

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Shamon, Brendan L.	2. Court or Organization United States Bankruptcy Court, District of Delaware	3. Date of Report 08/26/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States Bankruptcy Court 824 Market Street Wilmington, DE 19801-3024		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President	Neighborhood civic association, volunteer position
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Wages as adjunct professor at St. John's University Law School	\$7,000.00
2. 2012	Wages as adjunct professor at Widener University Law School	\$16,500.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	wages from part-time job for local non-profit agency, Delaware Family Voices
2. 2012	wages from part-time job as substitute teacher at Wilmington Friends School
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. St. Johns University	3/16/12-3/17/12	New York City	costs incidental to teaching seminar at law school	travel costs between DE and NY, lodging
2. St. Johns University	3/23/12-3/24/12	New York City	costs incidental to teaching seminar at law school	travel costs between DE and NY, lodging
3. National Bankruptcy Conference	5/7/12	Chicago, IL	costs incidental to teaching at conference	travel between IL and DE, meals
4. National Bankruptcy Conference	11/7/12-11/9/12	Washington, D.C.	costs incidental to teaching at conference	travel between Washington, D.C. and DE, lodging, meals
5. St. Johns University	11/16/12-11/17/12	New York City	costs incidental to teaching seminar at law school	travel costs between DE and NY, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. M & T Bank	A	Interest	K	T					
2. Vanguard Prime Money Market Fund	B	Int./Div.	L	T					
3.					Sold (part)	1/11/12	J		
4.					Sold (part)	1/11/12	J		
5.					Sold (part)	1/13/12	J		
6.					Sold (part)	1/13/12	J		
7.					Buy (add'l)	7/17/12	K		
8.					Buy (add'l)	7/17/12	K		
9.					Sold (part)	8/2/12	J		
10.					Sold (part)	8/3/12	J		
11.					Buy (add'l)	8/29/12	K		
12.					Sold (part)	8/31/12	J		
13.					Sold (part)	9/5/12	J		
14.					Buy (add'l)	9/7/12	J		
15.					Sold (part)	10/26/12	J		
16. Vanguard Intermediate Term Tax Exempt Fund, Admiral Shares	E	Int./Div.	N	T					
17. Vanguard Health Care Fund	D	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000 F = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Vanguard Total International Stock Index Fund, Admiral Shar	D	Dividend	M	T					
19.					Sold (part)	7/17/12	K		
20. Vanguard Total Stock Market Index Fund, Admiral Shares	E	Dividend	O	T					
21.					Sold (part)	7/17/12	K		
22. Vanguard Prime Money Market Fund, IRA	A	Dividend	L	T					
23. Vanguard Diversified Equity Fund, IRA	A	Dividend	M	T					
24. Vanguard Total International Stock Index Fund, IRA	C	Dividend	K	T					
25. Vanguard Total Bond Market Index Fund	C	Dividend	L	T					
26. Fidelity Contrafund	A	Dividend	K	T					
27. Fidelity Low Priced Stock Fund	A	Dividend	L	T					
28. Fidelity Low Priced Stock Fund, IRA	A	Dividend	K	T					
29. Fidelity 529 Education Account (1)(age based)	A	Dividend	L	T					
30. Fidelity 529 Education Account (2)(age based)	A	Dividend	L	T					
31. Fidelity 529 Education Account (3)(age based)	A	Dividend	L	T					
32. Rental property in Monroe County, PA (X)	C	Rent	N	W					
33. Vanguard Prime Money Market Fund (X)	A	Dividend	L	T	Buy	10/17/12	K		
34. Vanguard Diversified Equit IRA (X)	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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35. Fidelity Contrafund IRA (X)	A	Dividend	K	T					
36.					Buy (add'l)	12/11/12	J		
37.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Brendan L. Shannon**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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