

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Theiler, Mary Alice	2. Court or Organization U.S. District Court, West. Wa	3. Date of Report 05/08/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 700 Stewart Seattle, WA 98101-1271		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 05-30-2003	Douglas Drachler & McKee LLP, Former Member 85% of WIP 4-30-03 \$1,372
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☐ NONE (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Wash. State Employees Credit Union	Credit Card	J
2.	Nordstrom Corp	Credit Card	J
3.	Alaska Airlines	Credit Card	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America checking		None	J	T					
2. Prime Money Market Fund RBC Investor Class	A	Dividend	J	T					
3. Nordstrom Inc, common sk	A	Dividend	J	T					
4. Starbucks Corp, common sk	A	Dividend	K	T					
5. Federated Money Mkt Oblig TR Automated Cash Management SS	A	Dividend	J	T					
6. Dodge & Cox Stock Fd	A	Dividend	K	T	Sold (part)	06/15/12	J	A	
7. Growth Fund of America	A	Dividend	K	T	Sold (part)	06/15/12	J	A	
8. Keeley Small Cap Value Fd	A	Dividend	K	T	Sold (part)	06/15/12	J		
9. Lord Abbett Affiliated Fd	A	Dividend	K	T	Sold (part)	06/15/12	J		
10. Lord Abbett Affiliated Mid Cap Fd	A	Dividend	J	T	Sold (part)	06/15/12	K		
11. FPA New Income Fd	B	Dividend	L	T					
12. Sentinel FDS Govt Secs FD CL I	A	Dividend	K	T	Buy	06/15/12	K		
13. First Eagle Global Fd CL A	A	Dividend	K	T	Sold (part)	06/15/12	J	B	
14. RBC Bank Deposit Program	A	Dividend	J	T	Open	07/15/12	J		
15. Artisan FDS Inc Mid Cap Value FD Inv SHS	A	Dividend	J	T	Buy	09/07/12	J		
16. Artisan Funds Inc Small Cap Fund	A	Dividend	J	T	Buy	09/07/12	J		
17. Brown Advisory Winslow Sustainability FD Advisor SHS	A	Dividend	J	T	Buy	09/07/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Delaware Group Adviser Funds Inc-US Growth Portfolio CL A	A	Dividend	J	T	Buy	09/07/12	J		
19. T Rowe Price Equity Income Fund-SBI	A	Dividend	J	T	Buy	09/07/12	J		
20.					Sold (part)	10/31/12	J		
21. Oppenheimer Developing Mkts FDS CL Y	A	Dividend	J	T	Buy	09/07/12	J		
22. FPA New Income Inc	A	Dividend	J	T	Buy	10/31/12	J		
23.					Sold (part)	09/12/12	J		
24. First Eagle Global Fund CL I	A	Dividend	J	T	Buy	09/07/12	J		
25. Comerica Inc (X)	A	Dividend			Sold	09/05/12	J		
26. Exelon Corporation (X)	A	Dividend			Sold	09/05/12	J		
27. PNC Large Cap Value Fund Class A (X)	A	Dividend			Sold	09/05/12	J		
28. PNC Multi Factor Small Cap Growth Class A (X)	A	Dividend			Sold	09/05/12	J	B	
29. Rockwell Automation Inc (X)	A	Dividend			Sold	09/05/12	J	A	
30. Rockwell Collins Inc (X)	A	Dividend			Sold	09/05/12	J		
31. Skyworks Solutions Inc (X)	A	Dividend			Sold	09/05/12	J	B	
32. Dreyfus Prem 3rd Cent Fd	A	Dividend	J	T					
33. CREF Social Choice	D	Dividend	M	T					
34. CREF Money Market	A	Dividend	J	T					

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35.									
36.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Items 14, 25 thru 31 were an inheritance from the Estate [REDACTED] The Judge's investment advisor sold items 25 through 31 and reinvested the proceeds in items 15 - 24 to conform the portfolio to the Judge's overall investment plan.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Mary Alice Theiler**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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