

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LINARES, JOSE L.	2. Court or Organization U.S. DISTRICT COURT NEW JERSEY	3. Date of Report 08/02/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address FEDERAL COURTHOUSE 50 WALNUT ST. ROOM 5054 NEWARK, NJ 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. ADJUNCT PROFESSOR	SETON HALL UNIVERSITY
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

LINARES, JOSE L.

Date of Report

08/02/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/31/17	ADJUNCT PROFESSOR SETON HALL UNIVERSITY SALARY	\$12,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 12/31/17	BHHS NJ PROPERTIES REAL ESTATE SALES COMMISSIONS
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

LINARES, JOSE L.

Date of Report

08/02/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	US TREASURY	2016 FEDERAL INCOME TAXES DUE 4/15/2018	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

LINARES, JOSE L.

Date of Report

08/02/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. wellTD BANK	A	Interest	K	T					
2. PNC BANK	A	Interest	J	T					
3. PNC BANK	A	Interest	J	T					
4. INVESTOR SAVINGS BANK (FORMERLY AMERICAN SAVINGS)	A	Interest	J	T					
5. REAL ESTATE RENTAL PT.PLEASANT NJ ON 10/24/09 FOR \$340000	A	Rent	N	R					
6. MET LIFE IRA MODERATE TO AGGRESSIVE ALLOCATION PORTFOLIO	E	Dividend	L	T	Redeemed (part)	01/04/17	O	D	
7. MET LIFE NON QUALIFIED ANNUITY FIXED RATE ANNUITY	D	Dividend	M	T					
8. IRA MET LIFE AMERICA FUNDS GLOBAL SMALL	A	Dividend			Redeemed	01/04/17	L	A	
9. IRA MET LIFE AMERICA FUNDS GROWTH	A	Dividend			Redeemed	01/04/17	K	A	
10. IRA MET LIFE AMERICA FUNDS GROWTH INCOME	A	Dividend			Redeemed	01/04/17	K	A	
11. IRA MET LIFE DAVIS VENTURE VALUE	A	Dividend			Redeemed	01/04/17	J	A	
12. IRA MET LIFE HARRIS OAKMARK INTERNATIONAL	A	Dividend			Redeemed	01/04/17	K	A	
13. IRA MET LIFE LORD ABBETT BOND DEBENTURE	A	Dividend			Redeemed	01/04/17	K	A	
14. IRA MET LIFE NEUBERGER BERMAN GENESIS	A	Dividend			Redeemed	01/04/17	J	A	
15. IRA MET LIFE WESTERN ASSET MANAGEMENT US GOVERNMENT	A	Dividend			Redeemed	01/04/17	J	A	
16. IRA MET LIFE WESTERN ASSET MGMT STRATEGIC BOND	A	Dividend			Redeemed	01/04/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

LINARES, JOSE L.

Date of Report

08/02/2018

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. MET LIFE VARIABLE ANNUITY ISHARES CORE S&P 500	B	Dividend	L	T					
18. WELLS FARGO IRA	A	Interest	K	T					
19. WELLS FARGO IRA	A	Interest	J	T					
20. WELLS FARGO IRA ISHARES CORE	B	Dividend	K	T					
21. WELLS FARGO IRA DOUBLINE FDS	C	Dividend	M	T					
22. WELLS FARGO IRA GATEWAY FUND	A	Dividend	K	T					
23. WELLS FARGO IRA LORD ABBETT TR SHORT DURATION	C	Dividend	L	T					
24. WELLS FARGO BANK	A	Interest	K	T					
25. WELLS FARGO ISHARES CORE S&P 500	A	Dividend	K	T					
26. KEY BANK NATIONAL	A	Interest	J	T					
27. WELLS FARGO IRA BLACKROCK	A	Dividend	J	T					
28. WELLS FARGO IRA BLACKROCK FUNDS II	A	Dividend	K	T					
29. WELLS FARGO IRA DOUBLELINE FDS	A	Dividend			Redeemed	07/12/17	M	A	
30. WELLS FARGO IRA GATEWAY FUND CL	A	Dividend	K	T					
31. WELLS FARGO IRA JP MORGAN TR I STRATEGIC INCOME	A	Dividend	J	T	Redeemed (part)	07/05/17	K	A	
32. WELLS FARGO IRA LORD ABBETT INV TR	A	Dividend	J	T	Redeemed (part)	07/05/17	K	A	
33. WELLS FARGO IRA PRUDENTIAL INVT PORTFOLIO	A	Dividend	J	T	Redeemed (part)	07/05/17	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

LINARES, JOSE L.

Date of Report

08/02/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

34. WELLS FARGO IRA BLACKROCK	A	Dividend	K	T					
35. WELLS FARGO IRA BLACKROCK FUNDS II	C	Dividend	M	T					
36. WELLS FARGO IRA JP MORGAN TRI STRATEGIC INCOME	A	Dividend	K	T					
37. WELLS FARGO IRA PRUDENTIAL INV PORTFOLIO	A	Dividend	K	T					
38. WELLS FARGO ADVISORS DOUBLE LINE FUNDS	A	Dividend			Redeemed	05/11/17	K	A	
39. WELLS FARGO ADVISORS JP MORGAN TR II CORE PLUS BOND FUND SELECT CLASS	B	Dividend			Redeemed	05/11/17	K	A	
40. WELLS FARGO ISHARES S&P 500 ETF GROWTH	A	Dividend	J	T	Buy	05/15/17	J		
41. WELLS FARGO BLACKROCK FUNDS II INCOME OPP	A	Dividend	K	T	Buy	09/26/17	J		
42. WELLS FARGO LORD ABBETT INV TR FLOATING RATE	A	Dividend	J	T	Buy	09/26/17	J		
43. WELLS FARGO LORD ABBWETT HIGH YIELD	A	Dividend	J	T	Buy	09/26/17	J		
44. WELLS FARGO LORD ABBETT SHORT DURATION	A	Dividend	J	T	Buy	09/26/17	J		
45. WELLS FARGO PIMCO FDS MTG OPPTY FD	A	Dividend	J	T	Buy	10/25/17	J		
46. WELLS FARGO PIMCO FDS INCOME FD INST	A	Dividend	J	T	Buy	09/26/17	J		
47. WELLS FARGO PRUDENTIAL INVT PORT INC	A	Dividend	J	T	Buy	12/27/17	J		
48. WELLS FARGO IRA GATEWAY FUND CL	A	Dividend	J	T	Buy	07/11/17	J		
49. WELLS FARGO IRA JANUS HENDERSON FUNDS BD CLASS I	A	Dividend	J	T	Buy	07/11/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

LINARES, JOSE L.

Date of Report

08/02/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
50. WELLS FARGO IRA JP MORGAN TR II CORE PLUS	A	Dividend	J	T	Buy	07/11/17	J		
51. WELLS FARGO IRA PIMCO FDS MTG OPPTY FD	A	Dividend	J	T	Buy	07/11/17	J		
52. WELLS FARGO IRA PIMCO FDS INCOME FD INST	A	Dividend	J	T	Buy	07/11/17	J		
53. WELLS FARGO IRA JANUS HENDERSON FUNDS FLEX BD	A	Dividend	J	T	Buy	06/14/17	J		
54. WELLS FARGO IRA LORD ABBETT INV TR FLOATING RATE	A	Dividend	K	T	Buy	06/14/17	K		
55. WELLS FARGO IRA JP MORGAN TR II CORE PLUS	A	Dividend	K	T	Buy	06/14/17	K		
56. WELLS FARGO IRA PIMCO FDS MTG OPPTY FD	B	Dividend	K	T	Buy	06/14/17	K		
57. WELLS FARGO IRA PIMCO FDS INCOME FD	B	Dividend	K	T	Buy	06/14/17	K		
58. WELLS FARGO IRA BANK DEPOSIT	A	Interest	K	T	Buy	12/01/17	K		
59. WELLS FARGO IRA ISHARES CORE S&P 500 ETF	A	Dividend	M	T	Buy	11/15/17	M		
60. WELLS FARGO IRA BLACKROCK TOTAL RETURN FUND	B	Dividend	L	T	Buy	01/06/17	K		
61. WELLS FARGO IRA BLACKROCK FUNDS II STRATEGIC INC OPPT	D	Dividend	N	T	Buy	01/06/17	M		
62. WELLS FARGO IRA GATEWAY FUND CL Y	A	Dividend	L	T	Buy	01/06/17	L		
63. WELLS FARGO IRA JANUS HENDERSON FUNDS FLEX BD	A	Dividend	K	T	Buy	01/06/17	K		
64. WELLS FARGO IRA JP MORGAN TR I STRATEGIC INC OPPT	B	Dividend	L	T	Buy	01/06/17	K		
65. WELLS FARGO IRA JP MORGAN TR I CORE PLUS BOND FD	B	Dividend	L	T	Buy	01/06/17	L		
66. WELLS FARGO IRA PIMCO FD MTG OPPT FD INST CL	C	Dividend	M	T	Buy	01/06/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

LINARES, JOSE L.

Date of Report

08/02/2018

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
67. WELLS FARGO IRA PIMCO FDS INCOME FD INSTL CL	D	Dividend	M	T	Buy	01/06/17	M		
68. WELLS FARGO IRA PRUDENTIAL INV PORT14 FLTG RATE INC	C	Dividend	M	T	Buy	01/06/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

LINARES, JOSE L.

Date of Report

08/02/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

LINARES, JOSE L.

Date of Report

08/02/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ JOSE L. LINARES**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544