

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Stormes, Nita L.	2. Court or Organization Southern District of Cal.	3. Date of Report 04/24/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Mag. Judge-Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. Courthouse 333 West Broadway San Diego, CA 92101-8910		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Personal Trust (Assets are listed in Part VII)
2.	Trustee	Family Trusts (Assets are listed in Part VII)
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Jones Day (Law Firm)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cabrillo Federal Credit Union - 2 Savings	A	Interest	K	T					
2. Cabrillo Federal Credit Union - 2 IRA Cash Equivalent	A	Interest	J	T					
3. Cabrillo Federal Credit Union - 2 Checking	A	Interest	J	T					
4. California Bank & Trust - 2 IRA Cash equivalent	A	Interest	K	T					
5. Vanguard CA Money Market Fund - 3	A	Interest	K	T					
6. Fidelity Magellan Fund (Fidelity Ultra) - 1	A	Dividend	K	T					
7. Janus Fund -3	A	Dividend	J	T					
8. Janus Mercury Fund (now Janus Research Fund) - 3	A	Dividend	J	T					
9. Janus Worldwide Fund - 3	A	Dividend	J	T					
10. Fidelity Puritan Fund - 3	A	Dividend	J	T					
11. Franklin Mutual Quest Fund - Z	A	Dividend	K	T					
12. Oakmark Fund - 3	A	Dividend	K	T					
13. Scudder International Fund (now Deutsche CROCI International Fund) - 3	A	Dividend	J	T					
14. Vanguard Index Fund (Fidelity Ultra) - 1	A	Dividend	K	T					
15. Fidelity CA Muni Money Market (Fidelity Ultra) - 1	A	Interest	J	T					
16. Federated Kaufman Fund R - 3	A	Dividend	K	T	Buy	01/01/15	K		
17. California Bank & Trust - 1 Checking	A	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Vanguard CA Money Market Fund - 2	B	Interest	O	T					
19. Vanguard CA Money Market Fund - 1	A	Interest	N	T					
20. E*Trade Tax-Exempt Cal. Fund - 1	A	Interest	J	T					
21. San Diego County Credit Union Checking - 1	A	Interest	J	T					
22. San Diego County Credit Union Savings - 1	A	Interest	J	T					
23. Morgan Stanley Cash - 6	A	Interest			Sold	06/05/15	J	A	
24. Prudential Sh Trm - 6	A	Dividend			Sold	06/05/15	K	A	
25. Templeton Global - 6	A	Dividend			Sold	06/05/15	J	A	
26. Rothschild Money Mkt Fund - 7	A	Interest			Sold	05/01/15	J	A	
27. SPDR Gold - 7	A	Dividend			Sold	01/28/15	J	A	
28. Pimco All Asset - 7	A	Dividend			Sold	04/23/15	J	A	
29. Morgan Stanley Cash - 8	A	Interest			Sold	12/09/15	J	A	
30. Morgan Stanley Corporate Bond - 8	A	Interest			Sold	12/08/15	J	A	
31. Goldman Sachs Corporate Bond - 8	A	Interest			Redeemed	01/15/15	J	A	
32. Dupont - 8	A	Dividend			Sold	02/05/15	J	A	
33. Bank of America	A	Dividend			Sold	08/03/15	J	A	
34. Comcast - 8	A	Dividend			Sold	03/27/15	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Morgan Stanley Corporate Bond - 8	A	Interest			Sold	12/08/15	J	A	
36. Marathon Oil - 8	A	Dividend			Sold	12/08/15	J	A	
37. Target - 8	A	Dividend			Sold	12/08/15	J	A	
38. AT&T - 8	A	Dividend			Sold	12/08/15	J	A	
39. Verizon - 8	A	Dividend			Sold	12/08/15	J	A	
40. Indiana Michigan Power - 8	A	Interest			Sold	12/08/15	J	A	
41. Franklin Mutual GLB DISCOV - 8	A	Dividend			Sold	12/09/15	J	A	
42. Prudential Sht - 8	A	Dividend			Sold	03/12/15	J	A	
43. Templeton Global - 8	A	Dividend			Sold	02/02/15	J	A	
44. Van Eck Global - 8	A	Dividend			Sold	12/09/15	J	A	
45. Unit Guggenheim - 8	A	Dividend			Sold	08/03/15	K	A	
46. Apple - 1	A	Dividend	J	T					
47. New Energy Technologies Inc - 1	A	Dividend	J	T					
48. Tesla Motors Inc - 1	A	Dividend	J	T					
49. GNMA Fund Admiral Shares - 3 IRA	A	Int./Div.	N	T					
50. Primecap Fund Investor - 3 IRA	A	Int./Div.	L	T					
51. Total Bond Mkt Index Inv - 3 IRA	A	Int./Div.	J	T					

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52. Total Stock Mkt Index Inv - 3 IRA	A	Int./Div.	M	T					
53. Wellington Fund Admiral - 3 IRA	A	Int./Div.	O	T					
54. 500 Index Fund Adm - 3 IRA	A	Int./Div.	PI	T					
55. Jones Day 2020 Fund - 3 401	A	Int./Div.	M	T					
56. UBS Bank - 2T	A	Interest	K	T					
57. Aflac - 2T	A	Dividend			Sold	07/30/15	J	A	
58. Apple - 2T	A	Dividend	K	T					
59. Boeing - 2T	A	Dividend	J	T					
60. British Amer. Tobacco - 2T	A	Dividend	J	T					
61. California Resources Corp. - 2T	A	Dividend			Sold	03/02/15	J	A	
62. Colgate Palmolive - 2T	A	Dividend	J	T					
63. Crane Co - 2T	A	Dividend	J	T	Buy	02/25/15	J		
64. Diageo PLC - 2T	A	Dividend	J	T					
65. Dominion Resources Inc	A	Dividend	J	T	Buy	02/25/15	J		
66. Emerson Elec. - 2T	A	Dividend			Sold	03/02/15	J	A	
67. Home Depot Inc - 2T	A	Dividend	J	T	Buy	10/30/15	J		
68. Illinois Tool - 2T	A	Dividend	J	T					

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69. Intel - 2T	A	Dividend	J	T					
70. Invesco LTD - 2T	A	Dividend	J	T					
71. Johnson Controls - 2T	A	Dividend	J	T	Buy	07/30/15	J		
72. Johnson & Johnson - 2T	A	Dividend			Sold	10/30/15	J	A	
73. Marsh & McLennan Cos Inc - 2T	A	Dividend	J	T	Buy	09/14/15	J		
74. Medtronic - 2T	A	Dividend	J	T					
75. Microsoft Corp. - 2T	A	Dividend	J	T					
76. Nextera Energy - 2T	A	Dividend	J	T					
77. Nordstrom - 2T	A	Dividend	J	T					
78. Northeast Utilities (now Eversource Energy) - 2T	F	Dividend			Sold	08/04/15	J	A	
79. Novartis - 2T	A	Dividend	J	T					
80. Occidental Petroleum - 2T	A	Dividend	J	T					
81. Pearson PLC - 2T	A	Dividend			Sold	06/10/15	J	A	
82. Pepsico Inc - 2T	A	Dividend	J	T	Buy	07/30/15	J		
83. Qualcomm - 2T	A	Dividend	J	T					
84. Roche Hlgg LTD Spons ADR - 2T	A	Dividend			Sold	03/02/15	J	A	
85. Rockwell Automatic Inc - 2T	A	Dividend	J	T	Buy	02/25/15	J		

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86. Schlumberger Ltd Netherlands - 2T	A	Dividend	J	T	Buy	05/26/15	J		
87. Stanley Black & Decker - 2T	A	Dividend			Sold	03/27/15	J	A	
88. Texas Instruments 2T	A	Dividend	J	T	Buy	10/30/15	J		
89. Toronto Dominion Bk New Canada - 2T	A	Dividend	J	T					
90. Travelers Cos Inc/The - 2T	A	Dividend			Sold	05/26/15	J	A	
91. Union Pacific Corp. - 2T	A	Dividend	J	T					
92. United Parcel Service - 2T	A	Dividend			Sold	03/02/15	J	A	
93. UNTD Technologies Corp - 2T	A	Dividend	J	T					
94. VF Corp - 2T	A	Dividend	J	T					
95. YUM! Brands - 2T	A	Dividend			Sold	10/30/15	J	A	
96. EGA Emerging Global Shrs - 2T	A	Dividend	K	T	Buy	10/30/15	K		
97. SPDR S&P 500 ETF - 2T	A	Dividend	K	T					
98. Natixis Oakmark International Fund - 2T	A	Dividend	K	T	Buy	04/28/15	K		
99. Principal Sm-MD CP Div - 2T	A	Dividend	M	T	Buy	11/05/15	M		
100. T.Rowe Price Global Tech Fund - 2T	A	Dividend	K	T	Buy	07/30/15	K		
101. Bank of America - 2T	A	Interest			Sold	01/01/15	K	A	
102. FHR 3622 TA - 2T	A	Interest	K	T					

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103. Springfield IL Elec Rev - 2T	A	Dividend			Redeemed	03/02/15	K	A	
104. Mt. Pleasant Sc Rfdg B/E - 2T	A	Dividend			Sold	07/30/15	K	A	
105. Springfield IL Elec Natio RV- 2T	A	Interest	K	T					
106. California St Pub Wks - 2T	A	Interest	K	T					
107. California St Pub Wks - 2T	A	Interest	K	T					
108. Southern CA Pub Pwr - 2T	A	Interest	K	T					
109. California Cmnty Coll - 2T	A	Interest	K	T					
110. Cajon Valley CA Un Sc - 2T	A	Interest	K	T					
111. California St - 2T	A	Interest	K	T					
112. Turlock CA Irr Dist - 2T	A	Interest	K	T					
113. California ST Pub Wks - 2T	A	Interest	K	T					
114. Azusa CA Pub Finance Aut - 2T	A	Interest			Sold	09/22/15	K	A	
115. Standard CA SCH -2T	A	Interest	K	T	Buy	09/25/15	K		
116. Southern CA Pub Pwr - 2T	A	Interest	K	T	Buy	03/04/15	K		
117. IUBS Bank - 5	A	Interest			Sold	12/31/15	J	A	
118. American Balanced Fund - 5	A	Dividend			Sold	12/31/15	J	A	
119. American Century Heritage Fund - 5	A	Dividend			Sold	12/31/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. FT - Franklin Growth - 5	A	Dividend			Sold	12/31/15	J	A	
121. Goldman Sachs Rising - 5	A	Dividend			Sold	12/31/15	J	A	
122. Lord Abbett Short - 5	A	Dividend			Sold	12/31/15	J	A	
123. PIMCO Short Term - 5	A	Dividend			Sold	12/31/15	J	A	
124. Prudential Short Term - 5	A	Dividend			Sold	12/31/15	J	A	
125. UBS Bank - 4	A	Interest			Sold	12/31/15	J	A	
126. American Balanced Fund - 4	A	Dividend			Sold	12/31/15	J	A	
127. American Century Heritage Fund - 4	A	Dividend			Sold	12/31/15	J	A	
128. FT - Franklin Growth - 4	A	Dividend			Sold	12/31/15	J	A	
129. Goldman Sachs Rising - 4	A	Dividend			Sold	12/31/15	J	A	
130. Lord Abbett Short - 4	A	Dividend			Sold	12/31/15	J	A	
131. PIMCO Short Term - 4	A	Dividend			Sold	12/31/15	J	A	
132. Prudential Short Term - 4	A	Dividend			Sold	12/31/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions - All assets in the Personal Trust and the [REDACTED] Trusts are listed in Part VII.

Part VII. Investments and Trusts. Investments in lines 116-131 are investments in [REDACTED] IRA that belong to them and Judge Stormes has no control over them or interest in them. Therefore they are shown as sold, although the investments are still owned by the [REDACTED].

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Nita L. Stormes

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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