

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Johnson, William P.	2. Court or Organization District Court - New Mexico	3. Date of Report 07/27/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U. S. District Court 333 Lomas Blvd., NW, #640 Albuquerque, NM 87102		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2016	NM State Employees Pension Fund; pension at age 65; no control
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	State of New Mexico, retirement pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Federal Judges Association	May 17-18, 2016	Washington, DC	Annual Board of Directors Meeting	transportation, meals and hotel for reporting individual
2.				
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	NM Bank & Trust	unsecured line of credit	J
2.	EnerBank, USA	unsecured loan for solar panels	J
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. USAA Fed Sav Bank (checking account)	A	Interest	J	T					
2. Fidelity Management Trust Co. (IRA custodian) (H)									
3. --AT&T com stock	A	Dividend			Sold	10/27/16	J	A	
4. --Chevron Corp com stock	A	Dividend			Sold	07/29/16	J	A	
5. --Coca Cola com stock	A	Dividend			Sold	11/15/16	J	B	
6. --ConocoPhillips com stock	A	Dividend	J	T	Buy (add'l)	02/26/16	J		
7.					Sold (part)	03/11/16	J		
8.					Buy (add'l)	04/21/16	J		
9.					Sold (part)	06/15/16	J	B	
10.					Buy (add'l)	07/08/16	J		
11.					Buy (add'l)	08/31/16	J		
12. --Exxon Mobile com stock	A	Dividend	J	T					
13. --Facebook com stock		None	J	T					
14. --Ford Motor Co com stock	A	Dividend	J	T	Buy (add'l)	02/29/16	J		
15.					Buy (add'l)	07/06/16	J		
16. --Marathon Petroleum com stock					Buy	02/23/16	J		
17.					Sold	04/01/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Uranium Energy Corp com stock					Buy	10/06/16	J		
19. --Valero Energy Corp com stock					Buy	11/23/16	J		
20. --Fidelity Gov't Money Mkt fund (SPAXX)	A	Dividend	J	T					
21. --Fidelity Balanced Fund (FBALX)	A	Dividend			Sold	04/26/16	J	A	
22. --Fidelity Contrafund (FCNTX)	A	Dividend	J	T	Buy	01/15/16	J		
23. --Fidelity OTC Port Fund (FOCPX)	A	Dividend	J	T					
24. --Fidelity Select Energy Fund (FSENX)	A	Dividend	J	T					
25.					Buy (add'l)	05/03/16	J		
26. --Fidelity Select Health Ccare Fund (FSPHX)	A	Dividend			Sold	01/20/16	J	A	
27. --Fidelity Select Industrials Port Fund (FCYIX)	A	Dividend	J	T	Buy	11/14/16	J		
28. --Fidelity Select Insurance (FSPCX)	A	Dividend	J	T	Buy	04/11/16	J		
29. --Fidelity Select IT Services fund (FBSOX)	A	Dividend			Sold	08/19/16	J	B	
30. --Fidelity Select Biotech fund (FBIOX)					Sold	02/10/16	J	B	
31. --Fidelity Select Chemicals fund (FSCHX)	A	Dividend	J	T					
32. --Fidelity Select Retailing (FSRPX)	A	Dividend	J	T	Buy	03/08/16	J		
33. --Fidelity Select Software & IT SRVC (FSCSX)	A	Dividend	J	T	Buy	04/01/16	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **William P. Johnson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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