

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TOTENBERG, AMY M.	2. Court or Organization NORTHERN DISTRICT OF GEORGIA	3. Date of Report 03/26/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 2388 Richard Russell Federal Building 75 Spring Street, SW Atlanta, GA 30303-3309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2013	SELF-EMPLOYED OFFICE FINANCIAL MANAGER
2. 2013	PENSION [REDACTED] RETIREMNET PLAN
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ABERDEEN EMERGING MARKETS INST CL	B	Dividend	L	T	Buy (add'l)	01/08/13	K		
2.					Buy (add'l)	05/09/13	K		
3.					Buy (add'l)	09/12/13	K		
4. BANK OF AMERICA ACCOUNT		None	K	T					
5. BARON GROWTH FD INST CL	A	Dividend	L	T	Buy (add'l)	02/12/13	K		
6. BARON PARTNERS FD INST CL		None	M	T	Buy	06/19/13	K		
7.					Buy (add'l)	09/12/13	K		
8.					Buy (add'l)	12/18/13	K		
9. CALAMOS GROWTH FUND CL I		None			Sold	02/12/13	L		
10. DFA INTL SMALL CAP VALUE PORTFOLIO	A	Dividend	J	T					
11. DFA U.S. SMALL CAP VALUE PORTFOLIO	A	Dividend	L	T					
12. DFA TAX MANAGED US TARGETED VALUE PORTFOLIO	B	Dividend	M	T	Buy (add'l)	03/28/13	K		
13.					Buy (add'l)	05/28/13	K		
14. DODGE & COX STOCK FUND	B	Dividend	M	T					
15. FIDELITY - VIP CONTRAFUND PORTFOLIO - SERVICE CLASS 2		None	K	T					
16. FIDELITY - VIP MID CAP PORTFOLIO - SERVICE CLASS 2		None	K	T					
17. FIDELITY- VIP VALUE STRATEGIES PORTFOLIO SERVICE CLASS 2		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. FIDELITY GROWTH & INCOME FUND	B	Dividend	L	T	Buy (add'l)	03/28/13	K		
19. FIDELITY LOW PRICED STOCK FUND	B	Dividend	M	T	Buy (add'l)	03/21/13	K		
20.					Buy (add'l)	07/29/13	K		
21.					Buy (add'l)	12/18/13	K		
22. HARBOR INTERNATIONAL FUND INST CL	C	Dividend	M	T	Buy (add'l)	01/08/13	K		
23.					Buy (add'l)	02/12/13	J		
24.					Buy (add'l)	04/10/13	K		
25. LONGLEAF PARTNERS FUND	A	Dividend	L	T	Buy (add'l)	02/12/13	K		
26. LOOMIS SAYLES BOND FUND CL I	C	Dividend	L	T					
27. MUTUAL BEACON FUND CL Z	B	Dividend	M	T					
28. MUTUAL GLOBAL DISCOVERY FD CL Z	C	Dividend	M	T	Buy (add'l)	07/29/13	K		
29.					Buy (add'l)	09/12/13	K		
30. NATIONWIDE FIXED ACCOUNT	A	Interest	L	T					
31. PIMCO TOTAL RETURN FUND INSTL CL	C	Dividend	M	T					
32. PIMCO UNCONSTRAINED BD FD INST CL	A	Dividend	L	T					
33. PIMCO HIGH YIELD FUND INST CL	C	Dividend	L	T	Buy (add'l)	11/14/13	K		
34. ROYCE PREMIER FUND INVESTMENT CL		None	M	T	Buy (add'l)	05/28/13	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. SPDR S&P DIVIDEND ETF	D	Interest	N	T	Buy (add'l)	03/21/13	L		
36.					Buy (add'l)	04/10/13	L		
37.					Buy (add'l)	07/02/13	L		
38. SUNTRUST BANK ACCOUNTS	A	Interest	K	T					
39. THIRD AVE INTL VALUE FD INSTL CL		None			Sold	02/12/13	K		
40. TA CLARION GLOBAL REAL ESTATE SECURITIES VP		None	J	T					
41. TA U.S. GOVERNMENT SECURITIES VP		None	J	T					
42. VANGUARD 500 INDEX SIGNAL	C	Dividend	M	T					
43. VANGUARD INTERM TERM TAX EXEMPT FD ADMIRAL SHARE	D	Dividend	N	T	Buy (add'l)	04/10/13	L		
44.					Buy (add'l)	11/14/13	L		
45. VANGUARD PRIMECAP CORE FUND	A	Dividend	K	T					
46. VANGUARD PRIMECAP FUND	A	Dividend	M	T					
47. VANGUARD WHITEHALL FUNDSHIGH DIDIDEND YIELD	D	Dividend	N	T	Buy (add'l)	02/06/13	L		
48.					Buy (add'l)	03/21/13	L		
49.					Buy (add'l)	03/28/13	L		
50.					Buy (add'l)	06/19/13	L		
51.					Buy (add'l)	12/18/13	L		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. WELLS FARGO BANK ACCOUNT	A	Interest	K	T					
53. WINTERGREEN FUND INST	A	Dividend	M	T	Buy	08/22/13	M		
54. WINTERGREEN FUND	A	Dividend	L	T	Buy (add'l)	02/12/13	K		
55.					Buy (add'l)	04/10/13	K		
56.					Buy (add'l)	06/19/13	K		
57.					Sold (part)	08/22/13	M		
58. CHARLES SCHWAB - CASH ACCOUNTS		None	M	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ AMY M. TOTENBERG**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544