

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barksdale, Rhessa H.	2. Court or Organization U. S. Court of Appeals Fifth Circuit	3. Date of Report 05/31/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Circuit Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 501 E. Court St. Suite 3.800 Jackson, MS 39201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.	University of Tennessee Law School	10/19-21/2016	Knoxville, Tennessee	Moot Court	Transportation, Lodging, Food
----	------------------------------------	---------------	----------------------	------------	-------------------------------

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	UBS	Credit Line	N
2.	First Commercial Bank	Loan	M
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.									
2.									
3.									
4. Pecan Lane Apts. Ltd (see section VIII)	A	Distribution	J	R					
5.									
6.									
7. UBS Fin. Srv. Resource Mgmt Acct (Y)									
8. RHB IRA: UBS Fin. Srv. Rtmnt Mny FD (see section VIII) (Y)									
9. RHB IRA: Amer. Fds Growth Fd of Amer. Mut. Fund C1 A	B	Dividend	K	T					
10.									
11.									
12.									
13. Amer. Fds. Growth Fd. of Amer. Mut. Fund C1 A	C	Dividend	L	T					
14.									
15.									
16.									
17. IRA: UBS Fin. Srv. Rtmnt Mny Fund (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.									
19.									
20.									
21.	Amer. Fds Inc. Fund of America Cl A	A	Dividend	J	T				
22.									
23.									
24.									
25.									
26.	IRA: Amer. Fds Growth Fund of Amer. Mut. Fd. Cl A	B	Dividend	K	T				
27.									
28.									
29.									
30.									
31.									
32.									
33.									
34.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35.									
36.									
37.									
38. UBS Fin. Srv Invstmt Acct (Y)									
39.									
40.									
41.									
42.									
43.									
44.									
45. Amer. Fds Balanced Fund Cl A	D	Dividend	M	T					
46. Amer. Fds Capital Inc. Builder Fund Cl A	D	Dividend	M	T					
47. Amer. Fds Inc. Fund of America Cl A	D	Dividend	M	T					
48. First Commercial Bank Cmn Stk	C	Dividend	M	T					
49.									
50.									
51. Amer. Fds Capital World Gwth & Inc. Fd Cl A	D	Dividend	M	T					

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52. MetLife Variable Flexible Life Ins. (see section VIII)									
53. -MetLife Asset Allocation 100 Portfolio		None	L	T					
54. -MetLife Stock Index		None	K	T					
55. -MFS Total Return		None	K	T					
56. -Fidelity VIP Eq Inc.		None	K	T					
57. -BR Cap. App.		None	J	T					
58. -L/S Small Cap		None	J	T					
59. MassMutual Flexible Variable Life Ins. (see section VIII)									
60. -MML Equity		None	K	T					
61. -Oppenheimer Global Securities		None	K	T					
62. -Fidelity VIP Contrafund		None	K	T					
63. -T. Rowe Price Equity Income		None	K	T					
64. -American Funds Growth Income		None	K	T					
65.									
66. IRA: Invesco Val Opp Fd. C1 A		None			Sold	02/04/16	J		
67.									
68.									

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69.									
70.									
71.									
72.									
73.									
74.									
75.									
76.									
77.									
78.									
79. RHB IRA: Amer. Fds. Balanced Fund Cl A	C	Dividend	L	T	Buy	02/04/16	K		
80.									
81.									
82.									
83.									
84.									
85. Invesco Mid Cap Core Equity Fd Cl A		None			Sold	02/04/16	K	C	

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86.									
87. IRA: Amer. Fds. AMCAP Fd. Cl A	A	Dividend	K	T					
88. IRA: Invesco Gwth. Alloc. Fd. Cl A		None			Sold	02/04/16	J		
89.									
90.									
91. RHB IRA: Amer. Fds. AMCAP Fd. Cl A	C	Dividend	M	T					
92.									
93. RHB IRA: Invesco Equity and Inc. Fd. Cl A		None			Sold	02/04/16	K		
94. RHB IRA: Invesco Equity and Inc. Fd. Cl C		None			Sold	02/04/16	J		
95.									
96. R'evolution Seafood Restaurant at Renaissance, LLC		None	L	T					
97. RHB IRA: Amer. Fds. Cap. Inc. Builder Fd. Cl A	B	Dividend	K	T	Sold (part)	07/14/16	J		
98. IRA: Amer. Fds. Cap. Inc. Builder Fd. Cl A	A	Dividend	J	T					
99. IRA: Amer. Fds. Balanced Fund Cl. A	A	Dividend	K	T	Buy	02/04/16	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Continuation of Section VII:

Line 4: Purchased in 1984; \$13,980

Line 8: "RHB IRA" - Rhesa H. Barksdale IRA with UBS Financial Services; Jackson, Mississippi

Line 52: The values provided in lines 53-58 are from the most recent "Your Annual Statement of Values", as of 27 August 2016

Line 59: The values provided in lines 60-64 are from the most recent "Policy Annual Report", as of 21 December 2016

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rhesa H. Barksdale

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544