

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Miller, Ruth	2. Court or Organization District Court, U.S. Virgin Islands	3. Date of Report 05/14/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Full time magistrate judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Ron de Lugo Federal Building 5500 Veterans Drive, 3rd Floor St. Thomas, USVI 00802-0802		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Trustee	Trust #2
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Farr 40 Class Association	March 12-17, 2013	Miami, Florida	Farr 40 circuit event jury	Transportation, lodging, some meals
2. Chicago Match Race Center	June 28-30, 2013	Chicago, Illinois	Match race umpire	Transportation
3. Farr 40 Class Association	August 24-31, 2013	Newport, Rhode Island	Farr 40 World Championship jury	Transportation, meals
4. New York Yacht Club Regatta Association	September 8-15, 2013	Newport, Rhode Island	New York Yacht Club Invitational Cup Jury	Transportation, some meals
5. Sail Newport	September 29-October 6, 2013	Newport, Rhode Island	J22 World Championship Jury	Transportaiton, some meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	First Third Bank	Mortgage on property in Chicago, IL	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Property, Chicago, IL	C	Rent	N	W					
2. Genworth Financial flex premium adjustable life policy		None	J	T					
3. Banco Popular de Puerto Rico account		None	L	T					
4. Northern Trust account		None	J	T					
5. Winslow Evans & Crocker SEP Account (H)									
6. - Pershing Government Account		None	J	T					
7. - American High Income Trust Class A	B	Dividend	K	T					
8. - Capital World Growth & Income	A	Dividend	K	T					
9. - The Growth Fund of America Class A	A	Dividend	K	T					
10. - The New Economy Fund Class A	A	Dividend	J	T					
11. - New Perspective Fund Class A	A	Dividend	K	T					
12. -Nuveen Sunata Barbara Dividend Growth Fund Class A	A	Dividend	J	T					
13. -Thornburg Value Class A	A	Dividend	K	T					
14. Winslow Evans & Crocker Brokerage Account (H)									
15. - Federated Capital Reserves	A	Interest	K	T					
16. - American High Income Trust Class A	A	Dividend	J	T					
17. - Capital Income Builder Fund Class A	A	Dividend	K	T	Buy (add'l)	01/04/13	J		

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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18. - Capital World Growth & Income Fund Class A	A	Dividend	J	T					
19. - The Growth Fund of America Class A	A	Dividend	J	T	Buy	01/04/13	J		
20. - Virtus Global Prem Aplhasector (SM) Fund Class A	A	Dividend	K	T	Buy	01/04/13	K		
21. - Virtus Foreign Opportunities Fund Class A	A	Dividend	K	T	Buy	01/04/13	K		
22. Trust #1 (H)									
23. -Charles Schwab brokerage account (H)									
24. --T common	D	Dividend	L	T	Sold (part)	05/01/13	K		
25.					Sold (part)	10/16/13	J		
26.					Sold (part)	11/15/13	J		
27.					Sold (part)	12/18/13	J		
28. --ADM common	B	Dividend	L	T	Sold (part)	03/06/13	J		
29.					Sold (part)	07/19/13	K		
30.					Sold (part)	09/05/13	K		
31.					Sold (part)	10/16/13	J		
32. --BMY common	B	Dividend	L	T	Sold (part)	10/16/13	K		
33. --DUK common	C	Dividend	L	T	Sold (part)	10/17/13	K		
34. --XOM common	C	Dividend	M	T	Sold (part)	03/06/13	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35.					Sold (part)	05/01/13	J		
36.					Sold (part)	07/19/13	K		
37.					Sold (part)	09/05/13	J		
38.					Sold (part)	10/16/13	K		
39.					Sold (part)	11/15/13	K		
40.					Sold (part)	11/27/13	J		
41.					Sold (part)	12/18/13	J		
42. --GE common	C	Dividend	M	T	Sold (part)	07/19/13	J		
43.					Sold (part)	08/21/13	J		
44.					Sold (part)	10/16/13	K		
45.					Sold (part)	11/15/13	K		
46. --MON common	A	Dividend	K	T	Sold (part)	07/19/13	K		
47.					Sold (part)	09/05/13	K		
48. --MSI common	A	Dividend			Sold	10/16/13	J		
49. --NCR common					Sold	10/16/13	J		
50. --NWL common	A	Dividend	K	T	Sold (part)	03/06/13	J		
51.					Sold (part)	08/21/13	J		

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52.					Sold (part)	09/05/13	J		
53.					Sold (part)	10/17/13	J		
54. --PFE common	C	Dividend	L	T	Sold (part)	05/01/13	J		
55.					Sold (part)	07/19/13	K		
56.					Sold (part)	08/21/13	J		
57.					Sold (part)	10/16/13	J		
58.					Sold (part)	11/15/13	J		
59. --VZ common	B	Dividend	L	T	Sold (part)	05/01/13	J		
60. --Fairpoint Commun Inc.XXX		None	J	T					
61. -- AEE (X)		None			Sold	10/16/13	J	A	
62. -- TWC (X)		None			Sold	10/16/13	J	A	
63. -- TWX (X)		None			Sold	10/16/13	J	A	
64. -- AOL (X)		None			Sold	10/16/13	J		
65. --C (X)		None			Sold	10/16/13	J		
66. -- BYD (X)		None	J	T					
67. -- FTR (X)	A	Dividend	J	T					
68. -- SIRI (X)		None			Sold	10/16/13	J		

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69. -- LVS (X)					Sold	10/17/13	J	C	
70. --Schwab cash reserves (X)	A	Interest	K	T					
71. Trust #2 (H)									
72. - John Alden whole life policy		None	K	T					
73.									
74.									
75.									
76.									
77.									
78.									
79.									
80.									
81.									
82.									
83.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 72 is a new asset as a result of acquiring a new fiduciary duty in March 2013 (insurance trust)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Ruth Miller**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544