

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bohm, Carlota M.	2. Court or Organization U.S. Bankruptcy Court for the Western District of PA	3. Date of Report 05/04/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 54th Floor US Steet Tower 600 Grant Street Pittsburg, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Income from prior Misc. Bankruptcy/Trustee Related	\$17,538.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Jackson National Annuity - Fixed Income Annuity	A	Dividend	M	T					
2. Jackson National Annuity - JNL/S&P Managed Moderate Growth Fund	A	Dividend			Sold	04/10/17	M	E	
3. Energy Select Sector SPDR Fund ETF	A	Dividend	K	T	Buy	05/12/17	K		
4. Pimco Income Fund (PONPX)	A	Dividend	J	T	Buy (add'l)	05/16/17	J		
5.	A	Dividend	J	T	Buy (add'l)	05/12/17	J		
6.	A	Dividend			Sold (part)	03/08/17	J	A	
7. AIG/Sun America Focused Dividend Strategy Fund (FDSWX)	A	Dividend	J	T	Buy (add'l)	05/16/17	J		
8.	A	Dividend	J	T	Buy (add'l)	05/12/17	J		
9.	A	Dividend			Sold (part)	03/08/17	J	A	
10. Hennessy Focus 30 Inst (HIMDX)	A	Dividend	J	T	Buy (add'l)	05/16/17	J		
11.	A	Dividend			Sold (part)	05/12/17	J	A	
12.					Sold (part)	03/08/17	J	A	
13. Loomis Sayles Investment Grade Bond (LSIIX)	A	Dividend	J	T	Buy (add'l)	05/16/17	J		
14.	A	Dividend	J	T	Buy (add'l)	05/12/17	J		
15.	A	Dividend			Sold (part)	03/08/17	J	A	
16. AB High Income Fund (AGDYX)	A	Dividend	J	T	Buy (add'l)	05/16/17	J		
17.	A	Dividend			Sold (part)	03/08/17	J	A	

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes	N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000 (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Pioneer Pioneer Fundamental Growth Fund (FUNYX)	A	Dividend	J	T	Buy (add'l)	05/16/17	J		
19.	A	Dividend			Sold (part)	05/12/17	J	A	
20.					Sold (part)	03/08/17	J	A	
21. Touchstone Small Cap Value Opportunities Fund (TSOYX)	A	Dividend			Sold	05/12/17	K	B	
22.					Sold	05/12/17	J	A	
23.					Sold (part)	03/08/17	J	A	
24. Oppenheimer Developing Markets Fund (ODVYX)	A	Dividend	J	T	Buy (add'l)	05/16/17	J		
25.	A	Dividend			Sold (part)	05/12/17	J	A	
26.					Sold (part)	03/08/17	J	A	
27. Henderson Intl Opportunities (HFOIX)	A	Dividend	J	T	Buy (add'l)	05/16/17	J		
28.	A	Dividend			Sold	05/12/17	J	A	
29.					Sold (part)	03/08/17	J	A	
30. Lord Abbett High Yield Fund (LHYFX)	A	Dividend	J	T	Buy (add'l)	05/16/17	J		
31.	A	Dividend			Sold	05/12/17	J	A	
32.					Sold (part)	03/08/17	J	A	
33. Pioneer Strategic Income Fund (STRYX)	A	Dividend	J	T	Buy (add'l)	05/16/17	J		
34.	A	Dividend	J	T	Buy (add'l)	05/12/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Pioneer Strategic Income Fund (STRYX)	A	Dividend			Sold (part)	03/08/17	J	A	
36. JPMorgan US Equity Sselect (JVESX)	A	Dividend	J	T	Buy (add'l)	05/16/17	J		
37.	A	Dividend			Sold (part)	05/12/17	J	A	
38.					Sold (part)	03/08/17	J	A	
39. Delaware Small Cap Core (DCCIX)	A	Dividend	K	T	Buy	05/15/17	K		
40.	A	Dividend	J	T	Buy (add'l)	05/16/17	J		
41.	A	Dividend	J	T	Buy	05/12/17	J		
42. Nuveen Symphony Floating Rate Income Fund (NFRIX)	A	Dividend	J	T	Buy	05/12/17	J		
43. First Eagle Overseas Fund (SGOIX)	A	Dividend	J	T	Buy	05/12/17	J		
44. Financial Sector SPDR Trust ETF (CLF)	A	Dividend	L	T					
45. Healthcare Select Sector SPDR Fund (XLV)	A	Dividend	L	T					
46. Vanguard Sector Index FD Information Tech ETF (VGT)	A	Dividend	L	T					
47. Roam Bally IV, LP	D	Dividend	K	T					
48. PNC Bank Account	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Received from Decedent, George Bohm's (father) Estate - Beneficiary share \$55,575.13

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Carlota M. Bohm**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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