

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lettow, Charles F.	2. Court or Organization U.S. Court of Federal Claims	3. Date of Report 08/29/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Ct. of Fed. Claims Judge - - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address National Courts Building 717 Madison Place, N.W. Washington, D.C.		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President and Director	Busy Way Farms, Inc. (Subchapter S farm entity wholly owned by family members; position is not compensated)
2. Partner	Homestead Partnership (small farm entity wholly owned by family members; position is not compensated)
3. Executor	Estate of family member
4. Trustee	Family trust
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/1/1993	Cleary, Gottlieb, Steen & Hamilton retirement plan with former law firm, no control
2.	(NOTE: An opinion from the Committee on Codes of Conduct dated June 13, 2003, considers that receipt of the retirement annuity provided under this plan accords
3.	with the Code of Conduct for United States Judges.)

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Lettow, Charles F.

Date of Report

08/29/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2016	Cleary, Gottlieb, Steen & Hamilton (retirement annuity)	\$732,322.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Busy Way Farms, Inc.	April 14-18, 2016	Iowa Falls, IA	trip to farms	transportation, meals & lodging
2. Busy Way Farms, Inc.	June 15-17, 2016	Iowa Falls, IA	trip to farms	transportation, meals & lodging
3. Busy Way Farms, Inc.	Aug. 6-8, 2016	Iowa Falls, IA	trip to farms	transportation, meals & lodging
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Lettow, Charles F.

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08/29/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Morgan Stanley	Portfolio Loan Account	O
2.	Pacific Life	Life Policy Loan	O
3.	Morgan Stanley	Portfolio Loan Account	O
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Lettow, Charles F.

Date of Report

08/29/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. (H) IRA #1									
2. -Morgan Stanley Bank	A	Interest			Distributed	08/03/16	J		See Part VIII
3. -AEE	A	Dividend			Distributed	08/03/16	K		See Part VIII
4. -BGS	A	Dividend			Distributed	08/03/16	K		See Part VIII
5. -CAT	A	Dividend			Distributed	08/03/16	J		See Part VIII
6. -CL	A	Dividend			Distributed	08/03/16	K		See Part VIII
7. NGVT		None			Spinoff (from line 9)	05/19/16	J		
8.					Distributed	08/03/16	J		See Part VIII
9. -WRK	A	Dividend			Distributed	08/03/16	J		See Part VIII
10. -SJM	A	Dividend			Distributed	08/03/16	K		See Part VIII
11. (H) Brokerage Act. #1									
12. -Morgan Stanley Bank	A	Interest			Distributed	10/13/16	J		See Part VIII
13. -COF	A	Dividend			Distributed	9/27/16	L		See Part VIII
14. -CL	C	Dividend			Distributed	9/27/16	M		See Part VIII
15. -KMI	A	Dividend			Distributed	10/17/16	J		See Part VIII
16. -BBT	B	Dividend			Distributed	10/17/16	L		See Part VIII
17. -MNK		None			Distributed	9/27/16	J		See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -MDT	A	Dividend			Distributed	9/27/16	J		See Part VIII
19. -GIS	C	Dividend			Distributed	10/17/16	M		See Part VIII
20. MRK	B	Dividend			Distributed	10/17/16	K		See Part VIII
21. -MMM (3M)	C	Dividend			Distributed	10/17/16	M		See Part VIII
22. -VZ	A	Dividend			Distributed	10/17/16	K		See Part VIII
23. -WFC	B	Dividend			Distributed	10/17/16	L		See Part VIII
24. -JNJ	B	Dividend			Distributed	9/27/16	L		See Part VIII
25. (H) IRA #2									
26. -Morgan Stanley Bank	A	Interest	J	T	Distributed (part)	8/3/16	J		See Part VIII
27. -AEE	A	Dividend	K		Distributed	8/3/16	K		See Part VIII
28. -BBT	E	Dividend	O	T					
29. -BGS	E	Dividend	O	T	Distributed (part)	08/03/16	K		See Part VIII
30. -CAT	A	Dividend	J	T	Distributed	8/3/16	J		See Part VIII
31. -CVX	E	Dividend	O	T					
32. -CL	D	Dividend	N	T	Distributed (part)	8/3/16	K		See Part VIII
33. -INGR	D	Dividend	N	T					
34. -GE	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -NGVT		None	J	T	Distributed	8/3/16	J		See Part VIII
36. -WRK	D	Dividend	N	T	Distributed (part)	8/3/16	K		See Part VIII
37. -PKG	E	Dividend	O	T					
38. -PX	D	Dividend	N	T					
39. -SJM	D	Dividend	O	T	Distributed (part)	8/3/16	K		See Part VIII
40. -XOM	D	Dividend	M	T					
41. (H) Brokerage Act. #2									
42. -Morgan Stanley Tax-Free Income Trust	A	Interest			Distributed	10/27/16	K		See Part VIII
43.					Distributed	10/31/16	K		See Part VIII
44. -COF	E	Dividend			Distributed (part)	10/18/16	N		See Part VIII
45.					Distributed	10/27/16	N		See Part VIII
46. -WFC	B	Dividend			Sold	9/2/16	M		
47. PEP	D	Dividend			Distributed	01/26/16	N		See Part VIII
48.					Distributed (part)	10/18/16	M		See Part VIII
49.					Distributed	10/27/16	M		See Part VIII
50. -PKG	E	Dividend			Distributed (part)	10/18/16	N		See Part VIII
51.					Distributed	10/27/16	N		See Part VIII

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FINANCIAL DISCLOSURE REPORT

Page 7 of 14

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52. STR	D	Dividend			Distributed	01/26/16	N		See Part VIII
53.					Sold (part)	09/02/16	N	G	
54.					Sold	09/21/16	J		
55. -PX	D	Dividend			Distributed (part)	10/18/16	M		See Part VIII
56.					Distributed	10/27/16	M		See Part VIII
57. -SJM	D	Dividend			Distributed (part)	10/18/16	M		See Part VIII
58.					Distributed	10/27/16	M		See Part VIII
59. (H) Brokerage Act. #3									
60. -Morgan Stanley Bank	A	Interest	J	T	Distributed (part)	10/26/16	J		See Part VIII
61. FITB	A	Dividend	K	T					
62. Intentionally left blank									
63. -BBT		None	N	T	Distributed	12/20/16	N		See Part VIII
64. -BPT		None	J	T	Distributed	12/20/16	J		See Part VIII
65. -CL		None	N	T	Distributed (part)	12/20/16	J		See Part VIII
66. XOM		None	M	T	Distributed	12/20/16	M		See Part VIII
67. -NGVT		None	J	T	Spinoff (from line 75)	05/19/16	J		See Part VIII
68. -KMI		None	L	T	Distributed	12/20/16	L		See Part VIII

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FINANCIAL DISCLOSURE REPORT

Page 8 of 14

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69. -PKG		None	N	T	Distributed	10/27/16	N		See Part VIII
70. -PEP		None	M	T	Distributed	10/27/16	M		See Part VIII
71. QEP		None	M	T	Distributed	12/16/16	M		See Part VIII
72. -COF	C	Dividend	O	T	Distributed	10/27/16	O		See Part VIII
73. SJM	B	Dividend	M	T	Distributed	10/27/16	N		See Part VIII
74. LNT		None	J	T	Distributed	12/20/16	J		See Part VIII
75. -WRK	A	Dividend	J	T					
76. -PX	B	Dividend	M	T	Distributed	10/27/16	M		See Part VIII
77. -(H) Brokerage Act. #4									
78. -BIF Money Fund (name change from ML Bank Dep. Program)		None			Distributed	12/20/16	J		See Part VIII
79. -LNT	A	Dividend			Distributed (part)	12/20/16	J		See Part VIII
80.					Sold	12/21/16	J		
81. -BPT	B	Royalty			Distributed	12/20/16	J		See Part VIII
82. -CL	E	Dividend			Distributed (part)	12/20/16	O		See Part VIII
83.					Sold	12/21/16	J		
84. -XOM	D	Dividend			Distributed (part)	12/20/16	N		See Part VIII
85.					Sold	12/21/16	J		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 14

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86. -BBT	E	Dividend			Sold (part)	11/25/16	M	D	
87.					Distributed (part)	12/20/16	O		See Part VIII
88.					Sold	12/21/16	J		
89. -KMI	D	Dividend			Sold (part)	11/25/16	J		
90.					Distributed (part)	12/20/16	M		See Part VIII
91.					Sold	12/21/16	J		
92. -(H) Brokerage Act. #5									
93. -PEP	D	Dividend			Distributed	01/26/16	N		See Part VIII
94. -QEP	B	Dividend			Distributed	12/16/16	M		See Part VIII
95. -STR	D	Dividend			Distributed	01/26/16	N		See Part VIII
96. -USAA Money Mkt. Fund		None		T	Distributed	12/16/16	J		See Part VIII
97. (H) Trust #1									
98. -Morgan Stanley Bank	A	Interest	L	T	Distributed (part)	10/26/16	J		See Part VIII
99.					Distributed	12/21/16	K		See Part VIII
100. -MMM (3M)	B	Dividend	M	T	Distributed	10/25/16	M		See Part VIII
101. -BBT	A	Dividend	N	T	Distributed (part)	10/25/16	L		See Part VIII
102.					Distributed	12/21/16	N		See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 10 of 14

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103. -COF	C	Dividend	O	T	Distributed	10/25/16	O		See Part VIII
104. -CL		None	O	T	Distributed (part)	10/25/16	M		See Part VIII
105.					Distributed	12/21/16	N		See Part VIII
106. -GIS		None	M	T	Distributed	10/25/16	M		See Part VIII
107. -JNJ	A	Dividend	L	T	Distributed	10/25/16	L		See Part VIII
108. -KMI	A	Dividend	M	T	Distributed (part)	10/25/16	J		See Part VIII
109.					Distributed	12/21/16	M		See Part VIII
110. -MNK		None	J	T	Distributed	10/25/16	J		See Part VIII
111. -MDT		None	J	T	Distributed	10/25/16	J		See Part VIII
112. -MRK		None	K	T	Distributed	10/25/16	K		See Part VIII
113. -PKG		None	N	T	Distributed	10/25/16	N		See Part VIII
114. -PEP		None	M	T	Distributed	10/25/16	M		See Part VIII
115. -PX	B	Dividend	M	T	Distributed	10/25/16	M		See Part VIII
116. -SJM	B	Dividend	M	T	Distributed	10/25/16	M		See Part VIII
117. -VZ		None	K	T	Distributed	10/25/16	K		See Part VIII
118. -WFC	A	Dividend	L	T	Distributed	10/25/16	L		See Part VIII
119. -QEP		None	M	T	Distributed	12/16/16	M		See Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 14

Name of Person Reporting

Lettow, Charles F.

Date of Report

08/29/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -LNT		None	J	T	Distributed	12/21/16	J		See Part VIII
121. -BPT		None	J	T	Distributed	12/21/16	J		See Part VIII
122. -XOM		None	M	T	Distributed	12/21/16	M		See Part VIII
123. -Stoneham Partners	A	Interest	P1	Q	Distributed	06/12/16	P1		See Part VIII
124. -Vorbeck Materials pfd. stock		None	N	Q	Distributed	06/12/16	N		See Part VIII
125. -Vorbeck Materials bridge loan		None	P1	Q	Distributed	06/12/16	P1		See Part VIII
126. USAA Money Mkt. Fund	A	Dividend	L	T					
127. USAA VA Money Market Fund	A	Dividend	J	T					
128. Mass Mutual Whole Life	A	Interest			Redeemed	09/12/16	J		
129. Thrivent Whole Life	A	Distribution	J	U					
130. USAA Whole Life 1	B	Distribution	K	U					
131. USAA Whole Life 2	B	Distribution	K	U					
132. Pacific Life Variable (Fixed LT Account)	E	Distribution	O	U					
133. USAA Subsc. Sav. Act.	A	Distribution	K	U					
134. Busy Way Farms	E	Int./Div.	O	U					
135. B&T bank act.	A	Interest	J	T					
136. Homestead Partnership	A	Distribution	L	U					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Lettow, Charles F.

Date of Report

08/29/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Stoneham Partners	E	Interest	P1	U					
138. Vorbeck Materials pfd. stock		None	O	U					
139. Vorbeck Materials bridge loan	E	Interest	P1	U	Buy	02/09/16	M		
140.					Buy	03/28/16	L		
141.					Buy	04/05/16	M		
142.					Buy	05/24/16	L		
143.					Buy	07/15/16	K		
144.					Buy	07/26/16	L		
145.					Buy	08/17/16	N		
146.					Buy	10/01/16	K		
147.					Buy	11/01/16	L		
148.					Buy	11/16/16	J		
149.					Buy	11/30/16	M		
150.					Buy	12/29/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Lettow, Charles F.

Date of Report

08/29/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The estate of a family member retained no assets. All were distributed to a family trust. See Trust #1.

Assets in Trust #1, lines 123, 124 and 125 were appraised 11/29/16.

Regarding Lines 2 through 6, and lines 8, 9, and 10, IRA #1 was transferred to IRA #2, lines 26, 27, 29, 30, 32, 35, 36, and 39. After the transfer, IRA #1 was closed..

Regarding lines 12 through 24, Brokerage Act. #1, assets in the account were first transferred to an estate account on 9/27/16, 10/13/16 or 10/17/16, and then to Trust Act. #1 on 10/25/16, 10/26/16, and 11/2/16, see Trust Act. #1, lines 98, 100, 101, 103, 104, 106, 107, 108, 110, 111, 112, 117 and 118. Brokerage Act. #1 has been closed.

Regarding lines 42 through 58, Brokerage Act. #2, assets in this account were held as tenants in common. Those assets were transferred, upon an equal split, to Brokerage Act. #3, lines 60, 69, 70, 72, 73 and 76 (on 10/27/16), and to Trust Act. #1, lines 98, 103, 113, 115, 116 and 118 (on 10/18, 25 and 27/16). Brokerage Act. #2 has been closed.

Regarding lines 78, 79, 81, 82, 84, 86, and 86, Brokerage Act. #4, assets in this account were held as tenants in common. Those assets were transferred upon an equal split to Brokerage Act. #3, lines 62, 63, 64, 65, 66, 68 and 74 (on 12/20/16), and to Trust Act. #1, lines 99, 102, 105, 109, 120, 121 and 122 (on 12/21/16). Brokerage Act. #4 has been closed.

Regarding lines 93 through 96, Brokerage Act. #5, assets in this account were held as tenants in common. Those assets were transferred partially to Brokerage Act. #2, lines 47 and 52 (on 1/26/16), and then split between Brokerage Act. #3, line 71 (on 12/16/16), and Trust #1, line 119 (on 12/16/16). Brokerage Act. #5 has been closed.

Regarding lines 123 through 125, and 137 through 139, securities were held jointly as tenants in common. One-half was transferred to Trust #1.

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Lettow, Charles F.

Date of Report

08/29/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Charles F. Lettow**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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