

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Duffy, Patrick M.	2. Court or Organization U.S. District Court	3. Date of Report 05/11/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address P.O. Box 835 Charleston, SC 29402		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Doonbeg Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. SC Defense Trial Attorneys

11/10/16-11/13/16

Greensboro, GA

Attending annual meeting

Hotel and Registration Fee

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Credit Line	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Edward Jones Money Market Fund	A	Interest	J	T					
2. Edward Jones Money Market Fund	A	Interest	J	T					
3. Edward Jones Money Market Fund	A	Interest	J	T					
4. 1-26/78 Associate LLC ,purchase date 12/30/88, purchase price \$60,000		None	L	R					
5. SouthState Bank	A	Interest	J	T					
6. Bank of America	A	Interest	J	T					
7. Bridge Builder Core Bond	A	Dividend	J	T					
8. Bridge Builder Core Plus Bond	A	Dividend	J	T					
9. Bridge Builder Large Growth	A	Dividend	J	T					
10. Bridge Builder Smallmid Growth	A	Dividend	J	T					
11. Capital World Bond Fund CL F 1	A	Dividend	J	T					
12. CBA Aggressive Growth Fund CL F 1	A	Dividend	J	T					
13. Credit Suisse Commodity Return Strategy Fund		None	J	T					
14. Dimensional Emerging Markets Value Fund	A	Dividend	J	T	Buy	05/23/16	J		
15. Dodge & Cox Intl Stock Fund	A	Dividend	J	T					
16. Europacific Growth Fund Cl F 2	A	Dividend	J	T					
17. Hartford Dividend & Growth I	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
(See Column C2)	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Invesco Global Real Estate Fund CL Y	A	Dividend	J	T					
19. Janus Flexible Bond Fund CL I	A	Dividend	J	T					
20. Jensen Quality Growth Fund CL I	A	Dividend	J	T					
21. JPMorgan Fed Money Market Instl CI		None	J	T					
22. JPMorgan Short Duration Bond Fund	A	Dividend	J	T					
23. JPMorgan Short Duration Bond Fund	A	Dividend	J	T					
24. MFS International Value Fund CL I	A	Dividend	J	T					
25. MFS Value Fund CL I	A	Dividend	J	T					
26. Victory Integrity Small Cap Value Fund	A	Dividend	J	T	Buy	05/23/16	J		
27. Neuberger Berman High Income Bond Fund Inst CL	A	Dividend	J	T					
28. Prudential Total Return Bond Fund CL Z	A	Dividend	J	T					
29. Ridgworth FDS Total Return BD FD CL I		None			Sold	03/03/16	J	A	
30. T Rowe Price Dividend Growth Fund	A	Dividend	J	T					
31. T Rowe Price Intl FDS Inc Intl Stock Fund	A	Dividend	J	T					
32. T Rowe Price Mid Cap Value Fund	A	Dividend	J	T					
33. Templeton Income TR Global Bond Fund		None			Sold	05/23/16	J	A	
34. Victory Integrity Small Cap Value Fund CL Y	A	Dividend	J	T	Buy	05/23/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Bridge Builder Core Bond	A	Dividend	J	T					
36. Bridge Builder Core Plus Bond	A	Dividend	J	T					
37. Bridge Builder Large Growth	A	Dividend	J	T					
38. Bridge Builder Smallmid Growth	A	Dividend	J	T					
39. Capital World Bond Fund CL F 1	A	Dividend	J	T					
40. CBA Aggressive Growth Fund CL 1	A	Dividend	J	T					
41. Credit Suisse Commodity Return Strategy Fund		None	J	T					
42. Dimensional Emerging Markets Value Fund	A	Dividend	J	T	Buy	05/23/16	J		
43. Dodge & Cox Intl Stock Fund	A	Dividend	J	T					
44. Europacific Growth Fund CL F1	A	Dividend	J	T					
45. MFS International Value Fund CL 1	A	Dividend	J	T					
46. Hartford Dividend & Growth Fund CL 1	A	Dividend	J	T					
47. Invesco Global Real Estate Fund	A	Dividend	J	T					
48. Janus Flexible Bond Fund CL 1	A	Dividend	J	T					
49. Jensen Quality Growth Fund CL 1	A	Dividend	J	T					
50. JP Morgan Federal Money Market Fund Institutional CL		None	J	T					
51. JP Morgan Short Duration Bond Fund Select CL	A	Dividend	J	T					

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52. MFS Intl Value Fund CL 1	A	Dividend	J	T					
53. Neuberger Berman Income FDS High Income Bond Fund Instl	A	Dividend	J	T					
54. Prudential Total Return Bond Fund CL Z	A	Dividend	J	T					
55. Ridgeworth Funds Total Return Bond Fund CL 1	A	Dividend	J	T					
56. T Rowe Price Dividend Growth Fund	A	Dividend	J	T					
57. T Rowe Price Intl Stock Fund	A	Dividend	J	T					
58. T Rowe Price Mid Cap Value Fund	A	Dividend	J	T					
59. Templeton Global Bond Fund Advisor	A	Dividend	J	T					
60. Future Scholar - Columbia Moderate Growth Alt A	A	Int./Div.	J	T					
61. Future Scholar - Columbia Moderate Aggr Trk A	A	Int./Div.	J	T					
62. Future Scholar - Columbia Grwth A AggrTrack	A	Int./Div.	J	T					
63. American FDS Global Balanced CL A		None			Sold	10/10/16	J	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Line 4, I-26/78 Associates LLC purchased 12/30/88 for the purchase price of \$60,000.
2. Line 11 Capital World Bond Fund C1 1 name changed to Capital Worl Bond Fund C1 2
3. Lines 60-62 combined Future Scholar 529 Plans since all investmets were in same account.
4. Line 30 of the 2015 report erroneously reported Victory Munder Mid CapCore Growth Fund C1 Y as a partail sale should have been noted as a" Total Sale" on the same date of 05/15/15.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Patrick M. Duffy

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544