

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) White, Jeffrey S.	2. Court or Organization U.S. District, Northern Calif	3. Date of Report 11/09/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U.S. District Court, Northern District of Calif. 1301 Clay Street Oakland, CA 94612		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Lecturer	Univeristy of California, Berkeley Law
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2017	Orrick, Herrington and Sutcliffe Defined Benefit Plan - Retired Partner
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Jan - Dec	Orrick Herrington Defined Benefit Plan	\$23,400.00
2. Jan- Dec	UC Berkeley - School of Law	\$7,474.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Morgan Stanley Bank	Liquidity Access Line	L
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ISHARES MSCI Eafe Index Fund	A	Dividend	K	T					
2. ISHARES US Consumer Noncyclic	A	Dividend	L	T	Sold (part)	06/09/17	J	D	
3. ISHARES US Consumer Cyclic	A	Dividend	L	T					
4. ISHARES DJ US Energy Sector	B	Dividend	L	T	Sold (part)	08/25/17	J	D	
5. ISHARES DJ US Healthcare Sector	B	Dividend	L	T	Sold (part)	06/09/17	J	D	
6. Consumer Discretionary Sector SPDR	B	Dividend	M	T					
7. Consumer Staples Select Sector SPDR Trust	C	Dividend	M	T	Sold (part)	08/23/17	J	D	
8. Health Care Select Sector SPDR	B	Dividend	M	T	Sold (part)	08/23/17	K	D	
9. Industrial Select Sector SPDR	B	Dividend	L	T	Sold (part)	08/23/17	J	D	
10. SPDR Homebuilders ETF	A	Dividend			Sold	08/23/17	K	D	
11. Provident Credit Union	A	Interest	J	T					
12. Orrick Investments 2000 LLC	A	Int./Div.	J	U					
13. Pollock Montgomery Washington Tower Investors II Partnership	B	Int./Div.	J	U					
14. Nationwide Life Destination B (2.0) #1		None	O	T					
15. Nationwide Life Destination B (2.0) # 2		None	M	T					
16. Pollock 2007-2008 Independent Investors, LLC	A	Int./Div.	J	U					
17. JPMorgan 7.9% Preferred	A	Dividend			Sold	06/09/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. NuStar Logistics 7.625% Preferred Notes	A	Interest			Sold	06/09/17	J	A	
19. Pollock FRB, LLC	A	Int./Div.	M	U					
20. Morgan Stanley Cash Account	A	Interest	K	T					
21. SPDR Euro Stoxx 50ETF (FEZ)	A	Dividend			Sold	08/30/17	J	A	
22. Oppenheimer Developing Mkts Y (ODVYX)	A	Dividend	K	T					
23. Sellers Ave Invesors LLC	B	Int./Div.			Distributed	06/30/17	L		
24. TTLC RIA 2 LLC	E	Int./Div.	M	U					
25. TTLC Talavera, LLC	B	Int./Div.	M	U					
26. TTLC Tarob 2, LLC	E	Int./Div.	J	U					
27. TTLC Tarob 3, LLC		None	L	U	Buy (add'l)	12/12/17	J		
28. True Life Companies, LLC 6% Note	B	Interest	K	T					
29. True Life Companies, LLC 8% Note	D	Interest	M	T					
30. TTLC Lee Farms, LLC	E	Int./Div.	L	U	Buy	09/01/17	L		
31. TTLC Livermore Center, LLC		None	L	U	Buy	05/18/17	L		
32. TTLC Mirza, LLC		None	L	U	Buy	09/30/17	L		
33. TTLC Pio Pico, LLC	A	Int./Div.	M	U	Buy	04/19/17	L		
34. Ishares MSCI Japan (EWJ)	A	Int./Div.			Buy	01/25/17	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Sold	08/30/17	J	A	
36. Ishares Russell 2000 ETF (IWM)	A	Int./Div.			Buy	01/25/17	J		
37.					Sold	08/23/17	J		
38. SPRD S&P 500 ETF Trust	A	Int./Div.			Buy	01/25/17	K		
39.					Sold	08/25/17	K	B	
40. Morgan Stanley Bank Account	A	Interest	M	T	Open	06/01/17	K		
41. Legg Mason Scholars Choice 529 Age Based Option: Age 10-12		None	M	T	Buy	06/20/17	L		
42. Legg Mason Scholars Choice 529 Age Based Option: Age 4-6		None	M	T	Buy	06/20/17	L		
43. Legg Mason Scholars Choice 529 Age Based Option: Age 0-3		None	M	T	Buy	06/21/17	L		
44. Morgan Stanley IRA # 1 (H)									
45. -Bank Deposit Program	A	Interest	N	T					
46. -Financial Select Sector SPDR	A	Dividend	K	T	Buy (add'l)	01/25/17	J		
47.					Buy (add'l)	02/13/17	J		
48.					Buy (add'l)	05/19/17	J		
49.					Buy (add'l)	07/18/17	J		
50.					Buy (add'l)	11/16/17	J		
51. -Industrial Select Sect SPDR	A	Dividend	K	T	Buy (add'l)	01/25/17	J		

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52. -ISHARES FTSE/Xinua China 25	A	Dividend	K	T					
53. -ISHARES - MSCI EAFE Index Fund	B	Dividend	K	T	Buy (add'l)	02/13/17	J		
54.					Buy (add'l)	06/07/17	J		
55.					Buy (add'l)	07/18/17	J		
56. -ISHARES - MSCI Emerging Markets Index	A	Dividend	K	T					
57. -ISHARES - S&P Europe 350 Index	A	Dividend	K	T	Buy (add'l)	06/07/17	J		
58. -California St CA GO- Taxable 6.2%	C	Interest	L	T					
59. -Energy Select Sector SPDR	A	Dividend	K	T	Buy (add'l)	02/13/17	J		
60. -Zions Bancorp 4.5% Bond	A	Interest			Redeemed	03/27/17	K	A	
61. -Calif State Go 5.95%	A	Interest	K	T					
62. -Bank of America Corp 5.42% Bond	A	Interest			Redeemed	03/15/17	K	B	
63. -Time Warner Inc 5.85% Bond	A	Interest			Redeemed	04/10/17	K		
64. -JP Morgan Chase & Co 2% Bond	A	Interest			Redeemed	08/15/17	K		
65. -Cleveland Electric 7.880% Bond	A	Interest			Sold	06/07/17	K		
66. -Newell Rubbermaid Inc 2.050% Bond	B	Interest			Redeemed	12/01/17	L		
67. -Nasdaq Omx Group 5.25% Bond	B	Interest			Redeemed	05/26/17	K		
68. -AT & T Inc 5.5% Bond	A	Interest	K	T					

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69. -Ford Motor Credit 5% Bond	B	Interest			Sold	11/16/17	L		
70. -Owens-Illinois Inc 7.8% Bond	B	Interest	K	T					
71. -Bank of America Corp 5.49% Bond	B	Interest	K	T					
72. -Diamond Offshore Drill 5.875% due 5/1/19	A	Interest			Sold	06/12/17	K		
73. -Dun & Bradstreet Corp 3.250% due 12/1/17	A	Interest			Sold	11/16/17	K		
74. -General Motors 4.75% due 8/15/17	A	Interest			Redeemed	08/15/17	K		
75. -Goldman Sachs Group Inc 6.150% Due 4/1/18	B	Interest	K	T					
76. -Ingersoll-Rand Co 6.875% due 8/15/18	C	Interest	L	T					
77. -Qwest (Centurylink) 6.5% due 6/1/17	A	Interest			Redeemed	05/04/17	K		
78. -Starwood Hotes & Resort 6.750% Due 5/15/18	B	Interest	K	T					
79. -Toll Brothers 4% due 12/31/18	B	Interest			Sold	11/20/17	K		
80. -Legg Mason ClearBridge Fdmtl All CP Value Fund Cl A	A	Dividend			Sold	05/16/17	K	D	
81. -First TR Exchange Traded FD Dow Jones	B	Dividend	L	T	Buy (add'l)	05/16/17	J		
82.					Buy (add'l)	05/22/17	J		
83.					Buy (add'l)	06/07/17	K		
84.					Buy (add'l)	07/18/17	J		
85. -Ishares TR Russell Mid-Cap ETF	A	Dividend	K	T					

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86. -Ishares TR S&P Small-Cap 600 Growth	A	Dividend	K	T					
87. -Actavis Funding SCS 2.35%	B	Interest	L	T					
88. -Amgen Inc 4.5%	A	Interest			Sold	06/07/17	L		
89. -Blackrock Global Allocation 1 (MALOX)	C	Dividend	L	T					
90. -Cit Group Inc 5%	A	Interest			Redeemed	05/15/17	K		
91. -Clearbridge Energy MLP FD Inc (CEM)	B	Dividend	J	T	Buy (add'l)	05/19/17	J		
92. -Clearbridge Energy MLP OPP FD (EMO)	A	Dividend	J	T					
93. -Cons Discret Sel Sect Spdr Fd (XLY)	A	Dividend	K	T					
94. -Goldman Sachs MLP Energy (GER)	A	Dividend	J	T					
95. -Healthcare Sel Sec Spdr FD (XLV)		None			Sold	01/25/17	J		
96. -Ishares Russell 2000 ETF (IWM)	A	Dividend	K	T	Buy (add'l)	01/25/17	J		
97.					Buy (add'l)	05/16/17	J		
98. -National City Corp Sub Note 6.875%	A	Interest			Sold	06/12/17	K		
99. -Principal Preferred Sec Inst (PPSIX)	C	Dividend	L	T					
100. -SPDR Trust Series 1 (SPY)	D	Dividend	N	T	Buy (add'l)	01/25/17	K		
101.					Buy (add'l)	02/13/17	J		
102.					Buy (add'l)	05/16/17	J		

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103.					Buy (add'l)	05/19/17	K		
104.					Buy (add'l)	05/22/17	K		
105.					Buy (add'l)	06/07/17	J		
106.					Buy (add'l)	07/18/17	J		
107. -Technology Sel Sec SPDR FD (XLK)	A	Dividend	K	T					
108. -Van Eck Emerging Markets A (GBFAX)	A	Dividend			Sold	01/04/17	L	C	
109. -Yum! Brands Inc	A	Interest			Sold	06/07/17	K		
110. -Telsa Motors Inc (TSLA)		None	L	T					
111. -Ishares Core High Dividend ETF (HDV)	C	Dividend	L	T					
112. -Select Sector SPDR TD ETF (XLRE)	A	Dividend			Sold	05/16/17	J		
113. -Vanguard Dividend Appreciation (VIG)	B	Dividend	L	T					
114. -Doubleline Core Fixed Inc (DBLFX)	B	Dividend	M	T	Buy (add'l)	02/13/17	K		
115.					Buy (add'l)	06/12/17	K		
116.					Buy (add'l)	08/31/17	J		
117.					Buy (add'l)	11/16/17	K		
118. -A T&T 5.875%	B	Interest	K	T					
119. -Van Eck Emerging Markets Y (EMRYX)	B	Dividend	M	T	Buy	01/04/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	P3 ~\$25,000,001 - \$50,000,000 P4 ~More than \$50,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120.					Buy (add'l)	05/16/17	J		
121.					Buy (add'l)	05/22/17	J		
122.					Buy (add'l)	06/07/17	J		
123.					Buy (add'l)	07/18/17	J		
124. -Alerian MLP ETF (AMLP)	B	Dividend	K	T	Buy	01/25/17	K		
125.					Buy (add'l)	05/19/17	J		
126.					Buy (add'l)	05/22/17	J		
127. -Doubleline Income Solutions (DSL)	B	Dividend	K	T	Buy	05/22/17	K		
128.					Buy (add'l)	06/12/17	J		
129.					Buy (add'l)	08/31/17	J		
130. -Blockrock Strategic Inc (BSIIX)	B	Dividend	L	T	Buy	06/07/17	L		
131.					Buy (add'l)	08/31/17	J		
132.					Buy (add'l)	11/16/17	K		
133. -Templeton Global BD FD (TBGAX)	B	Dividend	K	T	Buy	05/16/17	K		
134. -SPDR S&P Biotech (XBI)	A	Dividend	K	T	Buy	07/18/17	J		
135.					Buy (add'l)	08/31/17	J		
136. -Principal Midcap Instl (PCBIX)	C	Dividend	L	T	Buy	05/16/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	05/19/17	J		
138.					Buy (add'l)	05/22/17	J		
139.					Buy (add'l)	06/07/17	J		
140.					Buy (add'l)	07/18/17	J		
141.					Buy (add'l)	08/31/17	J		
142.					Buy (add'l)	11/16/17	J		
143. Morgan Stanely IRA # 2 (H)									
144. -MFS Total Return Fund A	A	Interest	J	T					
145. -Legg Mason ClearBridge Fdmtl AL CP Value Fund CI A	C	Dividend	K	T					
146. -Alcoa Inc NT 6.75% 7/15/18	A	Interest			Redeemed	06/19/17	J		
147. -Bank Deposit Program	A	Interest	J	T					
148. Morgan Stanley IRA # 3 (H)									
149. -Guggenheim BRIC ETF	A	Dividend	J	T	Sold (part)	03/28/17	J		
150. -Powershares QQQ Nasdaq 100	A	Dividend	K	T	Sold (part)	03/28/17	J	A	
151. -iShares S&P 500/Barr Growth	A	Dividend	K	T	Sold (part)	03/28/17	J	A	
152. -iShares S&P Global 100	A	Dividend	J	T	Sold (part)	03/28/17	J	A	
153. - Bank Deposit Program	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Jeffrey S. White

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544