

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Keyes, Jeffrey J.	2. Court or Organization U.S. District Court; District of Minnesota	3. Date of Report 05/15/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge -- Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. District Court 316 North Robert Street St. Paul, MN 55101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	CLK Partnership
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2012	Briggs & Morgan, P.A. Profit Sharing 401(k) Plan
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

University of Minnesota -- salary

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Amgen, Inc	A	Dividend			Sold	05/03/12	K	D	
2. Apogee Enterprises	A	Dividend			Sold	05/03/12	J	A	
3. AT&T Inc Com	A	Dividend			Sold	05/03/12	K	D	
4. Bank of America Com	A	Dividend			Sold	05/03/12	J	A	
5. Citigroup Inc Com	A	Dividend			Sold	05/03/12	J	A	
6. Comcast Corp CL A	A	Dividend			Sold	05/03/12	J	A	
7. DuPont	A	Dividend			Sold	05/03/12	K	D	
8. General Electric Co Com	A	Dividend			Sold	05/03/12	K	A	
9. Hewlett Packard Co Com	A	Dividend			Sold	05/03/12	K	A	
10. Intel Corp Com	A	Dividend			Sold	05/03/12	J	A	
11. IBM Corp Com	A	Dividend	K	T	Sold (part)	05/03/12	M	F	
12. IBM Corp Com	A	Dividend			Donated (part)				
13. Johnson & Johnson Com	A	Dividend			Sold	05/03/12	K	D	
14. Medtronic Inc	A	Dividend			Sold	05/03/12	K	A	
15. Microsoft Corp Com	A	Dividend			Sold	05/03/12	K	D	
16. Nextra Energy Inc Com	A	Dividend			Sold	05/03/12	K	D	
17. Pepsico Inc	A	Dividend			Sold	05/03/12	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Pfizer Inc Com	A	Dividend			Sold	05/03/12	K	A	
19. Procter & Gambel Co	A	Dividend			Sold	05/03/12	K	D	
20. Target Corp	A	Dividend			Sold	05/03/12	K	D	
21. Verizon Communications Com	A	Dividend			Sold	05/03/12	K	C	
22. Wells Fargo & Co New Com	A	Dividend			Sold	05/03/12	K	A	
23. Xcel Energy Inc	A	Dividend			Sold	05/03/12	K	E	
24. 3M Co Com	A	Dividend			Sold	05/03/12	L	E	
25. Fidelity Contra Com		None			Sold	05/03/12	K	C	
26. Vanguard 500 Index Fund Investor Shares	A	Dividend			Sold	06/18/12	L	C	
27. Federated Capital Reserves		None			Sold	05/03/12	J	A	
28. Dodge & Cox Income Fund	B	Dividend			Sold	05/03/12	M	D	
29. Dodge & Cox International Fund		None			Sold	05/03/12	M	A	
30. Growth Func of America Class F		None			Sold	05/03/12	L	D	
31. Jensen Quality Growth Fund Class J	B	Dividend	M	T	Buy (add'l)	06/18/12	M		
32. Artio International		None			Sold	05/03/12	L	A	
33. Stratton Small-Cap Value Fund		None			Sold	05/03/12	K	D	
34. Thornburg International Value	A	Dividend			Sold	05/03/12	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. US Bank Savings Account	A	Interest	L	T					
36. US Bank Saving Account	A	Interest	J	T					
37. US Bank Checking Account		None	J	T					
38. Fidelity Blue Chip Growth		None			Sold	05/03/12	M		
39. JP Morgan Stable Value		None			Sold	05/03/12	O		
40. PIMCO Total Return		None			Sold	05/03/12	N		
41. American Strategic Alliance		None			Sold	05/03/12	M		
42. JPM Equity Inc (moved from Davis New York Venture-A)		None			Sold	05/03/12	K		
43. Hartford Mid-Cap Fund HLS_1A		None			Sold	05/03/12	L		
44. MainStay Large-Cap Growth		None			Sold	05/03/12	N		
45. American Funds EuroPacific Growth-R4		None			Sold	05/03/12	L		
46. [REDACTED] - Bonita Springs, FL -- 50% Ownership	D	Rent	O	W					
47. Euro-Pacific Growth Fund - 529A		None			Closed	11/05/12	J		
48. Fundamental Investors - 529A	A	Dividend			Closed	11/05/12	K		
49. American Balance Fund - 529A	A	Dividend			Closed	11/05/12	K		
50. SCHWAB ADV CASH RESERVE	A	Interest	L	T					
51. ISHARES MSCI EMRG MKT FUND	B	Dividend			Buy	05/03/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. ISHARES MSCI EMRG MKT FUND		None			Sold	06/25/12	M	A	
53. LOOMIS SAYLES BOND FUND	D	Dividend	M	T	Buy	05/03/12	N		
54. LOOMIS SAYLES BOND FUND		None			Sold (part)	06/18/12	M	A	
55. PIMCO TOTAL RETURN FUND	D	Dividend	M	T	Buy	06/18/12	N		
56. PIMCO TOTAL RETURN FUNC		None			Sold (part)	11/29/12	N	A	
57. SCHWAB FUNDAMENTAL US SMALL MID	B	Dividend	L	T	Buy	05/03/12	L		
58. SCHWAB FUNDAMENTAL US SMALL MID		None			Sold (part)	08/14/12	J	A	
59. WISDOMTREE EMERGING MKT	B	Dividend	M	T	Buy	06/25/12	M		
60. WISDOMTREE EMERGING MKT		None			Sold (part)	08/14/12	J	A	
61. BAIRD MID CAP FUND INST	A	Dividend	L	T	Buy	11/29/12	L		
62. ISHARES RUSSELL MIDCAP	A	Dividend	L	T	Buy	06/18/12	L		
63. ISHARES TR RUSSELL 1000	B	Distribution	L	T	Buy	06/18/12	L		
64. ISHARES TR RUSSELL 1000		None			Sold (part)	08/14/12	K	A	
65. ISHARES TRUST S&P 500	B	Dividend	M	T	Buy	05/03/12	M		
66. ISHARES TRUST S&P 500		None			Sold (part)	08/14/12	J	A	
67. BLACKROCK NATIONAL MUNI	B	Dividend	L	T	Buy	05/03/12	L		
68. VANGUARD BOND INDEX FUND	B	Dividend	L	T	Buy	08/14/12	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. VANGUARD BOND INDEX FUND		None			Sold (part)	11/29/12	L	A	
70. NEUBERGER BERMAN CORE BOND FUND	B	Dividend	K	T	Buy	09/27/12	L		
71. NEUBERGER BERMAN CORE BOND FUND		None			Sold (part)	11/29/12	J	A	
72. JP MORGAN STRATEGIC INCOME	C	Dividend	M	T	Buy	08/14/12	M		
73. JP MORGAN STRATEGIC INCOME		None			Sold (part)	11/29/12	K	A	
74. ARTIO TOTAL RETURN BOND FUND	C	Dividend	M	T	Buy	09/27/12	M		
75. ISHARES TR RUSSELL 2000	C	Dividend	M	T	Buy	05/03/12	M		
76. ARTISAN INTL SMALL CAP	A	Dividend	L	T	Buy	05/03/12	L		
77. ARTISAN INTL SMALL CAP		None			Sold (part)	08/14/12	J	A	
78. THIRD AVENUE SMALL CAP	C	Dividend	M	T	Buy	05/03/12	M		
79. GOODHAVEN FUND	B	Dividend	M	T	Buy	05/03/12	L		
80. T ROWE PRICE EUROPEAN FUND	A	Dividend	K	T	Buy	05/03/12	L		
81. T ROWE PRICE EUROPEAN FUND		None			Sold (part)	08/14/12	K	A	
82. AQR DIVERSIFIED	B	Dividend	M	T	Buy	11/06/12	M		
83. FIRST EAGLE OVERSEAS FUND	E	Dividend	M	T	Buy	05/03/12	M		
84. FIRST EAGLE OVERSEAS FUND		None			Sold (part)	08/14/12	K	A	
85. IVA INTL FUND CK I	D	Dividend	M	T	Buy	05/03/12	M		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. OAKMARK SELECT FUND	E	Dividend	M	T	Buy	05/03/12	M		
87. CENTURY SMALL CAP		None	M	T	Buy	05/03/12	M		
88. CENTURY SMALL CAP		None			Sold (part)	08/28/12	K		
89.									
90.									
91.									
92.									
93.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Additional information pertinent to Part VII Investments and Trusts. In 2012, we sold almost all of our investment holdings (exceptions Jensen Quality Growth Fund Class J and a portion of our IBM holdings) to reinvest with a new financial advisor. Some of these investment holdings were in IRA (Fidelity Blue Chip Growth) or 401k (JP Morgan Stable Value; PIMCO Total Return; American Strategic Alliance; JPM Equity Inc; Hartford Mid-Cap; MainStay Large-Cap Growth; American Funds EuroPacific Growth) accounts. These funds were rolled over into IRA accounts. Accordingly, I did not report gains/losses for those accounts.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jeffrey J. Keyes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544