

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fogel, Jeremy D.	2. Court or Organization Federal Judicial Center	3. Date of Report 04/22/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Federal Judicial Center One Columbus Circle, N.E. Washington, DC 20002-8003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust #1
2.	Executor (see Part VIII)	Estate #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 9/30/81	California Judicial Retirement System: entitled to receive approximately 61% of the current salary of a California Superior Court Judge
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Fogel, Jeremy D.

Date of Report

04/22/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	California Judicial Retirement System	\$104,264.22
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Circuit Bar Association	June 18-21	Asheville, NC	Panelist at conference	Transportation, meals and lodging
2. American Antitrust Institute/ Stanford University	August 14-16	Stanford, CA	Faculty member at educational program	Transportation, meals and lodging
3. American Bar Association	September 11-12	Chicago, IL	Meeting of Commission on the Future of Legal Services	Transportation, meals and lodging
4. Federal Judicial School (Brazil)	November 11-16	Sao Paulo and Porto Alegre, Brazil	Judicial education exchange	Transportation, meals and lodging
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Fogel, Jeremy D.

Date of Report

04/22/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Fogel, Jeremy D.

Date of Report

04/22/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Investments (assets listed below)									
2. --Fidelity California Muni Money Mkt	A	Int./Div.	J	T					
3. --Federated High Yield Trust	A	Dividend	K	T	Buy (add'l)	07/07/14	K		
4. --Fidelity Strategic Income	B	Dividend	K	T	Buy (add'l)	07/07/14	K		
5. --Nuveen California High Yield Muni Bd	A	Dividend	K	T	Buy (add'l)	07/07/14	K		
6. --Pepco Holdings Inc.	A	Dividend	J	T					
7. --AT&T Inc.	A	Dividend	J	T					
8. --BCE Inc.	A	Dividend	J	T					
9. --Kinder Morgan Energy Partners LP		None	J	T					
10. --Portland Gen Elec Co.	A	Dividend	J	T					
11. --Potash Corp of Saskatchewan	A	Dividend	J	T					
12. Morgan Stanley IRA#1 (assets listed below)									
13. --MSDW Liquid Asset Fund	A	Int./Div.	J	T					
14. --Pioneer Mid-Cap Fund	A	Dividend	J	T					
15. --Morgan Stanley Bank	A	Interest	J	T					
16. --John Hancock Regional Bank B	A	Interest	J	T					
17. --United VanKampen EAFE Select 20 Portfolio 2007-1	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

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Fogel, Jeremy D.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Morgan Stanley IRA #2 (assets listed below)									
19. --MSDW Liquid Asset Fund	A	Int./Div.	J	T					
20. --Morgan Stanley Bank	A	Interest	J	T					
21. --MSDW Global Advantage B	A	Dividend	J	T					
22. --Pioneer Mid-Cap Fund	A	Dividend	J	T					
23. --John Hancock Regional Bank A	A	Interest	J	T					
24. --MS Spectrum Tech	A	Dividend	J	T					
25. --MS Spectrum Select	A	Dividend	J	T					
26. Wells Fargo IRA (CD)	A	Interest			Distributed	12/09/14	J	C	
27. Wells Fargo IRA (CD)	A	Interest			Distributed	12/09/14	J	C	
28. Fidelity Rollover IRA #1 (assets listed below)(x)									
29. --Fidelity Balanced Fund	A	Dividend	J	T	Buy	12/09/14	J		
30. Fidelity Rollover IRA #2 (assets listed below)(x)									
31. --Fidelity Balanced Fund	A	Dividend	J	T	Buy	12/09/14	J		
32. Trust #1 (assets listed below)									
33. --Wells Fargo Investors Brokerage Account (aggregate reporting)	F	Int./Div.	P1	T					
34. ----Doubleline Fds Total Return Bd Fd									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

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Fogel, Jeremy D.

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35. ----Pioneer Ser Tr VI Fltg Rate Fd									
36. ----Templeton Income Tr Global Bd Fd									
37. ----Intel Corp.									
38. ----Potash Corp. of Saskatchewan									
39. ----Realty Income Corp. REIT									
40. ----Teradata Corp.									
41. ----Transocean Ltd. Ordinary Shares									
42. Estate #1(assets listed below) (see Part VIII)	A	Int./Div.			Distributed	05/28/14	K	A	
43. --Fidelity Investments (aggregate reporting)									
44. ----AT&T Inc.									
45. ----Kinder Morgan Energy Partners LP					Sold (part)	03/19/14	J	A	
46.					Sold (part)	04/29/14	J	A	
47. ----Nuveen Select Quality Mun Fd									
48. ----Philip Morris Intl Inc.									
49. ----Verizon Communications									
50. ----Senior Housing Property Trust									
51. Pointright Holdings, Inc.		None	J	U					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 9

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Fogel, Jeremy D.

Date of Report

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52. Rental property--Santa Clara County, CA	F	Rent	P1	W					
53. Rental property--Placer County, CA	D	Rent	O	W					
54.									
55.									
56.									
57.									
58.									
59.									
60.									
61.									
62.									
63.									

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FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Fogel, Jeremy D.

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04/22/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Part I, line 2 and Part VII, line 42: the remaining assets of this estate were distributed on 05/28/14. The estate will not appear on my future reports.
2. Part VII, lines 26-31: On 12/09/14, the Wells Fargo IRA's listed in my previous report were rolled over into IRA brokerage accounts at Fidelity Investments.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Fogel, Jeremy D.

Date of Report

04/22/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jeremy D. Fogel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544