

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lemmon, Mary A.	2. Court or Organization Eastern District of LA	3. Date of Report 05/12/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address U.S. District Courthouse 500 Poydras Street, C-406 New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Vice-president/secretary	St.Charles Properties, Inc: A sub-chapter S
2. General Partner	☐ Partnership
3. General Partner	Heirs of ☐ Minerals Partnership
4. General Partner	☐ Land Partnership
5. Board Member Emeritus	Reconcile New Orleans
6. Board of Directors	Federal Bar Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1996	Since July 26, 1996, I have been in the Louisiana State Employees Retirement System for State Judges
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Lemmon, Mary A.

Date of Report

05/12/2014

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2013	La. State Employees Retirement System	\$64,671.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2013	La. State Employees Retirement System
2. 2013	Self Employed (Legal Consultant)
3. 2013	Royalties from West Publishing Company
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. AO Travel Fund	11/17-19/2013	Grapevine, Texas	Meeting of the Judicial Conference	Travel, Meals, Lodging
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Lemmon, Mary A.

Date of Report

05/12/2014

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Lemmon, Mary A.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital One Stock	B	Dividend	M	T					
2. Capital One Checking	A	Interest	K	T					
3. Capital One Checking		None	J	T					
4. Oil Royalty - St. Charles Parish	E	Royalty	L	W	Donated (part)				
5. Ameriprise	A	Dividend	M	T					
6. Ariel Common	A	Dividend	J	T					
7. John Hancock Funds: Large Cap Equity A	A	Dividend	J	T					
8. Partnership Orleans Parish, LA	A	Rent	J	W					
9. Laura Plantation	D	Dividend	K	W					
10. U.S. Savings Bonds, Series, HH, transferred July 1994	A	Interest	K	T					
11. 3.31% interest in Cutrone Carline Properties	E	Distribution	L	W					
12. Partnership	A	Dividend	J	W					
13. Minerals Partnership St.Charles Parish, LA		None	J	W					
14. Merrill Lynch Stocks: AT&T	A	Dividend	J	T					
15. Alcoa	A	Dividend	J	T					
16. J.P. Morgan Chase	B	Dividend	K	T					
17. Vail Resorts	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Lemmon, Mary A.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. BP Amoco PLC	B	Dividend	K	T					
19. Rental Property Orleans Parish, LA	E	Rent	N	W					
20. St. Charles Land Trust	A	Dividend	J	W					
21. U.S. Savings Bonds, Series, HH	A	Interest	K	T					
22. Whitney Bank Checking		None	K	T					
23. Merrill Lynch Stocks: Com Cast		None	J	T					
24. Series HH/H Bonds	B	Interest	L	T					
25. Merrill Lynch Money Account	A	Interest	M	T					
26. Merrill Lynch IRA-Cash Equivalent Account	B	Dividend	K	T					
27. Merrill Lynch IRA-Cash Equivalent Account	A	Distribution	K	T					
28. Merrill Lynch Bonds: LOUISIANA ST SER A FSA AMBAC	A	Interest		T	Sold	01/28/13	K	B	
29. Merrill Lynch Bonds: Puerto Rico Trans Auth	B	Interest	K	T					
30. Merrill Lynch: Ginnie Mae	B	Interest	J	T					
31. Putnam Diversified	C	Dividend	L	T					
32. Rental Property St. Charles Parish LA (7/1/2010 - \$240,000)	D	Rent	M	R					
33. La. 529 START Saving Program (age based LS income) #1	A	Interest	L	T					
34. La. 529 START Saving Program (age based LS income) #2	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Lemmon, Mary A.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. La. 529 START Saving Program (age based LS income) #3	A	Interest	L	T					
36. La. 529 START Saving Program (age based LS income) #4	A	Interest	L	T					
37. La. 529 START Saving Program (age based LS Conservative) #5	A	Interest	L	T					
38. La. 529 START Saving Program (age based LS conservative) #6	B	Interest	L	T					
39. La. 529 START Saving Program (age based LS conservative) #7	A	Interest	K	T					
40. La. 529 START Saving Program (age based LS conservative) #8	B	Interest	L	T					
41. La. 529 START Saving Program (age based LS conservativ3) #9	B	Interest	L	T					
42. La. 529 START Saving Program (age based LS moderate) #10	B	Interest	L	T					
43. La. 529 START Saving Program (age based LS moderate) #11	B	Interest	L	T					
44. La. 529 START Saving Program (age based LS moderate) #12	B	Interest	L	T					
45. La. 529 START Saving Program (La. Princ Prot Fund) #13	B	Interest	L	T					
46. Merrill Lynch Stocks: Kinder Morgan Energy Partners	B	Interest	K	T					
47. -American Cap Agcy Corp	A	Dividend	J	T					
48. -Century Link Inc.	A	Dividend	J	T					
49. -Chimera Investment Corp	A	Dividend	J	T					
50. -Kinder Morgan Management		None	K	T					
51. -First Eagle Mutual Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting

Lemmon, Mary A.

Date of Report

05/12/2014

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Merrill Lynch: Mutual Fund-Black Rock Global	A	Dividend	J	T					
53. Merrill Lynch: Aud Austria Corporate Bond	C	Interest	K	T					
54. -SP500 ARN Issuer BAC		None	K	T					
55. Batture Lease-St. Charles Parish, LA Assessed Value \$1531	C	Rent	J	S					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Lemmon, Mary A.

Date of Report

05/12/2014

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I: Name of Organization/Entity, continued . . .

1 Corporation owned entirely by [REDACTED]. The corporation owns real estate, but there has been no business activity. I write checks for annual corporate fees and property taxes.

Part I: Name of Organization/Entity, continued . . .

#2 [REDACTED] Partnership

Part I: Name of Organization/Entity, continued

#3 [REDACTED] Limited Partnership

Part I: Name of Organization/Entity, continued . . .

#4 Land partnership: A [REDACTED] Partnership owning undeveloped marshland inherited. I was replaced as General Partner in 3/96. My ownership of the assets of the partnership were transferred to the [REDACTED] Partnership in 1994.

Part VII: line 32 Date of Purchase: 3/10/06; Purchase price: \$240,000. This home was purchased for use by a [REDACTED] member who lost her home in Hurricane Katrina. It became rental property in 2010.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Lemmon, Mary A.

Date of Report

05/12/2014

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary A. Lemmon

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544