

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2018

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Britt, William E.	2. Court or Organization U.S. District Court-- Eastern District NC	3. Date of Report 04/16/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2018 to 12/31/2018
7. Chambers or Office Address P.O. Box 27504 Raleigh, NC 27611		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Charles Schwab Bank	A	Interest	J	T					
2. Vanguard Inter-term Bond Index Fund	A	Dividend	J	T					
3. Charles Schwab Bank	A	Interest	K	T					
4. State Employees Credit Union	A	Interest	L	T					
5. Branch Bank & Trust	A	Interest	L	T					
6. Vanguard Intermediate Term Bond ETF	A	Dividend	K	T					
7. Vanguard S&P 500 ETF	A	Dividend	J	T					
8. SCHW US BRD MKT ETF	C	Dividend	M	T	Buy (add'l)	01/18/18	K		
9. SCHW US LCAP VAL ETF	A	Dividend	J	T					
10. SCHW US MID-CAP ETF	A	Dividend	J	T	Sold (part)	12/06/18	J	A	
11. SCHW US MID-CAP ETF	B	Dividend	L	T					
12. SCHW INTL EQ ETF	B	Dividend	K	T					
13. ARES Capital Corp	A	Dividend			Sold	03/20/18	K		
14. Honeywell Intl, Inc.	A	Dividend	J	T					
15. Walgreens Boots Alliance Inc.	A	Dividend	J	T					
16. SCHW Intermediate Term US TRS ETF	A	Dividend	K	T					
17. SCHW US LARGE CAP ETF	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. TN ST SCH Bd Auth Bond 4% due 5/1/22	A	Interest			Matured	05/01/18	K		
19. WA SUBN SAN DIST MD bond 5% due 6/1/2018	A	Interest			Matured	06/01/18	K		
20. Fayetteville, NC PUB W Bond 5% due 3/1/2019	B	Interest	K	T					
21. Eli Lilly & Company	A	Dividend	J	T					
22. Citigroup	A	Dividend	J	T					
23. Coconino ETC AZ bond 7% due 7/1/28		None	K	T	Buy	07/13/18	K		
24. Oakland CA bond 9.5% due 8/1/34	B	Interest	K	T	Buy	07/03/18	K		
25. Resideo Tech		None	J	T	Spinoff (from line 14)	10/26/18	J		
26.					Sold (part)	10/26/18	J	A	
27. Garrett Motion		None	J	T	Spinoff (from line 14)	09/28/18	J		
28. Valley Natl Banc	A	Dividend	K	T	Buy	03/20/18	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Lines 1 and 3: Asset name changed from that listed in 2017 report.

Part VII, Line 17: Sold all McKesson Corp. stock on December 19, 2016. Received dividend in January 2017. Asset is no longer listed, as I no longer own it nor have I received any income from it since January 2017.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William E. Britt

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544