

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shadid, James E.	2. Court or Organization United States District Court, Central District of Illinois	3. Date of Report 5/7/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Courthouse 100 N.E. Monroe, Room 204 Peoria, IL 61602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Trustees	Bradley University, Peoria, IL
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 12/01-3/11	Judges Retirement System of Illinois - Pension upon retirement
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanly Smith Barney Acct. 1	A	Interest	K	T					
2. IRA 1 - Lines 2-52			O	T					
3. -Abbott Laboratories (ABT)	A	Int./Div.	K	T					
4. -ABBVIE(ABBV)	A	Int./Div.	K	T					
5. -Alphabet Inc. CLC (Goog)See Explanation Part VIII	A	Int./Div.	J	T					
6. -Bank of America Corp. (BAC)	A	Int./Div.	K	T					
7. -Barrick Gold Corp CAD (ABX)	A	Int./Div.	J	T					
8. -Berkshire Hathaway Inc. CL B (BRK'B)	A	Int./Div.	K	T					
9. -Biogen IDEC Inc. (BLLB)	A	Int./Div.	K	T					
10. -Chubb Corp. (CB)	A	Int./Div.	J	T					
11. -Cisco Sys. Inc. (CSCO)	A	Int./Div.	J	T					
12. -EBAY Inc. (EBAY)	A	Int./Div.	J	T					
13. -Emerson Electric Co. (EMR)	B	Int./Div.			Sold	09/25/17	J	C	
14. -Exxon Mobil Corp. (XOM)	B	Int./Div.			Sold	11/09/17	J	B	
15. -Fluor Corp. New (FLR)	A	Int./Div.	J	T					
16. -Freeport McMoran Copper & Gold (FCX)	A	Int./Div.	J	T					
17. -Gamestop Corp CLA New (GME)	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Gap Inc. Delaware (GPS)	A	Int./Div.			Sold	09/25/17	J	A	
19. -Home Depot Inc. (HD)	A	Int./Div.	K	T					
20. -Intel Corp. (INTC)	A	Int./Div.	J	T					
21. -Intl. Business Machines Corp. (IBM)	A	Int./Div.	K	T					
22. -Johnson & Johnson (J&J)	A	Int./Div.	K	T					
23. -Juniper Networks Inc. (JNPR)	A	Int./Div.	J	T					
24. -Kopernik Glb all Cap Instl	A	Int./Div.	J	T					
25. -Kraft Heinz Co. (KHC)	A	Int./Div.	J	T					
26. -L3 Communications Hldgs Inc. (LLL)(n/k/ a L3 Technologies, Inc.)	A	Int./Div.	J	T					
27. -Lam Research (fka Novellus) (LRCX)	A	Int./Div.			Sold	11/03/17	K	E	
28. -McKesson Corporation (MCK)	A	Int./Div.	J	T					
29. -Merck & Co. Inc. New (MRK)	A	Int./Div.			Sold	09/25/17	J	A	
30. -Microsoft Corp. (MSFT)	A	Int./Div.	K	T					
31. -Mondelez Intl. (MDLZ)	A	Int./Div.	J	T					
32. -Nasdaq OMX Group Inc. (NDAQ)	A	Int./Div.			Sold	09/25/17	J	C	
33. -Novartis AG ADR (NVS)	A	Int./Div.			Sold	09/25/17	J	C	
34. -Nucor Corp. (NUE)	A	Int./Div.			Sold	09/25/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. -Oaktree Capital CP LLC UT-A	A	Int./Div.	J	T					
36. -Pepsico Inc. (PEP)	A	Int./Div.	J	T					
37. -Paypal Hldgs. (PYPL)	A	Int./Div.	K	T					
38. -Pfizer Inc. (PFE)	A	Int./Div.	K	T					
39. -Pimco (PCI)	A	Int./Div.	K	T					
40. -Proctor & Gamble Co. (PG)	A	Int./Div.			Sold	09/25/17	J	C	
41. -State Street Cor. (STT)	A	Int./Div.	K	T					
42. -Taiwan Semiconductor Mfg. (TSM)	A	Int./Div.	J	T					
43. -Texas Instruments Inc. (TXN)	A	Int./Div.	K	T					
44. -Unilever PLC Spons ADR NEW (UL)	A	Int./Div.			Sold	09/25/17	K	E	
45. -United Parcel Service CLB (UPS)	A	Int./Div.	J	T					
46. -Verizon Communications (VZ)	A	Int./Div.	J	T					
47. -Viacom Inc. (VIAB)	A	Int./Div.	J	T					
48. -VISA Inc. Com CLA (V)	A	Int./Div.	K	T					
49. -Vodafone Group PLC Spons (VOD)	A	Int./Div.	J	T					
50. -Walgreens (WAG) n/k/a Walgreen Boots (WBA)	A	Int./Div.	J	T					
51. -Walt Disney Co. (DIS)	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. -Morgan Stanly Smith Barney Bank Deposit Program - Cash			M	T					
53. IRA 2 (Lines 53-56)	A	Int./Div.	K	T					
54. -Consolidated Edison Inc. (ED)	C	Int./Div.	J	T					
55. -Legg Mason CB Large Cap Growth SBLGX	A	Int./Div.	J	T					
56. -Morgn Stanly Smith Barney Bank Deposit Program - Cash	A	Int./Div.	J	T					
57. Morgan Stanley Smith Barney Acct. 2 (Lines 57-60)			K	T					
58. -Legg Mason CB Appreciation CL SHAPX	A	Int./Div.	K	T					
59. -Legg Mason Clearbridge Aggress - SHRAX	A	Int./Div.	K	T					
60. -Morgan Stanley Smith Barney Bank Deposit Program - Cash	A	Int./Div.	K	T					
61. MSSB Acct. 3 (Lines 61-74)			M	T					
62. -Alcoa Inc. (AA)	A	Int./Div.	J	T					
63. -Arconic (ARNC)(x)	A	Int./Div.	J	T					
64. -Bed Bath & Beyond (BBBY)	A	Int./Div.	J	T	Buy	11/01/17	J		
65. -Citigroup Inc. NEW	C	Int./Div.	K	T					
66. -Eaton Corp PLC SHS	A	Int./Div.	J	T					
67. -Gilead Science (GILD)	A	Int./Div.	J	T					
68. -Hortonworks Inc. Com(HDP)	A	Int./Div.	J	T					

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69. -Michael Kors Holdings Ltd.	A	Int./Div.			Sold	11/03/17	J	A	
70. -SPDR Trust Series 1 (SPY)	A	Int./Div.	L	T					
71. -Target	A	Int./Div.	J	T	Buy	03/28/17	J		
72. -Walgreen Co. n/k/a Walgreens Boots Alliance (WBA)	B	Int./Div.	J	T					
73. -Workday Inc. CL A (WDAY)	A	Int./Div.			Sold	11/03/17	J	C	
74. - Morgan Stanley Smith Barney - Cash	A	Int./Div.	K	T					
75. Morgan Stanley Smith Barney Acct. 4 (All Cash)		None	N	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **James E. Shadid**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544