

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Roby, Karen W.	2. Court or Organization Eastern District of Louisiana	3. Date of Report 05/11/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Fulltime	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 500 Poydras Street B437 New Orleans, Louisiana 70131		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	ABA National Conference of Federal Trial Judges
2. Commissioner	Louisiana Commission on Civic Education
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Self-Employed Attorney
2. 2014	Clerk of Court Orleans Parish
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association	01/16/2014-01/18/2014	San Diego, CA	Leadership Meeting	Meals, Hotel & Transportation
2. American Bar Association	02/13/2014-02/15/2014	Rancho Mirage, CA	Corporate Counsel CLE	Meals, Hotel & Transportation
3. Federal Magistrate Judges Association	03/11/2014-03/14/2014	Washington, D.C.	FMJ Advisory Grp & FMJA Bd	Meals, Hotel & Transportation
4. American Bar Association	04/09/2014-04/12/2014	Scottsdale, AZ	Litigation Section Annual Conference	Meals, Hotel & Transportation
5. American Bar Association	06/19/2014-June 21, 2014	Sante Fe, NM	ABA Spring Leadership Mtg	Meals, Hotel & Transportation
6. American Bar Association	09/18/2014-09/20/2014	Nashville, TN	ABA Fall Leadership Mtg	Meals, Hotel & Transportation

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Liberty Bank & Trust	A	Int./Div.	J	T					
2. Vanguard Inflation Protected Securities	B	Dividend	J	T					
3. Louisiana Start 529 Plan- Principle Protection Plan	A	Dividend			Redeemed	01/03/14	J	B	
4. Louisiana Start 529 Plan- Principle Protection Plan	A	Dividend	K	T	Buy (add'l)	01/27/14	J		
5. Fidelity Cash Reserves	A	Int./Div.	J	T					
6. Microsoft Corp.	A	Dividend	J	T					
7. Exxon Mobil Corp	A	Dividend	J	T					
8. IngDirect, Money Market(New name Capitol One-Sharebuilder)	B	Dividend	J	T					
9. Loomis Global Salyes Bond Retail	A	Dividend	K	T					
10. Fareholme Fund	A	Dividend	J	T					
11. Street Tracks Gold (correct name SPDR TRUST GOLD)	A	Dividend	J	T					
12. (Spider)SPDR Gold Trust ETF	A	Dividend	K	T					
13. Amazon	A	Dividend	J	T					
14. AIG	A	Dividend	J	T	Buy	4/30/14	J		
15. Fidelity Select Biotechnology	B	Dividend	J	T	Buy	5/29/14	J		
16. Wells Fargo	A	Dividend	J	T	Buy	04/30/14	J		
17. Berkshire Hathaway Inc.		None	J	T	Buy	04/30/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Sector SPDR TR SHS Ben Int. Consumer(purchased 08/5/13)	A	Dividend	J	T					
19. AT&T	A	Dividend	J	T	Buy	04/30/14	J		
20. The Walt Disney Co.	A	Dividend	J	T	Buy	04/30/14	J		
21. Facebook		None	J	T	Buy	04/30/14	J		
22. FedexCorp	A	Dividend	J	T	Buy	09/16/14	J		
23. Twitter	A	Dividend	J	T	Buy	10/06/14	J		
24. Fidelity Contrafund (purchased 08/05/13)	A	Dividend	J	T					
25.									
26.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Karen W. Roby**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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