsico	A	Distribution	J	T					
223. - Phillip Morris	A	Dividend	J	T					
224. - Praxair	A	Distribution	J	T					
225. - Qualcomm	A	Dividend			Sold	03/16/16	J		
226. - Procter & Gamble	A	Dividend	J	T					
227. - Roche Holding	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
228. - Sabmiller		None			Sold	03/16/16	J	A	
229. - State St. Corp.	A	Dividend	J	T					
230. - Target	A	Dividend	J	T					
231. - Texas Instruments	A	Dividend	J	T					
232. - Total	A	Dividend	J	T					
233. - Twenty-first Century Fox	A	Dividend	J	T	Sold (part)	03/16/16	J	A	
234.					Buy (add'l)	12/30/16	J		
235. - Union Pacific	A	Dividend	J	T					
236. - United Health	A	Dividend	J	T	Buy	09/09/16	J		
237. - United Technologies	A	Dividend	J	T					
238. - Verisign		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - Visa	A	Dividend	J	T	Buy (add'l)	04/20/16	J		
240.					Buy (add'l)	12/30/16	J		
241. - Walgreen	A	Dividend	J	T					
242. - Walt Disney	A	Dividend	J	T					
243. - Wells Fargo	A	Dividend	J	T					
244. - Xilinx	A	Dividend	J	T					
245. - exchange traded and closed end funds (H):									
246. - Alerian MLP Exchange Traded Fund	C	Dividend	K	T					
247. - PowerShares Russell Midcap Pure Growth Fund	A	Dividend	K	T					
248. - PowerShares Russell Midcap Pure Value Fund	A	Dividend	K	T					
249. - SPDR Dow Jones Wilshire Int'l Real Est. Fund	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
250. - SPDR Nuveen Barclays Fund (see Part VIII)		None			Closed	03/14/16	J		
251. - SPDR Nuveen Barclays Mun. Fund (see Part VIII)	A	Dividend	K	T	Spinoff (from line 250)	03/14/16	J		
252.					Buy (add'l)	12/30/16	J		
253. -Vanguard TTL World Stk Index Fund	B	Dividend	L	T					
254. - mutual funds (H):									
255. - Brandes Emergins Markets I Fund	A	Dividend	K	T					

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256. - Cohen & Steers Realty Fund	A	Dividend	K	T	Buy (add'l)	12/30/16	J		
257. - Davis NY Venture Fund	A	Dividend	K	T	Buy (add'l)	12/30/16	J		
258. - Franklin Conv. Sec. Adv. Fund	B	Dividend	K	T	Buy (add'l)	12/30/16	K		
259. - Invesco Premier Tx Exempt Inst. Fund	A	Dividend	J	T					
260. - Invesco Small Cap Value Fund	A	Dividend	K	T	Buy (add'l)	12/30/16	J		
261. - JP Morgan Dynamic Sm Cp Gr Sel Fund	A	Dividend	K	T	Buy (add'l)	12/30/16	J		
262. - Legg Mason WA Emerg. Mkt. Debt I Fund	B	Dividend	K	T					
263. - Mainstay Large Cap Grw I Fund	C	Distribution	K	T	Buy (add'l)	12/30/16	J		
264. - Mainstay Marketfield I Fund		None	J	T	Buy (add'l)	12/30/16	J		
265. - Nuveen limited term muni bond I Fund	A	Dividend	L	T	Buy (add'l)	12/30/16	J		
266. - Oppenheier Int'l Bond Y Fund	A	Distribution	K	T	Buy (add'l)	12/30/16	J		
267. - Prudential Jennison Mid Cap GW Z Fund	A	Distribution	J	T	Buy (add'l)	12/30/16	J		
268. - Trust No. 3:									
269. - brokerage account No. 1 (H):									
270. - Morgan Stanley Active Assets Tax Free Trust	A	Int./Div.	N	T					
271. - stocks (H):									
272. - 3M Co.	D	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Newman, Jon O.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Air Products	C	Dividend	M	T					
274. - Apache	B	Dividend	L	T					
275. - Apple	B	Dividend	L	T					
276. - Danaher	A	Dividend	L	T					
277. - Fortive	A	Dividend	K	T	Spinoff (from line 276)	07/08/16	J		
278. - Johnson & Johnson	C	Dividend	L	T					
279. - Versum		None	J	T	Spinoff (from line 273)	10/06/16	J		
280. - bonds (H):									
281. - Bay County FL School '18 3.5	B	Interest	L	T					
282. - CT Higher Ed. '17 3.85	B	Interest	K	T	Redeemed (part)	12/20/16	J		
283. - Griswold '18 3.0	B	Interest	L	T					
284. - Janesville Wis. Sch. '19 4.125	B	Interest	L	T					
285. - Marlborough CT '16 4.0	B	Interest			Matured	09/15/16	K		
286. - N.J. Transp. '17 5.0	B	Interest	L	T					
287. - Okla. Water Resources '19 5.0	B	Interest	L	T					
288. - San Buenaventura CA water '17 4.0	A	Interest	K	T					
289. - Southington CT '18 3.5	B	Interest	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Tampa FL Ubiv, '16 5.0	A	Interest			Matured	04/01/16	K		
291. - brokerage account No. 2 (H):									
292. - Morgan Stanley Active Assets Tax Free Trust	A	Int./Div.	M	T					
293. - stocks (H):									
294. - AIA Group	A	Dividend	J	T					
295. - Air Liquide	A	Dividend	J	T	Sold (part)	06/09/16	J		
296. - Alfa Laval	A	Dividend	J	T	Buy	02/12/16	J		
297. - Allianz	A	Dividend	J	T	Sold (part)	07/19/16	J	A	
298.					Buy (add'l)	11/16/16	J		
299. - American Express	A	Dividend	J	T	Buy (add'l)	01/25/16	J		
300. - Anheuser Busch	A	Dividend			Sold	11/16/16	J	A	
301. - Annaly Capital Mgmt	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
302. - Arm Holdings	A	Dividend			Sold	09/22/16	J	A	
303. - Aspen		None	J	T	Buy	10/12/16	J		
304.					Buy (add'l)	12/30/16	J		
305. - Atlas Copco	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
306. - Baidu		None	J	T	Buy (add'l)	06/10/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Banco Bilbao	A	Dividend	J	T	Buy (add'l)	11/16/16	J		
308. - Baxalta	A	Dividend			Sold	06/03/16	J	A	
309. - Baxter Int'l	A	Dividend			Sold	10/28/16	J	A	
310. - Bayer		None	J	T	Buy	09/08/16	J		
311.					Buy (add'l)	12/13/16	J		
312. - Bayerische Motore Werke	A	Dividend	J	T					
313. - BBA Aviation	A	Dividend	J	T	Buy	06/30/16	J		
314. - Bed, Bath & Beyond	A	Dividend			Sold	06/24/16	J		
315. - BG Group		None			Sold	03/07/16	J		
316. - Bunge	A	Dividend	J	T	Buy (add'l)	01/13/16	J		
317. - Canadian National Ry.	A	Dividend	J	T					
318. - Charles Schwab	A	Dividend	J	T	Buy	02/03/16	J		
319.					Sold (part)	11/22/16	J	B	
320. - Checkpoint		None	J	T	Buy	09/12/16	J		
321.					Buy (add'l)	12/30/16	J		
322. - Chevron	A	Dividend			Sold	11/14/16	J	A	
323. - Cisco	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - Coloplast	A	Dividend			Sold	11/29/16	J		
325. - Conoco Phillips		None	J	T	Buy	11/14/16	J		
326. - CSL Ltd.	A	Dividend	J	T					
327. - CVS Health		None	J	T	Buy	12/06/16	J		
328. - Dassault Systems	A	Dividend	J	T	Sold (part)	02/16/16	J	A	
329. - DBS Group	A	Dividend	J	T	Buy (add'l)	04/07/16	J		
330. - Diamond Offshore Drilling		None	J	T	Sold (part)	03/28/16	J		
331.					Buy (add'l)	01/13/16	J		
332.					Buy (add'l)	12/30/16	J		
333. - Ebay		None	J	T	Sold (part)	08/05/16	J	A	
334.					Buy (add'l)	06/28/16	J		
335. - Exelon	A	Dividend	J	T	Sold (part)	03/28/16	J		
336.					Buy (add'l)	01/13/16	J		
337.					Buy (add'l)	12/30/16	J		
338. - Express Scripts		None	J	T	Sold (part)	12/06/16	J	A	
339.					Buy (add'l)	12/30/16	J		
340. - Exxon Mobil	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
341. - Fanuc Ltd.	A	Dividend	J	T	Buy (add'l)	04/14/16	J		
342.					Sold (part)	12/14/16	J	A	
343. - Franklin Resiources	A	Dividend	J	T					
344. - Fresenius Medical Care	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
345. - Fuchs Petrolub	A	Dividend	J	T					
346. - Glaxo Smith Kline	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
347. - Grifols S.A.	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
348. - Grupo Televisa	A	Dividend	J	T	Buy	05/26/16	J		
349. - Honda	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
350. - Icici Bank		None			Sold	02/05/16	J		
351. - Icici Bank		None	J	T	Buy	12/14/16	J		
352. - HSBC Holdings		None	J	T	Buy	12/13/16	J		
353.					Buy (add'l)	12/30/16	J		
354. - Imperial Oil	A	Dividend			Sold	04/12/16	J		
355. - Itau Unibanco	A	Dividend	J	T	Sold (part)	03/29/16	J		
356.					Buy (add'l)	01/08/16	J		
357.					Buy (add'l)	12/30/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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358. - JGC	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
359. - Johnson & Johnson	A	Dividend	J	T					
360. - Kone Oyj	A	Dividend			Sold	11/02/16	J		
361. - Kubota	A	Dividend	J	T	Buy	05/26/16	J		
362.					Buy (add'l)	12/30/16	J		
363. - Linde AG	A	Dividend	J	T	Buy (add'l)	03/30/16	J		
364.					Buy (add'l)	12/30/16	J		
365. - L'Oreal	A	Dividend	J	T					
366. - LVMH Moet	A	Dividend	J	T					
367. - Mack Cali Realty		None	J	T	Sold (part)	07/20/16	J	A	
368.					Buy (add'l)	08/11/16	J		
369. - McKesson		None	J	T	Buy	12/06/16	J		
370.					Buy (add'l)	12/30/16	J		
371. - Medtronic	A	Dividend	J	T	Buy (add'l)	12/01/16	J		
372. - Microsoft	A	Dividend	J	T	Buy (add'l)	04/22/16	J		
373. - Mitsubishi	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
374. - Monotaro	A	Dividend	J	T	Buy (add'l)	01/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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375. - MTN Group	A	Dividend			Sold	10/24/16	J		
376. - Naspers Ltd.	A	Dividend	J	T	Buy (add'l)	02/10/16	J		
377. - Nestle	A	Dividend	J	T					
378. - Novo Nordisk		None			Sold	02/10/16	J	A	
379. - Park24		None	J	T	Buy	02/05/16	J		
380.					Buy (add'l)	12/30/16	J		
381. - Pepsico	A	Dividend	J	T	Sold (part)	02/17/16	J	A	
382. - PNC Financial	A	Dividend	J	T	Sold (part)	11/22/16	J	B	
383. - PPG	A	Dividend	J	T	Buy	11/04/16	J		
384. - Procter & Gamble	A	Dividend	J	T	Buy (add'l)	01/13/16	J		
385. - Qualcomm	A	Dividend	J	T					
386. - Roche	A	Dividend	J	T	Buy (add'l)	09/07/16	J		
387.					Buy (add'l)	12/30/16	J		
388. - Royal Dutch Shell	A	Dividend	J	T	Buy	02/25/16	J		
389. - Sands China Ltd.		None			Sold	01/26/16	J		
390. - SAP Ag	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
391.					Buy (add'l)	12/30/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

Newman, Jon O.

Date of Report

06/02/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. - Sasol	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
393. - Schlumberger	A	Dividend	J	T	Buy (add'l)	01/13/16	J		
394. - Shire		None	J	T	Buy	11/29/16	J		
395. - Sonova	A	Dividend	J	T					
396. - Southwestern Energy		None			Sold	01/27/16	J		
397. - Suntrust	A	Dividend	J	T					
398. - Svenska	A	Dividend			Sold	04/14/16	J	A	
399. - Symrise	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
400. - Sysmex	A	Dividend	J	T	Sold (part)	06/24/16	J	A	
401.					Buy (add'l)	12/30/16	J		
402. - Taiwan Semiconductor	A	Dividend	J	T					
403. - Target	A	Dividend	J	T	Buy (add'l)	05/18/16	J		
404. - Tenaris	A	Dividend	J	T	Buy	05/26/16	J		
405. - Torchmark	A	Dividend	J	T					
406. - Travelers	A	Dividend	J	T					
407. - Türkiye Garanti Bankası	A	Dividend	J	T	Buy (add'l)	01/08/16	J		
408. - US Bancorp	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Unicharm	A	Dividend			Sold	05/26/16	J	A	
410. - Unilever NV NY	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
411. - Verizon	A	Dividend	J	T	Buy	09/16/16	J		
412. - Wal-Mart	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
413. - Wells Fargo	A	Dividend	J	T					
414. - Whole Foods Markets	A	Dividend	J	T	Buy (add'l)	12/30/16	J		
415. - WPP PLC	A	Distribution	J	T					
416. - Exchange Traded & Closed End Funds:									
417. - iShares MSCI Small Cap Fund	B	Dividend	K	T					
418. - Mutual Funds (H):									
419. - Brandes Int'l small cap equity\ I Fund	B	Dividend	K	T					
420. - CRM Small Cap Value Inst. Fund	C	Dividend	M	T					
421. - Franklin Fed. Intm. Trm. Tx. Fr. Adv. Fund	C	Distribution	M	T	Buy (add'l)	12/30/16	J		
422. - Gabelli Equity Fund	A	Dividend	M	T	Buy (add'l)	12/30/16	J		
423. - Invesco Premier Fund	A	Dividend	K	T	Buy (add'l)	12/30/16	J		
424. - Lord Abbett Conv. Fund	D	Dividend	M	T					
425. - Lord Abbett Emerg. Mkts. Curr.Fund	C	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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426. - Nuveen Ltd. Term Mun. Bond Fund	A	Dividend	L	T	Buy (add'l)	12/30/16	J		
427. - Oppenheimer Int'l Bond Fund	A	Dividend	L	T	Buy (add'l)	12/30/16	J		
428. - Pimco Real Return Fnd	A	Dividend	L	T	Buy (add'l)	12/30/16	J		
429. - Thornburg Int'l Fund	A	Dividend	K	T	Buy (add'l)	12/30/16	J		
430. - Tweedy Browne Fund	A	Dividend	L	T	Buy (add'l)	12/30/16	J		
431. - Trust No. 4:									
432. - brokerage account No. 1 (H):									
433. - Morgan Stanley Active Assets Tax Free Trust	A	Dividend	N	T					
434. - stocks (H):									
435. - Apple	A	Dividend	K	T					
436. - Automatic Data Processing	A	Dividend	K	T					
437. - CDK Global	A	Dividend	J	T	Buy	02/07/16	J		
438. - DanaHER	B	Dividend	K	T					
439. - Ecolab	A	Dividend	M	T					
440. - Exxon Mobil	A	Dividend	L	T					
441. - Fortive	A	Dividend	J	T	Spinoff (from line 438)	07/08/16	J		
442. - Nike Class B	A	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - United Technologies	A	Dividend	L	T					
444. - bonds (H):									
445. - Kentucky St Prop '19 4.0	B	Int./Div.	L	T					
446. - N.J. St Transp. '17 5.75	C	Interest	L	T					
447. - NYC Transition Fin. '16 5.0	B	Interest			Matured	07/15/16	K		
448. - Southington CT '18 35	B	Interest	L	T					
449. - U.S. Treasury '18 9.0	B	Interest	J	T					
450. - brokerage account No. 2 (H):									
451. - Morgan Stanley Active Assets Tax Free Trust	A	Dividend	J	T					
452. - stocks (H):									
453. - Adient		None			Buy	10/28/16	J		
454.					Sold	11/01/16	J		
455. - AIA Group	A	Dividend	J	T					
456. - Air Liquide	A	Dividend	J	T					
457. - Alfa Laval	A	Dividend	J	T	Buy	02/12/16	J		
458. - Allergan		None	J	T					
459. - Allianz	A	Dividend	J	T					

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460. - AMC		None	J	T					
461. - Anadarko Petroleum	A	Dividend	J	T					
462. - Anheuser-Busch	A	Dividend			Sold	11/16/16	J	A	
463. - Anasys		None	J	T					
464. - Arn Holdings	A	Dividend			Sold	09/22/16	J	A	
465. - AspenTechnology\		None	J	T	Buy (add'l)	02/19/16	J		
466. - Atlas Copco	A	Dividend	J	T					
467. - Autodesk		None	J	T					
468. - Baidu		None	J	T	Buy (add'l)	06/16/16	J		
469. - Banco Bilbao	A	Dividend	J	T	Buy (add'l)	11/16/16	J		
470. - Bank of Hawaii	A	Dividend			Sold	04/14/16	J	A	
471. - Bank of the Ozaeks	A	Dividend	J	T	Buy	04/13/16	J		
472. - Bayer		None	J	T	Buy	09/08/16	J		
473.					Buy (add'l)	12/13/16	J		
474. - Bayerische Motoren Werke	A	Dividend	J	T					
475. - BBA Aviation	A	Dividend	J	T	Buy	06/20/16	J		
476. - Berkley W.R.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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477. - BG Group		None			Sold	03/17/16	J		
478. - Biogen		None	J	T	Buy (add'l)	02/03/16	J		
479.					Buy (add'l)	12/08/16	J		
480. - Broadcom	A	Dividend	J	T					
481. - Bunge Ltd.	A	Dividend	J	T					
482. - Canadian Nat. Ry.	A	Dividend	J	T	Buy	02/19/16	J		
483. - Carlisle (see note, Part VIII)	A	Dividend	J	T					
484. - CDW	A	Dividend	J	T	Buy (add'l)	02/24/16	J		
485. - Check Point		None	J	T	Buy	09/12/16	J		
486.					Buy (add'l)	10/12/16	J		
487. - Citrix		None	J	T					
488. - Coloplast	A	Dividend			Sold	11/29/16	J		
489. - Comcast	A	Dividend	J	T					
490. - Comscore (see Part VIII)		None			Spinoff (from line 557)	02/01/16	J		
491.					Sold	02/02/16	J		
492. - Cooper Co.	A	Dividend	J	T	Buy (add'l)	01/26/16	J		
493. - Core Labs	A	Dividend	J	T					

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494. - Cree	A	Dividend	J	T					
495. - CSL	A	Dividend	J	T					
496. - Dassault	A	Dividend	J	T	Sold (part)	02/16/16	J	A	
497. - DBS	A	Dividend	J	T	Buy (add'l)	04/07/16	J		
498. - Dentsply (see Part VIII)	A	Dividend			Spinoff (from line 569)	03/01/16	J		
499.					Sold	03/01/16	J		
500. - Discovery Communications		None	J	T	Buy (add'l)	08/31/16	J		
501. - Dolby	A	Dividend	J	T					
502. - DrillQuip	A	Dividend	J	T	Buy	09/29/16	J		
503. - Equifax	A	Dividend	J	T					
504. - Expeditors Int'l	A	Dividend	J	T					
505. - Factset Research	A	Dividend	J	T	Buy (add'l)	04/14/16	J		
506. - Fanuc	A	Dividend	J	T	Buy (add'l)	04/14/16	J		
507.					Sold (part)	12/14/16	J	A	
508. - Fastenal	A	Dividend	J	T					
509. - First Cash Fin. Svcs.	A	Dividend			Sold	02/26/16	J		
510. - Fluor	A	Distribution	J	T	Buy (add'l)	08/31/16	J		

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511. - Freeport McMoran		None	J	T	Buy (add'l)	09/12/16	J		
512. - Fresenius	A	Dividend	J	T					
513. - Fuchs Petrolub	A	Dividend	J	T					
514. - Grifols S.A.	A	Dividend	J	T	Buy (add'l)	11/13/16	J		
515. - Grupo Televisa	A	Distribution	J	T	Buy	05/26/16	J		
516. - HSBC Holdings		None	J	T	Buy	12/13/16	J		
517. - Icici		None			Sold	02/25/16	J		
518.			J	T	Buy	12/14/16	J		
519. - Immunogen		None	J	T	Buy (add'l)	08/31/16	J		
520. - Imperial Oil	A	Dividend			Sold	04/12/16	J		
521. - Ionis Pharmaceuticals (see Part VIII)		None	J	T	Buy (add'l)	11/02/16	J		
522. - Itau Unibanco	A	Dividend	J	T	Sold (part)	03/29/16	J		
523. - Jack Henry	A	Dividend	J	T	Sold (part)	01/22/16	J	A	
524. - JGC	A	Dividend	J	T					
525. Johnson Controls Intl (see Part VIII)	A	Dividend	J	T	Spinoff (from line 584)	09/06/16	J		
526. - Kone Oyj	A	Dividend			Sold	11/02/16	J		
527. - Kubota (see note, Part VIII)	A	Dividend	J	T	Buy	05/26/16	J		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. - L'Oreal	A	Dividend	J	T					
529. - L3 Comm.	A	Dividend	J	T					
530. - Landstar	A	Dividend	J	T					
531. - Liberty Broadband		None	J	T					
532. - Liberty Interactive Co. Inter A		None	J	T	Buy (add'l)	11/07/16	J		
533. - Liberty Media		None	J	T	Buy (add'l)	08/31/16	J		
534. - Linde AG		None	J	T	Buy (add'l)	03/20/16	J		
535. - Lions Gate		None	J	T	Buy	12/12/16	J		
536. - Livanova		None			Sold	08/08/16	J		
537. - LVMH Moet	A	Dividend	J	T					
538. - Manhattan Assoc.		None	J	T	Buy	11/29/16	J		
539. - Medtronics	A	Dividend	J	T					
540. - Mitsubishi	A	Dividend	J	T	Buy (add'l)	08/31/16	J		
541. - Monotaro	A	Dividend	J	T	Buy (add'l)	11/19/16	J		
542. - Monotype	A	Dividend	J	T	Buy (add'l)	08/03/16	J		
543. - MSCI Inc Com	A	Dividend	J	T					
544. - MTN Group	A	Dividend			Sold	10/24/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. - Naspers Ltd.	A	Dividend	J	T					
546. - National Oilwell	A	Dividend	J	T	Buy (add'l)	08/31/16	J		
547. - Nestle	A	Dividend	J	T					
548. - Nordson	A	Dividend	J	T	Buy (add'l)	08/31/16	J		
549. - Novo Nordisk		None			Sold	02/10/16	J	A	
550. - NOW Inc.		None	J	T	Buy (add'l)	08/31/16	J		
551. - Nuance Comm.		None	J	T					
552. - Nucor	A	Dividend	J	T					
553. - Park24		None	J	T	Buy	02/05/16	J		
554. - Pentair	A	Dividend	J	T	Buy (add'l)	08/31/16	J		
555. - Primerica	A	Dividend	J	T	Sold (part)	11/30/16	J	A	
556. - RBC Bearings		None	J	T					
557. - Rentrack (see Part VIII)		None			Closed	02/02/16	J		
558. - Roche	A	Dividend	J	T	Buy (add'l)	09/08/16	J		
559. - Ross Stores	A	Dividend			Sold	11/22/16	J	B	
560. - Royal Dutch Shell	A	Dividend	J	T	Buy	03/10/16	J		
561. - Sandisk		None			Sold	05/13/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

562. - Sands China		None			Sold	01/26/16	J		
563. - SAP AG	A	Dividend	J	T					
564. - Sasol	A	Dividend	J	T					
565. - Schlumberger	A	Dividend	J	T					
566. - Seagate Tech.	A	Dividend	J	T					
567. - Shire		None	J	T	Buy	11/25/16	J		
568. - Signature Bank		None	J	T	Buy (add'l)	08/03/16	J		
569. - Sirona		None			Closed	03/01/16	J		
570. - Snap-on	A	Dividend	J	T	Sold (part)	01/21/16	J	A	
571. - Sonova	A	Dividend	J	T					
572. - Starz Liberty Cap Ser. A		None			Redeemed	12/12/16	J	A	
573. - Svenska	A	Dividend			Sold	04/14/16	J	A	
574. - Symrise	A	Dividend	J	T					
575. - Sysmex	A	Dividend	J	T	Sold (part)	06/24/16	J	A	
576. - Taiwan Semiconductor	A	Dividend	J	T	Sold (part)	11/17/16	J	A	
577. - TE Connectivity	A	Dividend	J	T					
578. - Tenaris	A	Dividend	J	T	Buy	05/26/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. - The ADT Corp.		None			Sold	02/16/16	J	A	
580. - Towers Watson (see Part VIII)		None			Closed	06/16/16	J		
581. - Tripadvisor		None	J	T					
582. - Turkiye Garanti	A	Dividend	J	T					
583. - Twitter		None	J	T	Buy (add'l)	01/15/16	J		
584. - Tyco Int'l (see Part VIII)		None			Closed	09/16/16	J		
585. - Unicharm	A	Dividend			Sold	05/25/16	J	A	
586. - Unilever	A	Dividend	J	T					
587. - United Health	A	Distribution	J	T					
588. - Vertex		None	J	T	Buy (add'l)	11/03/16	J		
589. - Wabco Holdings		None	J	T					
590. - Watsco	A	Dividend	J	T	Buy	01/22/16	J		
591. - Weatherford Int'l		None	J	T	Buy (add'l)	08/31/16	J		
592. - Western Digital Corp.	A	Distribution	J	T	Buy (add'l)	05/13/16	J		
593. - Willis Towers (see Part VIII)		None			Spinoff (from line 580)	06/16/16	J		
594.					Sold	06/16/16	J		
595. - Winmark	A	Dividend			Sold	08/02/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596. - WPP	A	Dividend	J	T	Sold (part)	07/19/16	J	A	
597. - Wynn Resorts	A	Dividend	J	T					
598. - Exchange Traded Closed End Funds (H):									
599. - Ishares MSCI EAFE Value Fund	A	Dividend	K	T	Buy (add'l)	08/31/16	J		
600. - Ishares Russell 3000 Fund	A	Dividend	K	T					
601. - Mutual funds (H):									
602. - Alliance Bernstein Global Bond Advisor Fund	A	Dividend	K	T					
603. - Brandes Emerging Markets I Fund	A	Dividend	K	T	Buy (add'l)	08/31/16	J		
604. - Columbia Acorn Fund	C	Distribution	K	T	Buy (add'l)	08/31/16	J		
605. - Franklin Federated Intermediate-Term Tax Free Fund	C	Int./Div.	L	T	Buy (add'l)	08/31/16	J		
606. - Invesco Premier Tax Exempt	A	Int./Div.	K	T					
607. - Lateef Fund	C	Distribution	K	T	Buy (add'l)	08/31/16	J		
608. - Lord Abbett Conv. Fund		None	K	T	Buy (add'l)	08/31/16	J		
609. - Lord Abbett Emer. Mkts. Fund	A	Dividend	K	T	Buy (add'l)	08/31/16	J		
610. - Pimco Real Return Fund	A	Dividend	K	T	Buy (add'l)	08/31/16	J		
611. - TCW Total Return Bond Fund	A	Dividend	K	T	Buy (add'l)	08/31/16	J		
612. - IRA consisting of Stocks and Corporate Bonds (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. - brokerage account No. 1 (H):									
614. - Citibank Bank Deposit (see Part VIII_		None			Closed	06/30/16	K		
615. - Morgan Stanley Bank (see Part VIII)	A	Interest	M	T	Open	06/30/16	K		
616. - corporate bonds (H):									
617. - Bank of America '16 5.750	A	Interest			Matured	08/15/16	K		
618. - municipal bonds (H):									
619. - brokerage account No. 2 (H):									
620. - Morgan Stanley, New Haven, CT liquid asset fund	A	Interest	K	T					
621. - stocks (H):									
622. - Abbvie	A	Dividend	J	T					
623. - Aetna	A	Dividend	J	T	Buy	11/11/16	J		
624. - AIA Group	A	Dividend	J	T					
625. - Air Liquide	A	Dividend	J	T	Sold (part)	06/09/16	J	A	
626. - Alfa Laval	A	Dividend	J	T	Buy	02/12/16	J		
627. - Allianz	A	Dividend	J	T	Sold (part)	07/19/16	J	A	
628.					Buy (add'l)	11/16/16	J		
629. - American Electric Power	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630. - Amerixan Express	A	Dividend	J	T	Buy	07/20/16	J		
631. - Ameriprise Financial	A	Dividend	J	T					
632. - Anheuser Busch	A	Dividend			Sold	11/16/16	J	A	
633. - Apache	A	Dividend	J	T	Buy	11/11/16	J		
634. - Apple	A	Dividend	J	T					
635. - Arm Holding	A	Dividend			Sold	09/22/16	J	A	
636. - Aspen	A	Dividend	J	T	Buy	10/12/16	J		
637. - AT&T	A	Dividend	J	T	Sold (part)	11/11/16	J	A	
638. - Atlas Copco	A	Dividend	J	T					
639. - Baidu	A	Dividend	J	T	Buy (add'l)	04/13/16	J		
640. - Banco Bilbao	A	Dividend	J	T	Buy (add'l)	11/16/16	J		
641. - Bayer	A	Dividend	J	T	Buy	09/08/16	J		
642.					Buy (add'l)	12/13/16	J		
643. - Bayerische Motoren Werke	A	Dividend	J	T					
644. - BBA Aviation	A	Dividend	J	T	Buy	06/30/16	J		
645. - BG Group		None			Sold	03/07/16	J		
646. - Bunge	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. - CA Inc.	A	Dividend	J	T					
648. - Canadian National Ry.	A	Dividend	J	T					
649. - Capitol One	A	Dividend			Sold	08/17/16	J		
650. - CBS	A	Dividend	J	T	Buy	12/20/16	J		
651. - Celanese	A	Dividend	J	T					
652. - Check Point	A	Dividend	J	T	Buy	10/12/16	J		
653. - Chevron	A	Dividend	J	T					
654. - Cisco	A	Dividend	J	T					
655. - Coloplast	A	Dividend			Sold	11/29/16	J		
656. - CSL	A	Dividend	J	T	Sold (part)	04/01/16	J	A	
657. - Dassault Systems	A	Dividend	J	T					
658. - DBS Group	A	Dividend	J	T	Buy (add'l)	04/07/16	J		
659. - Eaton	A	Dividend	J	T					
660. - Entergy	A	Dividend	J	T	Buy	05/11/16	J		
661. - Exxon Mobil	A	Dividend	J	T					
662. - Fanuc	A	Dividend	J	T	Buy (add'l)	04/14/16	J		
663.					Sold (part)	12/14/16	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664. - Fifth Third Bank	A	Dividend	J	T					
665. - Fluor	A	Dividend			Buy	05/23/16	J		
666.					Sold	12/02/16	J	A	
667. - Ford Motor	A	Dividend			Sold	11/30/16	J		
668. - Fresenius	A	Dividend	J	T	Buy (add'l)	07/21/16	J		
669. - Fuchs Petrolub	A	Dividend	J	T					
670. - General Dynamics	A	Dividend	J	T	Buy	05/19/16	J		
671. - General Motors	A	Dividend	J	T					
672. - Gilead Science	A	Dividend			Sold	08/12/16	J		
673. - Grifols S.A.	A	Dividend	J	T					
674. - Grupo	A	Dividend	J	T	Buy	08/12/16	J		
675. - Hartford Fin. Serv.	A	Dividend	J	T					
676. - Honeywell	A	Dividend	J	T	Buy	11/30/16	J		
677. - HSBC Holdings	A	Dividend	J	T	Buy	12/13/16	J		
678. - IBM	A	Int./Div.	J	T					
679. - Icici Bank	A	Dividend			Sold	02/25/16	J		
680. - Icici Bank	A	Dividend	J	T	Buy	12/14/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
681. - Imperial Oil		None			Sold	04/12/16	J		
682. - Intel	A	Dividend	J	T					
683. - International Paper	A	Dividend	J	T					
684. - Itau	A	Dividend	J	T	Sold (part)	03/29/16	J		
685. - JGC	A	Dividend	J	T					
686. - Johnson & Johnson	A	Dividend	J	T					
687. - JP Morgan Chase	A	Dividend	J	T					
688. - Kone Oyj	A	Dividend			Sold	11/02/16	J		
689. - Kubota	A	Dividend	J	T	Buy	05/26/16	J		
690. - L'Oreal	A	Dividend	J	T					
691. - Linde AG	A	Dividend	J	T	Buy (add'l)	03/30/16	J		
692. - LVMH Moet	A	Dividend	J	T					
693. - Macy's	A	Distribution			Sold	05/19/16	J		
694. - Mattel	A	Dividend	J	T					
695. - Metlife	A	Dividend	J	T	Sold (part)	07/19/16	J	A	
696. - Mitsubishi	A	Dividend	J	T					
697. - Monotaro	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000 R = \$1,000,001 - \$5,000,000 T = \$5,000,001 - \$25,000,000 V = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$100,000 Q = \$100,001 - \$250,000 S = \$250,001 - \$500,000 U = \$500,001 - \$1,000,000 W = \$1,000,001 - \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P = \$250,001 - \$500,000 R = \$500,001 - \$1,000,000 T = \$1,000,001 - \$5,000,000 V = \$5,000,001 - \$25,000,000		
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
698. - MTN Group	A	Dividend			Sold	10/24/16	J		
699. - Nabors	A	Dividend	J	T	Buy	12/21/16	J		
700. - Naspers	A	Dividend	J	T					
701. - Nestle	A	Dividend	J	T					
702. - Novo Nordisk		None			Sold	02/16/16	J	A	
703. - Oracle	A	Dividend	J	T	Buy	08/03/16	J		
704. - Park24	A	Dividend	J	T	Buy	02/05/16	J		
705. - Pfizer	A	Dividend	J	T					
706. - PNC Financial	A	Dividend	J	T					
707. - Procter & Gamble	A	Dividend	J	T					
708. - Public Service Enterprise	A	Dividend	J	T					
709. - Quest Diagnostics	A	Dividend	J	T					
710. - Roche	A	Dividend	J	T	Buy (add'l)	09/08/16	J		
711. - Royal Dutch Shell	A	Dividend	J	T	Buy (add'l)	02/29/16	J		
712. - Ryder Systems	A	Dividend	J	T					
713. - Sands China Ltd		None			Sold	01/26/16	J		
714. - SAP	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
715. - Sasol	A	Dividend	J	T	Sold (part)	12/02/16	J		
716. - Schlumberger	A	Dividend	J	T					
717. - Shire	A	Dividend	J	T	Buy	11/28/16	J		
718. - Sonova	A	Dividend	J	T					
719. - Svenska		None			Sold	04/14/16	J	A	
720. - Symrise	A	Dividend	J	T					
721. - Sysmex	A	Dividend	J	T	Sold (part)	06/24/16	J		
722. - Taiwan Semiconductors	A	Dividend	J	T	Sold (part)	11/17/16	J	A	
723. - Tenaris	A	Dividend	J	T	Buy	05/26/16	J		
724. - Teva Pharmaceuticals		None			Sold	05/11/16	J		
725. - Time Warner		None			Buy	08/12/16	J		
726.					Sold	11/11/16	J	A	
727. - Total	A	Dividend			Sold	12/20/16	J		
728. - Travelers	A	Dividend	J	T					
729. - Turkiye	A	Dividend	J	T					
730. - Unicharm		None			Sold	05/26/16	J	A	
731. - Unilever PLC	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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732. - United Technologies	A	Dividend	J	T					
733. - U.S. Bancorp	A	Dividend	J	T	Buy	08/03/16	J		
734. - Valero		None			Sold	05/23/16	J		
735. - Verizon	A	Dividend	J	T					
736. - Walmart	A	Dividend	J	T					
737. - Wells Fargo	A	Dividend	J	T					
738. - WPP	A	Dividend	J	T	Sold (part)	07/19/16	J	A	
739. - Exchange Traded and Closed End Funds (H):									
740. - Ishares Barclays 7-10 Year Treasury Fund	B	Dividend	L	T					
741. - Ishares MSCI EAFE Index Fund	A	Dividend	K	T					
742. - Ishares Russell 1000 Index Fund	B	Dividend	L	T					
743. - Ishares Russell 1000 Value Fund	B	Dividend	L	T					
744. - Ishares Russell 3000 Fund	B	Dividend	L	T					
745. - Ishares Russell mid-cap Fund	A	Dividend	L	T					
746. - Ishares TIPS Bond Fund	A	Dividend	K	T					
747. - SPDR DJ Wilshire Int'l Real Estate	C	Dividend	K	T					
748. - Vanguard Utilities Fund	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
749. - Mutual Funds (H):									
750. - Cohen & Steers Pref. Sec. & Inc. I	B	Dividend	K	T					
751. - Invesco AIM ATST Premier Fund	A	Dividend	J	T					
752. - Legg Mason Western Asset Emerging Markets Fund	C	Dividend	K	T					
753. - Mainstay Marketfield I Fund	A	Dividend	K	T					
754. - Oppenheimer Int'l Fund	B	Dividend	K	T					
755. - Pimco Total Return Fund	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

global: all entries have been listed alphabetically within separately numbered brokerage accounts and, within each account, grouped within categories labeled "stocks," "exchange traded and closed end funds," "mutual funds," and "bonds" as permitted according to advice received from committee staff.

Lines 14 and 15: The disposition of Johnson Controls is not technically 'closed,' and the acquisition of Johnson Controls Intl is not technically a spin-off. There was a compulsory tender, with Johnson Controls Intl and cash received after Johnson Controls was tendered, but there is no automatic way to enter these actions.

Lines 110 and 118: The entries for column D(1) are not technically correct. The acquisition of Johnson Controls Intl and the disposition of Tyco resulted from a compulsory tender. There is no automatic way to enter these actions.

Lines 150 and 156: The entries for column D(1) are not technically correct. The shares of Plum Creek were exchanged for shares of Weyerhaeuser. There is no automatic way to enter these actions.

Line 183: The entry for column D(1) is not technically correct. The shares of Ace were exchanged for Chubb. There is no automatic way to enter this action.

Line 196: The entry for column D(1) is not technically correct. The shares of Chubb were acquired in an exchange for shares of Ace. There is no automatic way to report this action.

Line 209: This stock was mislabeled on the 2015 report as International Exchange Group; the correct name is Intercontinental Exchange Group.

Lines 250 and 251: The entries for column D(1) are not technically correct. The shares of SPDR Nuveen Barclays Fund were exchanged for shares of SPDR Nuveen Barclays Mun. Fund. There is no automatic way to enter these actions.

Lines 498 and 569: The entries for column D(1) are not technically correct. The shares of Dentsply were acquired in exchange for shares of Sirona. There is no automatic way to report this action.

Line 483: Carlisle was misspelled "Carlisle."

Lines 490 and 557: The entries for Column D(1) are not technically correct. The shares of Comscore were acquired in an exchange for shares of Rentrack. There is no automatic way to enter these actions.

Line 489: Carlisle was misspelled as "Carlisle."

Line 521: Ionis Pharmaceutical was misspelled on the 2015 report as Isis Pharmaceuticals.

Lines 525 and: 584 The entry for Column D(1) is not technically correct. The shares of Johnson Controls Intl and the disposition of Tyco resulted from a compulsory tender offer. There is no automatic way to enter these actions.

Line 527: Kubota was misspelled as "Kubota."

Lines 580 and 593: The entries for D(1) are not technically correct. The shares of Willis Towers were acquired in an exchange for shares of Towers Watson. There is no automatic way to report this action.

Lines 614 and 615: Morgan Stanley shifted funds from Citibank into Morgan Stanley Bank, and closed the Citibank account.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jon O. Newman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544