

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Amon, Carol B.	2. Court or Organization U.S. District Court, E.D.N.Y.	3. Date of Report 07/06/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 225 Cadman Plaza East Room 908S Brooklyn, NY 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Self-employed attorney - law partnership distribution
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Pepperdine University School of Law	March 16 - 17, 2017	Malibu, California	Lecturer	Transportation, lodging and food
2. Federal Bar Council	October 20 - 22, 2017	New Paltz, New York	Lecturer	Transportation, lodging and food
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Connor Inn of Court	Dinner - January 18, 2017	\$400.00
2.	Federal Bar Council	Dinner - May 9, 2017	\$500.00
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Passumpic Bank	Mortgage of rental property - Newport, VT - No rental income generated in 2017	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. RA Accts Citibank, B'klyn, NY FidelityAd.DynamicCapAppFud	B	Dividend	K	T					
2. Law Partnership, Cap Acct.		None	M	T					
3. Thomas G. Amon Pension Plan	A	Interest	J	T					
4. Thomas G. Amon PrfShr Plan	A	Interest	J	T					
5. Citibank N.A., Money Market	B	Interest	M	T					
6. Invesco/Midcap Growth	A	Dividend	K	T					
7. Fidelity Ad. Equity Growth	A	Dividend	K	T					
8. Fidelity Ad. Tech Fund	A	Dividend	J	T					
9. MFS Moderate Allocation	B	Dividend	M	T					
10. Chase Select Checking	A	Interest	J	T					
11. Encore Networks, Inc. (See VIII)		None	M	T					
12. Rental Property, Brooklyn, NY	D	Rent	P1	R					
13. AXA Equitable Variable Life Policy (See VIII)	B	Interest	J	T					
14. Aim Technology	A	Dividend	J	T					
15. Sonic Mountain, Inc.		None	K	U					
16. Citibank CD	A	Interest	J	T					
17. Johnson & Johnson	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Spoleto Corp. (See VIII)	C	Interest	N	T					
19. Pimco Total Return Fund (Bonds)	C	Interest	M	T					
20. Franklin Rising Dividends FD Class A	A	Dividend	L	T					
21. Walnut Street Finance, LLC	B	Interest	M	T					
22. Military Talent Group, Inc.		None	P1	T					
23. Airborne Wireless Network		None	L	T	Buy	01/12/17	K		
24. JP Morgan Chase Brokerage Account # 1 Header (See VIII)									
25. -Altria Group Inc					Sold (part)	01/31/17	J	B	
26. -Altria Group Inc	A	Dividend			Sold	05/23/17	J	B	
27. -Exxon					Sold (part)	01/03/17	J	A	
28. -Exxon	A	Dividend			Sold	05/10/17	J	A	
29. -CMS Energy					Sold (part)	01/03/17	J	A	
30. -CMS Energy	A	Dividend			Sold	05/26/17	J	A	
31. -MetLife					Sold (part)	01/03/17	J	A	
32. -MetLife	A	Dividend		T	Sold	03/02/17	J	A	
33. -Xcel Energy					Sold (part)	01/03/17	J	A	
34. -Xcel Energy	A	Dividend			Sold	05/20/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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35. -VF Corp.					Sold (part)	01/03/17	J	A	
36. -VF Corp.	A	Dividend			Sold	05/16/17	J	A	
37. -ACE Ltd.	A	Dividend	J	T					
38. -Kimberly Clark Corp.					Sold (part)	01/03/17	J	A	
39. -Kimberly Clark Corp.	A	Dividend			Sold	05/26/17	J	A	
40. 3M Co.					Sold (part)	01/03/17	J	A	
41. -3M Co.	A	Dividend			Sold	05/23/17	J	A	
42. -Arthur Gallagher Co.					Sold (part)	01/03/17	J	A	
43. -Arthur Gallagher Co.	A	Dividend			Sold	05/26/17	J	A	
44. -Gilead Sciences Inc					Sold (part)	01/03/17	J	A	
45. -Gilead Sciences Inc	A	Dividend			Sold	05/26/17	J	A	
46. -Chevron					Buy	02/17/17	J		
47. -Automatic Data					Sold (part)	05/20/17	J	A	
48. -Automatic Data	A	Dividend			Sold	05/20/17	J	A	
49. -Bank of America Corp					Sold (part)	01/03/17	J	A	
50. -Bank of America Corp	A	Dividend			Sold	05/26/17	J	B	
51. -Chevron Corp.					Sold (part)	01/03/17	J	A	

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52. -Chevron Corp.	A	Dividend			Sold	05/26/17	J	B	
53. -Cincinnati Financial Corp.					Sold (part)	01/03/17	J	A	
54. -Cincinnati Financial Corp.	A	Dividend			Sold	05/26/17	J	A	
55. -Cinemark Holdings Inc.					Sold (part)	01/03/17	J	A	
56. -Cinemark Holdings Inc.	A	Dividend			Sold	05/26/17	J	A	
57. -CME Group, Inc.	A	Dividend	J	T	Sold (part)	01/23/17	J	B	
58. -CME Group, Inc.					Buy	05/03/17	J		
59. -US Bancorp					Buy	05/03/17	J		
60. -Coca-Cola Co.					Sold (part)	01/03/17	J	A	
61. -Coca-Cola Co.	A	Dividend			Sold	05/26/17	J	A	
62. -Conoco Phillips					Sold (part)	01/03/17	J	A	
63. -Conoco Phillips	A	Dividend			Sold	05/26/17	J	B	
64. -Cullen Frost					Sold (part)	01/03/17	J	A	
65. -Cullen Frost	A	Dividend			Sold	05/26/17	J	A	
66. -Home Depot, Inc.					Buy	01/03/17	J		
67. -Home Depot, Inc.					Sold (part)	01/03/17	J	A	
68. -Home Depot, Inc.	A	Dividend			Sold	05/26/17	J	B	

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69. -KLA-Tencor Corp.					Sold (part)	01/03/17	J	A	
70. -KLA-Tencor Corp.	A	Distribution			Sold	05/26/17	J	A	
71. -L Brands Inc.					Sold (part)	01/03/17	J	A	
72. -L Brands Inc.	A	Distribution			Sold	04/05/17	J	A	
73. -M&T Bank Corp.					Sold (part)	01/03/17	J	A	
74. -M&T Bank Corp.	A	Dividend			Sold	05/26/17	J	A	
75. -Merck & Co. Inc.					Sold (part)	01/03/17	J	A	
76. -Merck & Co. Inc.					Buy	05/03/17	J	A	
77. -Merck & Co. Inc.	A	Dividend			Sold	05/26/17	J	B	
78. -Microsoft Corp.					Sold (part)	01/03/17	J	A	
79. -Microsoft Corp.					Buy	01/03/17	J		
80. -Microsoft Corp.	A	Dividend			Sold	05/26/17	J	B	
81. -Mondelez Int'l					Sold (part)	01/03/17	J	A	
82. -Mondelez Int'l	A	Dividend			Sold	05/04/17	J	A	
83. -Nisource Services Inc. (previously Nsource)					Sold (part)	01/03/17	J	A	
84. -Nisource Services Inc. (previously Nsource)	A	Dividend			Sold	05/26/17	J	A	
85. -Pfizer Inc.					Buy	01/03/17	J		

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86. -Pfizer Inc.					Sold (part)	01/03/17	J	A	
87. -Pfizer Inc.	A	Dividend			Sold	05/26/17	J	B	
88. -PPG Industries, Inc.					Sold (part)	01/03/17	J	A	
89. -PPG Industries, Inc.	A	Dividend			Sold	05/26/17	J	A	
90. -Proctor & Gamble Co.					Sold (part)	01/03/17	J	A	
91. -Proctor & Gamble Co.	A	Dividend			Sold	05/26/17	J	A	
92. -Republic Services Inc.	A	Dividend							
93. -Snap On					Sold (part)	01/03/17	J	A	
94. -Snap On	A	Dividend			Sold	05/26/17	J	A	
95. -T. Rowe Price Group Inc.					Sold (part)	01/03/17	J	A	
96. -T. Rowe Price Group Inc.	A	Dividend			Sold	05/26/17	J	A	
97. -The Travelers Companies Inc.					Sold (part)	01/03/17	J	A	
98. -The Travelers Companies Inc.	A	Dividend			Sold	05/26/17	J	B	
99. -Time Warner Inc.					Sold (part)	01/03/17	J	A	
100. -Time Warner Inc.	A	Dividend			Sold	05/26/17	J	A	
101. -Validus Holdings Ltd.					Sold (part)	01/03/17	J	A	
102. -Validus Holdings Ltd.	A	Dividend			Sold	05/26/17	J	A	

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103. -Verizon Communications					Sold (part)	01/03/17		A	
104. -Verizon Communications	A	Dividend			Sold	05/26/17	J	B	
105. -Wells Fargo & Co.					Sold (part)	01/03/17	J	A	
106. -Wells Fargo & Co.	A	Dividend			Sold	05/26/17	J	B	
107. -Texas Instruments					Sold (part)	01/03/17	J	A	
108. -Texas Instruments	A	Dividend			Sold	05/26/17	J	B	
109. -Johnson & Johnson					Sold (part)	01/03/17	J	A	
110. -Johnson & Johnson	A	Dividend			Sold	05/26/17	J	B	
111. -Abbvie, Inc.					Sold (part)	01/03/17	J	A	
112. -Abbvie, Inc.	A	Dividend			Sold	05/23/17	J	A	
113. -Fidelity Nat'l Information					Sold (part)	01/03/17	J	A	
114. -Fidelity Nat'l Information	A	Dividend			Sold	05/26/17	J	A	
115. -Occidental Petroleum					Sold (part)	01/03/17	J	A	
116. -Occidental Petroleum	A	Dividend			Sold	05/12/17	J	A	
117. -Edison Int'l					Sold (part)	01/03/17	J	A	
118. -Edison Int'l	A	Dividend			Sold	05/26/17	J	A	
119. -PNC Services Inc.					Buy	03/06/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Amon, Carol B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -PNC Fin. Services Inc.					Buy	01/03/17	J		
121. -PNC Fin. Services Inc.					Sold (part)	01/03/17	J	A	
122. -PNC Fin. Services Inc.	A	Dividend			Sold	05/26/17	J	B	
123. -Bristol Meyers Squibb					Sold (part)	01/03/17	J	A	
124. -Bristol Meyers Squibb	A	Dividend			Sold	05/26/17	J	A	
125. -Illinois Tool Works					Sold (part)	01/03/17	J	A	
126. -Illinois Tool Works	A	Dividend			Sold	05/26/17	J	B	
127. -DTE Energy Co.	A	Dividend			Sold	05/26/17	J	A	
128. -Accenture PLC					Sold (part)	01/03/17	J	A	
129. -Accenture PLC	A	Dividend			Sold	05/26/17	J	A	
130. -Analog Devices					Sold (part)	01/03/17	J	A	
131. -Analog Devices	A	Dividend			Sold	05/26/17	J	A	
132. -BB&T Corp.					Sold (part)	01/03/17	J	A	
133. -BB&T Corp.	A	Dividend			Sold	05/26/17	J	A	
134. -Northern Trust Corp.					Sold (part)	01/03/17	J	A	
135. -Northern Trust Corp.	A	Dividend			Sold	05/26/17	J	A	
136. -US Bancorp Del.					Sold (part)	01/03/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Amon, Carol B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. -US Bancorp Del.	A	Dividend			Sold	05/26/17	J	B	
138. -Comcast Corporation					Sold (part)	01/03/17	J	A	
139. -Comcast Corporation	A	Dividend			Sold	05/26/17	J	A	
140. -Dr. Pepper Snapple Group					Sold (part)	01/03/17	J	A	
141. -Dr. Pepper Snapple Group	A	Dividend			Sold	05/26/17	J	A	
142. -Honeywell Int'l					Sold (part)	01/03/17	J	A	
143. -Honeywell Int'l	A	Dividend			Sold	05/26/17	J	B	
144. -Eli Lilly					Sold (part)	01/03/17	J	A	
145. -Eli Lilly	A	Dividend			Sold	05/26/17	J	A	
146. JP Morgan Chase Brokerage Account # 2 Header (See VIII) (TGA)									
147. -United Overseas Bank Ltd	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
148. -Vermilion Energy Inc	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
149. -Astrazeneca	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
150. -Roche Holdings	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
151. -Smiths Group PLC	A	Dividend	J	T					
152. -BHP Ltd	A	Dividend	J	T					
153. -BNP Paribas	A	Dividend	J	T	Sold (part)	03/03/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Amon, Carol B.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. -BOCHong Kong Holdings	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
155. -British American Tobacco	A	Distribution	J	T	Sold (part)	12/14/17	J	A	
156. -CNOOC Ltd	A	Dividend	J	T	Sold	06/05/17	J	A	
157. -Deutsche Telecom	A	Dividend	J	T					
158. -Diageo PLC - Sponsored ADR	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
159. -Engie	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
160. -Glaxo Smith Kline	A	Dividend	J	T	Buy	08/11/17	J		
161. - Glaxo Smith Kline	A	Dividend	J	T	Sold (part)	12/05/17	J	A	
162. -Imperial Brands PLC	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
163. -ING Groep NV - Sponsored		None			Sold (part)	12/14/17	J		
164. -Japan Tobacco	A	Dividend	J	T	Sold (part)	12/14/17	J		
165. -JPM Tax Free	A	Dividend	J	T					
166. -Nestles SA	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
167. -Nippon Tel.	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
168. -Novartis	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
169. -Orkla ASA	A	Dividend	J	T	Sold (part)	12/05/17	J	A	
170. -Prosiebensat 1 Media SE	A	Dividend	J	T	Sold	12/05/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Amon, Carol B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. -Royal Dutch Shell PLC	A	Dividend	J	T	Buy	02/16/17	J		
172. -Royal Dutch Shell PLC	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
173. -Siemens Ag.	A	Dividend	J	T					
174. -Singapore Telecom	A	Dividend	J	T					
175. -Sonic Healthcare Ltd	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
176. -SSE PLC (See VIII)	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
177. -Statoil ASA	A	Dividend	J	T					
178. -Telefonica Brasil SA		None							
179. -PT Telekomunikasi Ind	A	Dividend	J	T					
180. -Total SA	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
181. -Unilever NV	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
182. -Vodafone Group, PLC	A	Dividend	J	T	Buy	05/12/17	J	A	
183. -Vodafone Group, PLC	A	Dividend	J	T	Sold (part)	04/17/17	J	A	
184. -Zurich Insurance Group	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
185. -Honda Motors	A	Dividend	J	T					
186. -UBS Group	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
187. -BCE Inc.	A	Dividend	J	T	Sold (part)	12/14/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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Name of Person Reporting

Amon, Carol B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -BAE Systems	A	Dividend	J	T	Sold (part)	12/16/17	J	A	
189. -ABB Ltd	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
190. -Manulife Financial Corp.	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
191. -MMC Norilsk Nickel PJSC		None	J	T					
192. JP Morgan Chase Brokerage Account # 3 Header (CBA)									
193. -Advanced Semiconductor	A	Dividend	J	T	Buy	12/06/17	J		
194. -Smurfit Karpa Group	A	Dividend	J	T	Buy	04/17/17	J		
195. -Smurfit Karpa Group					Buy	08/10/17	J		
196. -Smurfit Karpa Group					Sold (part)	12/14/17	J	A	
197. -NN Group NV					Buy	07/20/17	J		
198. -NN Group NV	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
199. -NN Group NV					Buy	12/04/17	J		
200. -Muenchener	A	Dividend	J	T	Sold (part)	12/14/17	J	A	
201. -Muenchener	A	Dividend	J	T	Buy	07/20/17	J		
202. -Allianz SE	A	Dividend	J	T	Buy	12/04/17	J		
203. -Daimler AG	A	Dividend	J	T	Buy	12/04/17	J		
204. -Daimler AG					Sold (part)	12/14/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Amon, Carol B.

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07/06/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Michelin Co.	A	Dividend	J	T	Buy	04/17/17	J		
206. JP Morgan Advisory (See VII) Account (CBA) # 4	C	Dividend	N	T					
207. -Invesco Asia Pacific Growth	A	Dividend	J	T					
208. -iShares Russell 2000 ETF	A	Dividend	J	T					
209. -iShares New York Muni Bond	A	Dividend	J	T					
210. -iShares IBOX \$ High Yield Corporate Bond ETF	A	Dividend	J	T					
211. -iShares Select Dividend ETF	A	Dividend	J	T					
212. -Lord Abbett Short Duration Income Fund	A	Dividend	J	T					
213. -Pimco High Yield Fund Instl C1	A	Dividend	J	T					
214. -Vanguard S&P 500 ETF	A	Dividend	J	T					
215. -Vanguard Total Bond Market ETF	A	Dividend	J	T					
216. -Powershares QQQ Trust Series 1	A	Dividend	J	T					
217. -Guggenheim S&P 500 Equal Weight ETF	A	Dividend	J	T					
218. -JP Morgan Deposit Acct A	A	Interest	J	T					
219. -Double Line FDS TR	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Amon, Carol B.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re: Part VII

Item 11 - Market value of vested options less exercise on 12/31/17.

Item 13. AXA Equitable Variable Life Insurance Policy - Incentive Legacy III.

Item 18 - Asset Management/Consulting Company.

Item 24 - Managed Asset Account of Spoleto Corp.

Item 146 - Managed Asset Account. - (TGA)

Item 192 - Managed Asset Account - (JPMorgan Securities) (CBA)

Item 206 - Advisory Account - (JPMorgan Securities) (CBA)

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Name of Person Reporting

Amon, Carol B.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Carol B. Amon

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544