

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Caddell, Jack	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 04/02/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address P O Box 2004 Decatur AL 35602-2004		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Caddell, Jack

Date of Report

04/02/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Mason University Law & Economics	04/10/2011-04/13/2011	Washington D.C.	Seminar	Lodging, Airfare, Meals
2. Alabama State Bar	06/09/2011 - 06/11/2011	Destin, FL	Bankruptcy at the Beach Seminar	Lodging, Mileage
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Caddell, Jack

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04/02/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

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04/02/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking Acct.-Regions Bank		None	J	T					
2. Savings Acct. - Regions Bank	A	Interest	K	T					
3. Schwab Bank Account	A	Interest	J	T					
4. American Century Gov't	A	Int./Div.	J	T					
5. Ashton/Optimum	A	Int./Div.			Sold	09/29/11	J	A	
6. American Century Tax Free	A	Int./Div.			Sold	09/29/11	K	A	
7. American Century Equity	A	Int./Div.	K	T					
8. American Financial Group	A	Int./Div.	J	T					
9. Baron Growth Fund	A	Int./Div.	J	T	Sold (part)	03/28/11	J	A	
10. BP	A	Int./Div.			Sold	04/30/10	K	A	**See VIII
11. Freeport McMoran Copper	A	Int./Div.	J	T	Buy	10/6/11	J		
12. Glenmede Small Cap	A	Int./Div.	K	T	Buy	09/29/11	K		
13. Harbor International Fund	A	Int./Div.	K	T	Buy	09/29/11	K		
14. IShares Barclays	A	Int./Div.	K	T	Sold (part)	03/28/11	J	A	
15. Janus Overseas Fund	A	Int./Div.	K	T					
16. Nextera Energy (IRA)	A	Int./Div.	K	T					
17. OGE Energy Corp	A	Int./Div.	J	T	Sold (part)	03/28/11	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A –\$1,000 or less F –\$50,001 - \$100,000	B –\$1,001 - \$2,500 G –\$100,001 - \$1,000,000	C –\$2,501 - \$5,000 H1 –\$1,000,001 - \$5,000,000	D –\$5,001 - \$15,000 H2 –More than \$5,000,000	E –\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J –\$15,000 or less N –\$250,001 - \$500,000 P3 –\$25,000,001 - \$50,000,000	K –\$15,001 - \$50,000 O –\$500,001 - \$1,000,000	L –\$50,001 - \$100,000 P1 –\$1,000,001 - \$5,000,000 P4 –More than \$50,000,000	M –\$100,001 - \$250,000 P2 –\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q –Appraisal U –Book Value	R –Cost (Real Estate Only) V –Other	S –Assessment W –Estimated	T –Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Pace Oil & Gas LTD	A	Int./Div.	J	T					
19. Parnassus Equity Income Fund	A	Int./Div.	L	T					
20. Pimco Total Return Fund	A	Int./Div.	K	T					
21. Provident Energy Trust	A	Int./Div.	J	T					
22. Regions Financial		None			Buy	07/11/11	J		
23. Regions Financial		None			Sold	07/26/11	J	A	
24. Sanmina-SCI Corp.	A	Int./Div.			Sold	12/29/11	J	A	
25. Schwab 1000 Index Fund	A	Int./Div.	K	T					
26. Schwab S&P 500 Index	A	Int./Div.	M	T					
27. Select Sector Financial	A	Int./Div.			Sold	03/28/11	J	A	
28. SSGA INTL Stock	A	Int./Div.	J	T	Sold (part)	03/28/11	J	A	
29. Scout Internal Fund	A	Int./Div.	L	T					
30. SPDR Gold IRA	A	Int./Div.	J	T	Buy	07/11/11	J		
31. SPDR Trust	A	Int./Div.	M	T					
32. Templeton Global Bond	A	Int./Div.	J	T	Buy	03/28/11	J		
33. TIAA CREF	A	Int./Div.	K	T	Buy	09/29/11	K		
34. University Texas	A	Int./Div.			Sold	08/12/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

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35. Valero Energy Corp	A	Int./Div.	J	T	Buy	07/11/11	J		
36. Vanguard Morgan Growth	A	Int./Div.	L	T	Sold (part)	03/28/11	J	A	
37. Vanguard Small Cap	A	Int./Div.	M	T					
38. Vanguard Value ETF	A	Int./Div.	K	T					
39. Vanguard Small Corp (IRA)	A	Int./Div.	K	T					
40. Walmart Stores Inc.	A	Int./Div.			Sold	07/12/11	J	A	
41. Cash in Schwab Brokerage Account	A	Int./Div.	K	T					
42. Arizona St. Transn. Brd, Hwy. Rev. 5%	A	Int./Div.	J	T	Buy	11/17/11	J		
43. Auburn University due 6/1/25	A	Int./Div.	J	T	Buy	12/8/11	J		
44. Auburn University ALA GEN due 6/1/20	A	Int./Div.	J	T					**See VIII
45. California State Dept Municipal Bond	A	Int./Div.			Sold	02/16/11	J		
46. California ST FOR 5% due 6/1/20	A	Int./Div.	J	T					**See VIII
47. Chicago Ill Trans Authority	A	Int./Div.	J	T	Buy	10/27/11	J		
48. Chicago ILL WTR REV	A	Int./Div.	J	T					
49. Florida State BRD ED	A	Int./Div.	J	T					
50. Iowa State SPL OBLIG	A	Int./Div.	J	T					
51. Iowa Finance Authority REV	A	Int./Div.	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

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52. Kansas ST DEV FIN	A	Int./Div.	J	T					
53. Los Angeles California	A	Int./Div.	J	T					
54. Maine Municipal Bond	A	Int./Div.	J	T					
55. Minnesota PUB FACS	A	Int./Div.	J	T					
56. Minnesota ST MUN PWR	A	Int./Div.	J	T					
57. New Mexico Finance Authority	A	Int./Div.	J	T					
58. New York New York Municipal Bond for PR 5%	A	Int./Div.	J	T					**See VIII
59. New York ST TWY	A	Int./Div.	J	T					
60. North Carolina Municipal Bond 5% due 6/15/21	A	Int./Div.	J	T					**See VIII
61. Ohio ST TPK COMMN	A	Int./Div.	J	T					
62. Pennsylvania St. 5.75%	A	Int./Div.	J	T	Buy	02/11/11	J		
63. Phoenix Arizona Civic	A	Int./Div.	J	T					
64. Southern California Pub	A	Int./Div.	J	T					
65. TEXAS ST 5% 21 GO	A	Int./Div.	J	T					**See VIII
66. Texas ST PUB FIN AUTH	A	Int./Div.	J	T					
67. Texas St. Pup. Fin. Auth. due 1/1/20	A	Int./Div.	J	T	Buy	12/8/10	J		**See VIII
68. University ALA	A	Int./Div.	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Caddell, Jack

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69. University ALA	A	Interest			Sold	06/12/11	J		
70. University California RE 5%	A	Int./Div.	J	T					**See VIII
71. Virginia College BLDG	A	Int./Div.	J	T					
72. Wisconsin ST CLEAN	A	Int./Div.	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Caddell, Jack

Date of Report

04/02/2012

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

**Line 9 - Should have been reported on 2010 report

**Line 18 - Sold more shares on 9/28/11

**Line 32 - Name Correction

**Line 36 - Name Correction

**Line 37 - Name Correction

** Line 41 - Name Correction

**Line 54 - Name Correction

**Line 55 - Should have been reported on 2010 report

**Line 71 - Should have been reported on 2010 report

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Caddell, Jack

Date of Report

04/02/2012

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Jack Caddell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544