

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Webb, William A.	2. Court or Organization NCE	3. Date of Report 06/30/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Magistrate Judge- Retired	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 05/02/2014
7. Chambers or Office Address PO Box 22850 Raleigh, NC 27611		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	Prudential Retirement	\$245,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	Duke University-teaching
2. 2013	NC State Employees Retirement System-
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Susman Godfrey, LLP	legal services	\$26,658.91
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. North State Bancorp, Common		None	K	T					
2. Ameriprise MM	A	Int./Div.	J	T					
3. Riversource Life Insurance Co-Immediate Annuity		None	L	W					
4. Ameriprise Brokerage Acct #1 (H)									
5. -Ameriprise MM	A	Interest	J	T					
6. -AGG	A	Dividend	K	T	Buy	02/27/14	L		
7. -AGG					Sold (part)	03/07/14	K		
8. -AGG					Sold (part)	04/02/14	J		
9. -ASRAX	B	Dividend			Sold	12/31/13	K		
10. -BNDX	A	Dividend	K	T	Buy	02/27/14	K		
11. -BNDX					Sold (part)	04/02/14	J		
12. -CSJ	A	Dividend	K	T	Buy	02/27/14	K		
13. -CSJ					Sold (part)	04/02/14	J		
14. -DLHAX	A	Dividend			Sold	02/27/14	K	D	
15. -DPDFX	B	Dividend			Sold (part)	10/22/13	J		
16. -DPDFX					Sold	12/31/13	K		
17. -EEMV		Dividend	K	T	Buy	01/06/14	K		

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -EPP			K	T	Buy	04/04/14	K		
19. -ETAGX	A	Dividend	K	T	Sold (part)	10/22/13	J	B	
20. -FDAGX	B	Dividend			Sold	12/31/13	K	C	
21. -FKUTX	B	Dividend			Sold	12/31/13	K	B	
22. -GHAAX	A	Dividend			Sold	02/27/14	K	C	
23. -HLMGX	A	Dividend			Sold	02/27/14	L	E	
24. -IEF			K	T	Buy	04/02/14	K		
25. -IJJ			K	T	Buy	04/04/14	K		
26. -IWN	A	Dividend			Buy	03/03/14	K		
27. -IWN					Sold	04/02/14	K	A	
28. -IYR	A	Dividend	J	T	Buy	02/27/14	J		
29. -JNK			K	T	Buy	04/04/14	K		
30. -PDVAX	B	Dividend			Sold (part)	10/22/13	J		
31. -PDVAX					Sold	12/31/13	K		
32. -PBSMX	C	Dividend			Sold (part)	04/03/13	K		
33. -PBSMX					Sold (part)	05/03/13	K		
34. -PBSMX					Sold (part)	06/03/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -PBSMX					Sold (part)	07/02/13	K		
36. -PBSMX					Sold	12/31/13	M		
37. -PIZ	A	Dividend	K	T	Buy	03/03/14	K		
38. -QQQ	A	Dividend			Buy	03/03/14	K		
39. -QQQ					Sold	04/02/14	K		
40. -PTLAX	A	Dividend			Buy	01/06/14	L		
41. -PTLAX					Sold	02/27/14	L	A	
42. -SGENX	A	Dividend			Buy	10/24/13	J		
43. -SGENX					Buy (add'l)	12/19/13	J		
44. -SGENX					Sold	02/27/14	J		
45. -SPLV	A	Dividend	L	T	Buy	01/06/14	L		
46. -SPLV					Sold (part)	02/27/14	K	A	
47. -TPINX	A	Dividend			Buy	01/06/14	L		
48. -TPINX					Sold	02/27/14	L		
49. -VGK	A	Dividend			Buy	03/03/14	K		
50. -VGK					Sold	04/02/14	K	A	
51. -VNQ			K	T	Buy	04/02/14	K		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. -VTI	A	Dividend	K	T	Buy	02/27/14	K		
53. -XLK	A	Dividend			Buy	02/27/14	K		
54. -XLK					Sold	04/02/14	K	A	
55. -XLI	A	Dividend			Buy	02/27/14	K		
56. -XLI					Sold	04/02/14	K	A	
57. -XLU			K	T	Buy	04/02/14	K		
58. IRA-#1	D	Int./Div.	N	T					
59. -Ameriprise Money Market									
60. -JHIAX					Sold	07/26/13	K		
61. -Oppenheimer Strategic Income Fund					Sold	07/26/13	K		
62. -ODMAX					Sold	03/03/14	K		
63. -Van Eck Global Hard Assets Fund					Sold	07/26/13	K	A	
64. - Vanguard FTSE All World Ex US					Sold	07/29/13	J		
65. -J P Morgan Chase NA Bskt Stks Lkd CD					Sold	06/03/13	K		
66. -AGG					Buy	02/24/14	L		
67. -BKLN					Buy	07/29/13	J		
68. -BKLN					Sold	02/04/14	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69. -CSJ					Buy	04/14/14	K		
70. -CWB					Buy	07/29/13	J		
71. -CWB'					Sold	02/04/14	J	A	
72. -EEMV					Buy	03/05/14	K		
73. -ETAGX					Sold (part)	10/22/13	J	C	
74. -GATEX									
75. -GATEX					Sold	04/08/14	K	A	
76. -IEF					Buy	04/02/14	L		
77. -IYR					Buy	04/14/14	J		
78. -JNK					Buy	07/29/13	J		
79. -JNK					Sold	04/02/14	J	A	
80. -JVIAX					Sold	04/08/14	L	C	
81. -MEIAX					Buy (add'l)	03/28/13	J		
82. -MEIAX					Buy (add'l)	06/27/13	J		
83. -MEIAX					Buy (add'l)	09/26/13	J		
84. -MEIAX					Buy (add'l)	12/11/13	J		
85. -MEIAX					Sold	04/08/14	L	E	

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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86. -PHRAX					Buy (add'l)	03/21/13	J		
87. -PHRAX					Buy (add'l)	06/21/13	J		
88. -PHRAX					Buy (add'l)	09/23/13	J		
89. -PHRAX					Buy (add'l)	12/23/13	J		
90. -PHRAX					Sold	04/08/14	K	B	
91. -PIZ					Buy	03/05/14	K		
92. -PTTDX					Sold	04/08/14	K	A	
93. -SGENX					Buy	11/08/13	J		
94. -SGENX					Buy (add'l)	12/19/13	J		
95. -SGENX					Sold	04/10/14	J		
96. -SGRAX					Sold	01/22/14	L	E	
97. -SPLV					Buy	04/10/14	L		
98. -VTI					Buy	04/10/14	K		
99. -XRT					Buy	07/29/13	K		
100. -XRT					Sold	01/14/14	K	A	
101. -XLI					Buy	01/14/14	K		
102. -XLI					Sold	04/02/14	K	A	

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103. -XLU					Buy	04/02/14	L		
104. -XLY					Buy	07/29/13	K		
105. -XLY					Sold	04/02/14	K	C	
106. AFLAC Common	A	Int./Div.	J	T					
107. Duke Energy Common	A	Dividend	J	T					
108. Dupree NC Tax Free Short to Medium Series	A	Interest	K	T					
109. North State Bank accounts	A	Interest	J	T					
110. Spectra Energy Corp	A	Dividend	J	T					
111. IRA-#2	A	Int./Div.	J	T					
112. -NC Stable Value 401K									
113. Sirius SX Radio Inc		None			Sold	06/24/13	J	B	
114. Trust #1 (H)	A	Interest							
115. - General MM					Closed	01/31/13	J		
116. Trust #2 (H)	A	Interest							
117. -ML Bank Deposit Program					Closed	03/14/13	O		
118. Neuberger Berman- Guardian Fund Investor Class (x)	A	Int./Div.	J	T					
119.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII Investments & Trusts

Line 114-117 represent Trusts that were closed during 2013 and the cash was distributed to the beneficiaries.

Part V Gifts [REDACTED] was one of 7 named plaintiffs in Barker et al v. United States, Case No. 1:12-cv-00826 (Fed. Cl.) brought to challenge the denial of Article III judges' cost-of-living adjustments (COLAs) over multiple years. Attorneys fees and costs were expended between November 2012 through December 31, 2013 in the total amount of \$186,612.35 on a pro bono basis by the law firm of Susman Godfrey, LLP, [REDACTED] Seattle, WA 98101 who served as plaintiffs' counsel without compensation or reimbursement from me or the other plaintiffs.

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Name of Person Reporting

Webb, William A.

Date of Report

06/30/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William A. Webb

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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