

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Ferenbach, Vincent C.	2. Court or Organization U. S. District Court, Nevada	3. Date of Report 04/08/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Magistrate Judge - Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address Lloyd D. George U. S. Courthouse 333 Las Vegas Boulevard South Room #3005 Las Vegas, NV 89101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|------------|---|
| 1. Trustee | Trust (Revocable Trust for Estate Planning Purposes, is co-trustee) |
| 2. | |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 9/1/2013

Legal Aid Center of Southern Nevada - Salary (employed full time)

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ☐ Trust - U. S. Trust Account (H)									
2. Cash - U. S. Trust Account	A	Interest	K	T					
3. Columbia Dividend Income Fund Class Z Shares	C	Dividend	M	T					
4. Columbia Large Cap Growth Fund	A	Dividend	L	T	Buy	01/17/13	K		
5. Touchstone Sands Cap Select Growth Fund		None	L	T	Buy	01/17/13	L		
6. Victory Large Cap Growth Fund		None			Sold	01/17/13	M		
7. Columbia Mid Cap Index Fund Class Z Shares	A	Dividend	L	T					
8. Columbia Small Cap Growth I Fund		None	K	T					
9. Columbia Small Cap Value I Fund Class Z Shares	A	Dividend	J	T					
10. Artisan International Fund	A	Dividend	K	T	Buy	05/17/13	K		
11. Columbia Acorn International Fund Class Z Shares	A	Dividend	K	T					
12. Columbia Pacific/Asia Fund	A	Dividend	J	T	Buy	05/14/13	K		
13. Vanguard MSCI Emerging MKTS ETF	B	Dividend	L	T					
14. Columbia Intermediate Municipal Bond Fund Class Z Shares	A	Interest	L	T	Sold (part)	03/08/13	K	B	
15. Columbia High Yield Municipal FD Class Z Shares	A	Interest	J	T					
16. Ivy Asset Strategy Fund	A	Dividend	L	T	Buy	08/05/13	L		
17. PIMCO All Asset All Auth Fund Instl C1	C	Dividend			Sold	08/13/13	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Ishares TR Cohen & Steers Realty Majors	B	Dividend	K	T					
19. PIMCO Commodity Realreturn Strategy Fund	A	Dividend	K	T	Sold (part)	08/15/13	J		
20. Testamentary Trust - U. S. Trust Account (H)									
21. BofA Tax-Exempt Reserves Trust Class	A	Interest	J	T					
22. Columbia Dividend Income Fund Class Z Shares	A	Dividend	L	T	Sold (part)	12/16/13	J	D	
23. Columbia Large Cap Growth Fund Class Z Shares	A	Dividend	L	T	Sold (part)	12/16/13	J	B	
24. Ishares DJ Select Dividend Index Fund	A	Dividend			Sold	12/18/13	K	D	
25. Columbia Mid Cap Growth Fund Class Z Shares	A	Dividend	K	T					
26. Columbia Mid Cap Value Fund Class Z Shares	A	Dividend			Sold	12/16/13	J		
27. Ishares Russell Mid-Cap Value	A	Dividend	J	T					
28. Columbia Small Cap Growth I Fund Class Z Shares		None	J	T					
29. Columbia Small Cap Value I Fund Class Z Shares	A	Dividend	J	T					
30. Artizan International Fund	A	Dividend	K	T	Buy	12/16/13	K		
31. Columbia Acorn International Fund Class Z Shares	A	Dividend	J	T					
32. Vanguard MSCI Emerging MKTS ETF	A	Dividend	K	T					
33. Columbia Intermediate Municipal Bond Fund Class Z Shares	B	Interest	K	T					
34. Columbia Income Opportunitites Fund Cl Z	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Ivy Asset Strategy Fund	A	Dividend	K	T	Buy	08/15/13	K		
36. PIMCO All Asset All Auth Fund Instl CL	B	Dividend			Sold	08/15/13	K		
37. Columbia Real Estate Equity Fund Class Z Shares	A	Dividend	K	T					
38. PIMCO Commoditiesplus Strategy Fund Instl CL	A	Dividend	K	T					
39. SPDR Gold TR Gold SHS		None	J	T					
40. Bank of America Accounts	A	Interest	L	T					
41. Life Insurance Trust (H)									
42. Bank of America Account	A	Interest	K	T					
43. New York Life - Whole Life #1	C	Dividend	M	T					
44. Held Individually (H)									
45. New York Life - Whole Life #2	A	Dividend	K	T					
46. Shares - Pocono Mountain Bluestone Corporation		None	L	W					
47. SEP IRA (H)									
48. Cash - Wells Fargo Account	A	Interest	J	T					
49. General Elec Cap Corp Medium Term Notes Series A	A	Interest	J	T					
50. Cohen & Steers Intl Rlty FD Inc CL1	A	Dividend	J	T					
51. Fidelity Adv Emerg Mkts Inc CL1	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. RS Invtr TR Low Duration BD FD CL Y	A	Dividend	J	T					
53. Goldman Sachs Mid Cap Value Fund -Inst SHS	A	Dividend	J	T					
54. Harbor Fund Cap Appreciation Fd Instl CL HACAX	A	Dividend	J	T					
55. Virtus Opportunities Multi Sector Shrt Term BD FD CL I	A	Dividend	J	T					
56. Virtus Emerging Markets Opportunities FND CL I	A	Dividend	J	T					
57. Pioneer High Yield Fund CL Y	A	Dividend	J	T					
58. Pioneer Ser TR1 Pioneer Oak Ridge Small Cap Growth Fund CL Y	A	Dividend	J	T					
59. RS Invtr TR Global Natural Resources Fund CL Y	A	Dividend	J	T					
60. Rowe T Price Equity Income Fud SH BEN Int	A	Dividend	J	T					
61. Target Portfolio TR Small Capitalization Value Port	A	Dividend	J	T					
62. Target Portfolio TR Intl Equity Portfolio	A	Dividend	J	T					
63. Target Portfolio TR Inter Term BD Portfolio	A	Dividend	J	T					
64. Templeton Funds Income TR GLB BD Advor	A	Dividend	J	T					
65. Wells Fargo Fds TR Advantage Enterprise FD CL I	A	Dividend	J	T					
66. Educational Savings Plans (H)									
67. Alliance 529 CBF Age Based Port Growth 1993-1995 CL BX	A	Dividend			Sold	09/16/13	J	B	
68. Alliance 529 CBF Age Based Port Growth 1993-1995 CL A	B	Dividend			Sold	12/19/13	K	C	

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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69. Lionel Sawyer & Collins Partnership Buyout	D	Interest			Matured	04/16/13	M	E	
70. 403(b) Account with Mutual of America(H)									
71. Mutual of America Moderate Allocation Fund	A	Dividend	K	T	Buy	09/30/13	J		
72.					Buy (add'l)	10/15/13	J		
73.					Buy (add'l)	10/31/13	J		
74.					Buy (add'l)	11/15/13	J		
75.					Buy (add'l)	11/30/13	J		
76.					Buy (add'l)	12/15/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Vincent C. Ferenbach**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544